

National Assessment and Accreditation Council (NAAC) Manual of Health Sciences for Universities

PREFACE

It is heartening that National Assessment and Accreditation Council (NAAC) has come out with renewed spirit to fine tune its process of assessment and accreditation. In sync with the local, regional and global dynamics of the higher education sector, the process, tools and methodology also need to be dynamic. Since July 2017, the NAAC has unfolded the new methodology and unveiled new process and instruments of assessment and accreditation. The main focus of the revision process has been to enhance the redeeming features of the accreditation process and make them more robust, objective, transparent and scalable as well as make it ICT enabled. It is further heartening to note that the ICT enabled new process has cut down the duration of the accreditation process considerably.

As always, the NAAC had to cater to the dynamic demands of the sector including that of the Health Sciences Universities and Colleges for a renewed manual that would take care of the discipline specific requirements. With the previous Health Sciences Manual forming the backdrop, the NAAC had to depend on the feedback of the stakeholders, outcome of the Pilot Study, inputs from the Expert Group Meetings, and come out with a comprehensive manual for Health Sciences institutions. The expert group comprising eminent academicians from the University and Colleges, domain experts and the NAAC experts has evolved a manual that is technology enabled and all encompassing. The Higher Education Institutions (HEIs) have to take cognizance of the new methodology and the Manual for their efforts in seeking accreditation.

It is hoped that the Manuals of Health Sciences for Universities and Colleges will help the HEIs to prepare for the revised process of Assessment and Accreditation.

In an effort to enhance the accountability in the entire process, the institutions as well as other stakeholders are required to keep track of the latest developments profiled on the website of the NAAC from time to time.

The Core Working Group and Sectoral Working Groups consisting of experts from the Health Sciences domain and the contribution of the officials of NAAC in the development of the manual would no doubt go a long way in making the entire process of A&A more effective and efficient. The services of all the experts are gratefully acknowledged.

I deem it a privilege to acknowledge the immense contribution made by the internal team of NAAC in the development of the Manuals for the A&A process of institutions of Health Sciences.

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Sd/-

(Prof. S. C. Sharma) Director, NAAC

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SECTION A: GUIDELINES FOR ASSESSMENT AND

ACCREDITATION OF HEALTH SCIENCES INSTITUTIONS

This Section presents the NAAC framework for Assessment and Accreditation based on the Core Values and Criteria for assessment and Key Indicators. Further, it details out the procedures for institutional preparation for filling the Self Study Report online, Peer Assessment and the final Outcome of Accreditation. The procedure for re-assessment, mechanism for institutional appeals and accreditation of subsequent cycles are also presented.

I. INTRODUCTION

India has one of the largest and diverse education systems in the world. Privatization, widespread expansion, increased autonomy and introduction of Programmes in new and emerging areas have improved access to higher education. At the same time, it has also led to widespread concern on the quality and relevance of the higher education. To address these concerns, the National Policy on Education (NPE, 1986) and the Programme of Action (PoA, 1992) spelt out strategic plans for the policies and advocated the establishment of an independent National Accreditation Agency. Consequently, the National Assessment and Accreditation Council (NAAC) was established in 1994 as an autonomous Institution of the University Grants Commission (UGC) with its Head Quarter in Bengaluru. The mandate of NAAC as reflected in its vision statement is in making quality assurance an integral part of the functioning of Higher Education Institutions (HEIs).

The NAAC functions through its General Council (GC) and Executive Committee (EC) comprising educational administrators, policy makers and senior academicians from a cross-section of Indian higher education system. The Chairperson of the UGC is the President of the GC of the NAAC and the Chairperson of the EC is an eminent academician nominated by the President of GC (NAAC). The Director is the academic and administrative head of NAAC and is the member-secretary of both the GC and the EC. In addition to the statutory bodies that steer its policies and core staff to support its activities, the NAAC is advised by the advisory and consultative committees constituted from time to time.

Vision and Mission

The vision of NAAC is:

To make quality the defining element of higher education in India through a combination of self and external quality evaluation, promotion and sustenance initiatives.

The mission statements of the NAAC aim at translating the NAAC's vision into action plans and define NAAC's engagement and endeavor as given below:

- To arrange for periodic assessment and accreditation of Institutions of Higher Education or units thereof, or specific academic programmes or projects;
- To stimulate the academic environment for promotion of quality in teaching-learning and research in Higher Education Institutions;
- To encourage self-evaluation, accountability, autonomy and innovations in Higher Education;
- To undertake quality-related research studies, consultancy and training programmes, and
- To collaborate with other stakeholders of higher education for quality evaluation, promotion and sustenance.

Striving to achieve its goals as guided by its vision and mission statements, NAAC primarily focuses on assessment of the quality of Higher Education Institutions in the country. The NAAC methodology for Assessment and Accreditation is very much similar to that followed by Quality Assurance (QA) agencies across the world and consists of self-assessment by the Institution along with external peer assessment organized by NAAC.

Core Values

Throughout the world, Higher Education Institutions (HEIs) function in a dynamic environment. The need to expand the system of higher education, the impact of technology on the educational delivery, the increasing private participation in higher education and the impact of globalization (including liberal cross-border and trans-national educational imperatives), have necessitated marked changes in the Indian higher education system. These changes and the consequent shift in values have been taken into cognizance by NAAC while formulating the core values. Accordingly, in order to ensure external and internal validity and credibility, the QA process of NAAC is grounded within a value framework which is suitable and appropriate to the National context.

The accreditation framework of NAAC is thus based on five core values detailed below.

(i) Contributing to National Development

Most of the HEIs have a capacity to adapt to changes and at the same time, pursue the goals and objectives that they have set forth for themselves. Contributing to national development has always been an implicit goal of Indian HEIs. The role of HEIs is significant in human resource development and capacity building of individuals, to cater to the needs of the economy, society and the country as a whole, thereby, contributing to the development of the Nation. Serving the cause of social justice, ensuring equity and increasing access to higher education are a few ways by which HEIs can contribute to the national development. It is therefore appropriate that the Assessment and Accreditation (A&A) process of NAAC looks into the ways HEIs have been responding to and contributing towards National Development.

(ii) Fostering Global Competencies among Students

The spiraling developments at the global level also warrant that the NAAC includes in its scope of assessment skill development of students, on par with their counterparts elsewhere in the world. With liberalization and globalization of economic activities, the need to develop skilled human resources of a high caliber is imperative. Consequently, the demand for internationally acceptable standards in higher education is evident. Therefore, the accreditation process of NAAC needs to examine the role of HEIs in preparing the students to achieve core competencies, to face the global challenges successfully. This requires that the HEIs be innovative, creative and entrepreneurial in their approach. Towards achieving this, HEIs may establish collaborations with industries, network with the neighborhood agencies/bodies and foster a closer relationship between the "world of competent-learning" and the "world of skilled work".

(iii) Inculcating a Value System among Students

Although skill development is crucial to the success of students in the job market, skills are of less value in the absence of appropriate value systems. The HEIs have to shoulder the responsibility of inculcating desirable value systems among students. In a country like India, with cultural pluralities and diversities, it is essential that students imbibe the appropriate values commensurate with social, cultural, economic and environmental realities, at the local, national and universal levels. Whatever are the pluralities and diversities that exist in the country, there is a persisting concern for inculcating the core universal values like truth and righteousness apart from other values emphasized in the various policy documents of the country. The seeds of values such as cooperation and mutual understanding during the early stages of education have to be reiterated and re-emphasized at the higher education also through appropriate learning experiences and opportunities. The NAAC assessment therefore examines how these essential and desirable values are being inculcated in the students, by the HEIs.

(iv) Promoting the Use of Technology

Most of the significant developments that one can observe today can be attributed to the impact of Science and Technology. While the advantages of using modern tools and technological innovations in the day-to-day-life are well recognized, the corresponding changes in the use of new technologies, for teaching learning and governance of HEIs is an ongoing process. Technological advancement and innovations in educational transactions have to be undertaken by all HEIs, to make a visible impact on academic development as well as administration. At a time when our educational Institutions are expected to perform as good as their global partners, significant technological innovations have to be adopted. Traditional methods of delivering higher education have become less motivating to a large number of students. To keep pace with the developments in other spheres of human endeavor, HEIs have to enrich the learning experiences of their students by providing them with state-of-the-art educational technologies. The campus community must be adequately prepared to make use of Information and Communication Technology (ICT) optimally. Conscious effort is also needed to invest in hardware and to orient the faculty suitably.

In addition to using technology as a learning resource, managing the activities of the Institution in a technology-enabled way will ensure effective Institutional functioning. For example, documentation and data management in the HEIs are areas where the process of assessment by NAAC has made a significant impact. Moving towards electronic data management and having Institutional website to provide ready and relevant information to stakeholders are desirable steps in this direction. In other words, effective use of ICT in HEIs will be able to provide ICT literacy to the campus community, using ICT for resource sharing and networking, as well as adopting ICT-enabled administrative processes. Therefore, NAAC accreditation would look at how the HEIs have put in place their electronic data management systems and electronic resources and their access to internal and external stakeholders particularly the student community.

(v) Quest for Excellence

Contributing to nation-building and skills development of students, HEIs should demonstrate a drive to develop themselves into centers of excellence. Excellence in all that they will contribute to the overall development of the system of higher education of the country as a whole.

This 'Quest for Excellence' could start with the assessment or even earlier, by the establishment of the Steering Committee for the preparation of the Self - Study Report (SSR) of an

Institution. Another step in this direction could be the identification of the strengths and weaknesses in the teaching and learning processes as carried out by the Institution.

The five core values as outlined above form the foundation for assessment of Institutions that volunteer for accreditation by NAAC. The HEIs may also add their own core values to these in conformity with the goals and mission.

II. ASSESSMENT AND ACCREDITATION OF HIGHER EDUCATION INSTITUTIONS

The NAAC has been carrying out the process of quality assessment and accreditation of HEIs over the past two decades. Several HEIs have gone through this process and a sizeable number has also undergone subsequent cycles of accreditation. True to its commitment for promoting quality culture in HEIs in consonance with the overall developments in the field of education as well as the outside world, NAAC has strived to be sensitive to these and adequately reflect these in its processes. The A&A process of NAAC continue to be an exercise in partnership of NAAC with the HEI being assessed. As is known by now, the A&A process of NAAC is being revised and this revision attempts to enhance such a partnership. Over years the feedback procured from the HEIs, other stakeholders and the developments in the national scene – all have contributed for the revision process of NAAC.

Revised Assessment and Accreditation (A&A) Framework

The Revised Assessment and Accreditation Framework is launched in July 2017. It represents an explicit Paradigm Shift making it ICT enabled, objective, transparent, scalable and robust. The Shift is:

- from qualitative peer judgement to data based quantitative indicator evaluation with increased objectivity and transparency
- towards extensive use of ICT confirming scalability and robustness
- in terms of simplification of the process resulting in drastic reduction in number of questions, size of the report, visit days, and so on
- introducing Pre-qualifier for peer team visit, as 25% of system generated score
- Introducing *System Generated Scores* (SGS) with the combination of online evaluation (about 70%) and peer judgement (about 30%)
- in introducing the element of *third party validation* of data
- in providing appropriate differences in the metrics, weightages and benchmarks to universities and affiliated/constituent colleges
- in revising several metrics to bring in enhanced participation of students and alumni in the assessment process

Focus of Assessment

The NAAC continues with its focus on quality culture of the Institution in terms of Quality Initiatives, Quality Sustenance and Quality Enhancement, as reflected in its vision, objectives, operations and the processes. Experience has reiterated that these can be ascertained either by on site observations and/or through the facts and figures about the various aspects of Institutional functioning. The Revised Manual places greater emphasis in the latter as reflective of internal Institutional processes.

In line with NAAC's conviction that quality concerns are Institutional, Quality Assessment (QA) can better be done through self-evaluation. The self-evaluation process and the subsequent preparation of the Self Study Report (SSR) to be submitted to NAAC involves the participation of all the stakeholders – management, faculty members, administrative staff, students, parents, employers, community and alumni. While the participation of internal stakeholders i.e. management, staff and students provide credibility and ownership to the activity and could lead to newer initiatives, interaction with the external stakeholders facilitate the development process of the Institution and their educational services. Overall, the QA is expected to serve as a catalyst for Institutional self-improvement, promote innovation and strengthen the urge to excel.

It is attempted to enlarge the digital coverage of the entire process of A&A. This, it is believed, will not only accelerate the process but also bring in greater objectivity into the process.

The possible differentiation required in respect of HEIs which are going for subsequent cycles of A&A, appropriate scope has been provided in the Process. This will allow the HEIs to appropriately represent the developments they have attempted after the previous A&A cycle.

III. QUALITY INDICATOR FRAMEWORK (QIF) - DESCRIPTION

The criteria-based assessment forms the backbone of the A&A process of NAAC. The seven criteria represent the core functions and activities of a HEI. In the revised framework, not only the academic and administrative aspects of Institutional functioning but also the emerging issues have been included. The seven Criteria that would serve as the basis for assessment are:

- I. Curricular Aspects
- II. Teaching-Learning and Evaluation
- III. Research, Innovations and Extension
- IV. Infrastructure and Learning Resources
- V. Student Support and Progression
- VI. Governance, Leadership and Management
- VII. Institutional Values and Best Practices

Under each Criterion, a set of Key Indicators are identified. These Key Indicators (KIs) are further delineated as Metrics which actually elicit responses from the HEIs. These seven criteria along with their KIs are detailed below explicating the aspects they represent:

Criterion I:- Curricular Aspects

The Curricular Aspects are the mainstay of any educational Institution. However, the responsibilities of various HEIs in this regard vary depending on their administrative standing. That is, an Affiliated College is essentially a teaching unit, which depends on a larger body namely the affiliating University for legitimizing its academic and administrative processes. Its engagement with curricular aspects is mainly in their implementation while its participation in curriculum development, procedural detailing, assessment procedures as well as certification is peripheral and these are "givens". Whereas a University has the mandate to visualize appropriate curricula for particular programmes, it has to revise/update them periodically to ensure that its bodies define the outcomes of its programmes.

Criterion I pertain to the practices of an Institution in initiating a wide range of programme options and courses that are in tune with the emerging national and global trends and relevant to the local needs. Apart from issues of diversity and academic flexibility, aspects of career orientation, multi-skill development, feedback system and involvement of stakeholders in curriculum updating are also gauged.

The focus of Criterion I is captured in the following Key Indicators:

KEY INDICATORS

1.1*(U) -Curriculum Design and Development
1.1*(A) - Curriculum Planning and Implementation
1.2 Academic Flexibility
1.3 Curriculum Enrichment
1.4 Feedback System
*(U) - applicable only for Universities
*(A) - applicable only for the Affiliated/Constituent Colleges

1.1 *(U) Curriculum Design and Development

One of the significant responsibilities of Universities is the Curriculum Design and Development and it is expected to have appropriate processes, systems and structures in place to shoulder this responsibility. Curriculum Design and Development is a complex process of developing appropriate need-based inputs in consultation with expert groups, based on the feedback from stakeholders. This results in the development of relevant programmes with flexibility to suit the professional and personal needs of the students and realization of core values. This Key Indicator (KI) also considers the good practices of the Institution in initiating a range of programme options and courses that are relevant to the local needs and in tune with the emerging National and Global trends.

Curriculum evolved by the University comprises Programme Outcomes (POs), and Course Outcomes (COs), the substantive outlines of courses in every discipline (syllabus), organizational details of implementation as well as assessment of student performance and thereby attainment of POs and COs. The quality element is reflected in the efforts to revise, update and include emerging concerns etc., the University makes in this regard. The Curriculum designed by University may also focus on employability, entrepreneurship and skill development. The POs, COs could be uploaded on the Institutional website.

1.1 *(A) Curricular Planning and Implementation

The Affiliated /Constituent Colleges have rather limited role in curriculum designing and development. They adopt the curriculum overview provided by the respective universities. Each college operationalizes the curriculum within the overall framework provided, in one's own way depending on its resource potential, Institutional goals concern and so on. That is, each college visualizes the way the curriculum has to be carried out – activities, who, how, when etc. This process makes each Institution unique and reflects on the concerns of the college for quality in the form of values emphasized, sensitivities focused on, etc.

1.2 Academic Flexibility

Academic flexibility refers to the freedom in the use of the time-frame of the courses, horizontal mobility, inter-disciplinary options and others facilitated by curricular transactions. Supplementary enrichment programmes introduced as an initiative of the University, credit system and choices offered in the curriculum, in terms of programme, curricular transactions and time-frame options are also considered in this key indicator.

1.3 Curriculum Enrichment

Holistic development of students is the main purpose of curriculum. While this is attempted through prescribing dynamic and updated curricular inputs, the HEI is expected to have provision for added courses and activities which may not be directly linked with one's discipline of study but contribute to sensitizing students to cross-cutting issues relevant to the current pressing concerns both nationally and internationally such as gender, environment and sustainability, human values and professional ethics, development of creative and divergent competencies. A progressive university would provide a wide range of such "value-added" courses for students to choose from according to their interests and inclinations.

1.4 Feedback System

The process of revision and redesign of curricula is based on recent developments and feedback from stakeholders. The feedback from all stakeholders in terms of its relevance and appropriateness in catering to the needs of the society, economy and environment helps in improving the inputs.

A HEI with the feedback system in place will have an active process of not only collecting feedback from all stakeholders, but also analyzing it and identifying and drawing pertinent pointers to enhance the learning effectiveness.

Criterion II: - Teaching-Learning and Evaluation

Criterion II pertains to the efforts of an Institution to serve students of different backgrounds and abilities, through effective teaching-learning experiences. Interactive instructional techniques that engage students in higher order '*thinking*' and investigation, through the use of interviews, focused group discussions, debates, projects, presentations, experiments, practicum, internship and application of ICT resources are important considerations. It also probes into the adequacy, competence as well as the continuous professional development of the faculty who handle the programmes of study. The efficiency of the techniques used to continuously evaluate the performance of teachers and students is also a major concern of this Criterion.

The focus of Criterion II is captured in the following Key Indicators:

KEY INDICATORS

- 2.1 Student Enrolment and Profile
- 2.2 Catering to Student Diversity
- 2.3 Teaching-Learning Process
- 2.4 Teacher Profile and Quality
- 2.5 Evaluation Process and Reforms
- 2.6 Student Performance and Learning Outcomes
- 2.7 Student Satisfaction Survey

2.1 Student Enrolment and Profile

The process of admitting students to the programmes is through a transparent, welladministered mechanism, complying with all the norms of the concerned regulatory/governing agencies including state and central governments. Apart from the compliance to the various regulations, the Institution puts forth its efforts in ensuring equity and wide access, having representation of student community from different geographical areas and socio-economic, cultural and educational backgrounds. These will be reflected in the student profile.

2.2 Catering to Student Diversity

The Universities are expected to satisfy the needs of the students from diverse backgrounds including disadvantaged communities as well as different locales. They would make special efforts to admit students from special categories, reach out to their special learning needs by initial assessment of their learning levels, in addition to understand possible variations over years and how and what is to be done to deal with such students. Explicit efforts are to be made to sensitise students about the other genders; and the like.

2.3 Teaching-Learning Process

Diversity of learners in respect of their background, abilities and other personal attributes will influence the extent of their learning. The teaching-learning modalities of the Institution are rendered to be relevant for the learner group. The learner-centered education through appropriate methodologies such as participative learning, experiential learning and collaborative learning modes, facilitate effective learning. Teachers need to provide a variety of learning experiences, including individual and collaborative learning. Interactive and participatory approaches, if employed, create a feeling of responsibility in learners and makes learning a process of construction of knowledge. Of late, digital resources for learning provided in the Institution depends largely on teacher readiness to draw upon such recently developed technology supports and also the initiative to develop such learning resources to enrich teaching-learning; on teacher's familiarity with Learning Management Systems (LMSs), other e-resources available and how to meaningfully incorporate them in one's scheme of teaching-learning.

2.4 Teacher Profile and Quality

"Teacher quality" is a composite term to indicate the quality of teachers in terms of their qualification, teacher characteristics, adequacy of recruitment procedures, faculty availability, professional development and recognition of teaching abilities and competencies. Teachers are expected to take initiatives to learn and keep abreast with the latest developments, to innovate, continuously, seek improvement in their work and strive for individual and Institutional excellence.

2.5 Evaluation Process and Reforms

This Key Indicator looks at issues related to assessment of teaching, learning, evaluative processes and reforms, to increase the efficiency and effectiveness of the system. One of the purposes of evaluation is to provide development-inducing feedback. The qualitative dimension of evaluation is in its use for enhancing the competence of students. Innovative evaluation process is to gauge the knowledge and skills acquired at various levels of the programmes.

These specifications are stated as POs and COs. The quality of the assessment process depends on how well the examination system actually tests the POs and COs, quality of questions, extent of transparency in the system, extent of development-inducing feedback system, regularity in the conduct of examinations and declaration of results as well as the regulatory mechanisms for prompt action on resolving possible errors.

2.6 Student Performance and Learning Outcomes

The real test of the extent to which teaching-learning has been effective in a University is reflected in the student performance in the examinations. Student performance is seen as the realization of learning outcomes which are specifications of what a student should be capable of doing on successful completion of a course and/or a programme.

2.7 Student Satisfaction Survey

All the efforts of teachers and the Institution to make learning a meaningful process can be considered impactful only to the extent students perceive it to be meaningful. Their satisfaction level is decided by the kinds of experiences they undergo, the extent of the "comfort" feeling as well as intellectual stimulation the learning situations provide. Their feedback significantly showcases the actual quality of the teaching-learning process enabling identification of the strengths of teaching as well as the possible improvements. Student satisfaction, thus, is a direct indicator of the effectiveness of the teaching-learning in the Institution. It may be impractical to capture this aspect from every student; however, every University can resort to a sample survey on a formalized basis to capture this significant feature. This is the reason the revised assessment framework of NAAC adopts a structured survey of student satisfaction.

Criterion III: - Research, Innovations and Extension

This Criterion seeks information on the policies, practices and outcomes of the Institution, with reference to research, innovations and extension. It deals with the facilities provided and efforts made by the Institution to promote a 'research culture'. The Institution has the responsibility to enable faculty to undertake research projects useful to the society. Serving the community through extension, which is a social responsibility and a core value to be demonstrated by Institutions, is also a major aspect of this Criterion.

The focus of Criterion III is captured in the following Key Indicators:

KEY INDICATORS

3.1 *Promotion of Research and Facilities
3.2 Resource Mobilization for Research
3.3 Innovation Ecosystem
3.4 Research Publications and Awards
3.5 *Consultancy
3.6 Extension Activities
3.7 Collaboration

*Not Applicable to Affiliated Colleges

3.1 Promotion of Research and Facilities

The promotion of research is a significant responsibility of the Universities without which a 'research culture' on campus cannot be realised. The Universities have to be actively engaged in this activity through evolving appropriate policies and practices, making adequate resources available, encouraging active research involvement of teachers and scholars in research as well as recognizing any achievement of teachers through research. It also includes responsiveness and administrative supportiveness (procedural flexibility) in the Institution in utilizing the supports and resources available at the Government agencies and/or other agencies. Required infrastructure in terms of space and equipment and support facilities are made available on the campus for undertaking research. The Institution should encourage collaborations with other agencies, Institutions, research bodies, for sharing research facilities and undertaking collaborative research.

3.2 Resource Mobilization for Research

The Institution provides support in terms of financial, academic, human resources required and timely administrative decisions to enable faculty to submit project proposals and approach funding agencies for mobilizing resources for research. The Institutional support to its faculty for submitting research projects and securing external funding through flexibility in administrative processes and infrastructure and academic support are crucial for any Institution to excel in research. The faculty members are empowered to take up research activities utilizing the existing facilities. The Institution encourages its teaching staff and students to engage in interdisciplinary and interdepartmental research activities and resource sharing.

3.3 Innovation Ecosystem

The Institution has created an ecosystem for innovation including incubation centre and other initiatives for creation and transfer of new knowledge. The Institution conducts workshop/seminars on Intellectual Property Rights (IPR) and Industry-Academia Innovative practices. Awards for innovation won by Institution/teachers/research scholars/students, start-ups incubated on-campus are explicitly commended by the University.

3.4 Research Publications and Awards

Exploration and reflection are crucial for any teacher to be effective in one's job. Quality research outcome is beneficial for the discipline, society, industry, region and the nation. Sharing of knowledge especially theoretical and practical findings of research through various media enhances the quality of teaching and learning. Research acumen in an Institution is an evolving feature reflecting various research output with clear records such as - doctoral, post-doctoral, projects, inventions and discoveries, number of patents obtained and number of quality research publications.

3.5 Consultancy

Activity organized or managed by the faculty for an external agency for which the expertise and the specific knowledge base of the faculty becomes the major input. The finances generated through consultancy are fairly utilized by the Institution. The faculty taking up consultancy is properly rewarded. University is a resource pool with several persons engaged in research at various levels. Consultancy shows the credibility of the university's research acumen in the outside world. While the university personnel extend their expertise to other agencies, it also generates some revenue along with the research faculty. For this, it is necessary that the university has a formalized policy on consultancy with clear specification of revenue sharing between the teacher and the Institution. This may not be a formalized aspect of an affiliated college.

3.6 Extension Activities

Learning activities have a visible element for developing sensitivities towards community issues, gender disparities, social inequity etc. and in inculcating values and commitment to society. Affiliation and interaction with groups or individuals who have an interest in the activities of the Institution and the ability to influence the actions, decisions, policies, practices or goals of the organization leads to mutual benefit to both the parties. The processes and strategies inherent in such activities relevantly sensitize students to the social issues and contexts. Sustainable practices of the Institution leading to superior performance results in successful outcomes in terms of generating knowledge useful for the learner as well as the community.

Extension also is the aspect of education which emphasizes community services. These are often integrated with curricula as extended opportunities, intended to help, serve, reflect and learn. The curriculum-extension interface has an educational value, especially in rural India.

3.7 Collaboration

Through collaboration the Universities can maintain a closer contact with the work field. It helps keep the academic activities in the institution in a more realistic perspective and also expand the scope of learning experiences to students. Collaboration can be sought with academic Institutions or industry or other agencies of professional and social relevance. The range of activities could include training, student exchange, faculty exchange, research and resource sharing, among others. For making collaborative endeavor impactful, it is necessary that there is a formal agreement or understanding between the University and other HEIs or agencies for such activities.

Criterion IV: - Infrastructure and Learning Resources

The adequacy and optimal use of the facilities available in an Institution are essential to maintain the quality of academic and other programmes on the campus. It also requires information on how every constituent of the Institution - students, teachers and staff - benefit from these facilities. Expansion of facilities to meet future development is included among other concerns.

The focus of Criterion IV is captured in the following Key Indicators:

KEY INDICATORS

4.1 Physical Facilities4.2 Clinical, Equipment and Laboratory Learning Resources4.3 Library as a Learning Resource4.4 IT Infrastructure4.5 Maintenance of Campus Infrastructure

4.1 Physical Facilities

Adequate infrastructure facilities are keys for effective and efficient conduct of the educational programmes. The growth of infrastructure thus has to keep pace with the academic developments in the Institution. The other supportive facilities on the campus are developed to contribute to the effective ambience for curricular, extra- curricular and administrative activities. A provision of expenditure in the budget is made annually for maintenance and replenishment of physical facilities which will ensure their availability on a continual basis.

4.2 Clinical, Equipment and Laboratory Learning Resources

This Key Indicator elicits information on how the teaching hospital and the laboratories function and serve as learning resources. Information about patient-friendly services, good clinical and laboratory practice guidelines and safety measures adopted are sought. Information on the availability of up-to-date diagnostic and therapeutic equipment's to ensure quality of service and patient safety are also sought in this Key Indicator.

4.3 Library as a Learning Resource

The library holdings in terms of books, journals, e-resources and other learning materials and technology-aided learning mechanisms which enable students to acquire information, knowledge and skills required for their study programmes. A recent development in the field due to availability of digital means, the functioning of the library has undergone a drastic change. Automation of library using the ILMS, use of e-journals and books, providing remote access to e-resources in the library have become a matter of necessity. Providing for these and such other developments as well as utilizing them well are important indicators of the quality of an academic Institution.

4.4 IT Infrastructure

The Institution adopts policies and strategies for adequate technology deployment and maintenance. The ICT facilities and other learning resources are adequately available in the Institution for academic and administrative purposes. The staff and students have access to technology and information retrieval on current and relevant issues. The Institution deploys and employs ICTs for a range of activities.

4.5 Maintenance of Campus Infrastructure

Having adequate infrastructure is not enough for effective Institutional functioning, but regular maintenance and periodic replenishment of infrastructure is essential. It is necessary that the Institution has sufficient resources allocated for regular upkeep of the infrastructure and there are effective mechanisms for the upkeep of the infrastructure facilities; and promotes the optimum use of the same.

Criterion V: - Student Support and Progression

The highlights of this Criterion V are the efforts of an Institution to provide necessary assistance to students, to enable them to acquire meaningful experiences for learning at the campus and to facilitate their holistic development and progression. It also looks into student performance and alumni profiles and the progression of students to higher education and gainful employment.

The focus of Criterion V is captured in the following Key Indicators:

KEY INDICATORS

- 5.1 Student Support
- 5.2 Student Progression
- 5.3 Student Participation and Activities
- 5.4 Alumni Engagement

5.1 Student Support

Facilitating mechanisms like guidance and counseling cell, placement cell, grievance redressal cell and welfare measures to support students are deemed to be essential. Specially-designed inputs are to be provided to the needy students with learning difficulties. Provision is made for bridge and value added courses in relevant areas. Institution has a well structured, organized guidance and counseling system in place. Students benefited through scholarships, free ships and other means should be identified by Universities.

5.2 Student Progression

The Institution's concern for student progression to higher studies and/or to employment is a pertinent issue. Identify the reasons for poor attainment and plan and implement remedial measures. Sustainable good practices which effectively support the students facilitate optimal progression. The Institutional provisions facilitate vertical movement of students from one level of education to the next

higher level or towards gainful employment. Student qualifying for state/national/international level exam or competition should be identified by HEIs.

5.3 Student Participation and Activities

The Institution promotes inclusive practices for social justice and better stakeholder relationships. The Institution promotes value-based education for inculcating social responsibility and good citizenry amongst its student community. The Institution has the required infrastructure and promotes active participation of the students in social, cultural and leisure activities. Encouraging students' participation in activities facilitates developing various skills and competencies and foster holistic development.

5.4 Alumni Engagement

The Alumni are a strong support to the Institution. An active Alumni Association can contribute to academic matters, student support as well as mobilization of resources – both financial and non financial. The Institution nurtures the alumni association/chapters to facilitate them to contribute significantly to the development of the Institution through financial and non-financial means.

Criterion VI: - Governance, Leadership and Management

Effective functioning of an Institution can be gauged by the policies and practices it has evolved in the matter of planning human resources, recruitment, training, performance appraisal, financial management and the overall role of leadership.

The focus of Criterion VI is captured in the following Key Indicators:

KEY INDICATOR

- 6.1 Institutional Vision and Leadership
- 6.2 Strategy Development and Deployment
- 6.3 Faculty Empowerment Strategies
- 6.4 Financial Management and Resource Mobilization
- 6.5 Internal Quality Assurance System (IQAS)

6.1 Institutional Vision and Leadership

Effective leadership by setting values and participative decision- making process is key not only to achieve the vision, mission and goals of the Institution but also in building the organizational culture. The formal and informal arrangements in the Institution to co-ordinate the academic and administrative planning and implementation reflects the Institution's efforts in achieving its vision.

6.2 Strategy Development and Deployment

Leadership provides clear vision and mission to the Institution. The functions of the Institution and its academic and administrative units are governed by the principles of participation and transparency. Formulation of development objectives, directives and guidelines with specific plans for implementation by aligning the academic and administrative aspects improves the overall quality of the Institutional provisions.

6.3 Faculty Empowerment Strategies

The process of planning human resources including recruitment, performance appraisal and planning professional development programmes and seeking appropriate feedback, analysis of responses and ensure that they form the basis for planning. Efforts are made to upgrade the professional competence of the staff. There are mechanisms evolved for regular performance appraisal of staff.

6.4 Financial Management and Resource Mobilization

Budgeting and optimum utilization of finance as well as mobilization of resources are the issues considered under this Key Indicator. There are established procedures and processes for planning and allocation of financial resources. The Institution has developed strategies for mobilizing resources and ensures transparency in financial management of the Institution. The income and expenditure of the Institution are subjected to regular internal and external audit.

6.5 Internal Quality Assurance System (IQAS)

The internal quality assurance systems of HEIs are self-regulated responsibilities of the higher education Institutions aimed at continuous improvement of quality and achieving academic excellence. The Institution has mechanisms for academic and administrative auditing. It adopts quality management strategies in all academic and administrative aspects. The Institution has an IQAC and adopts a participatory approach in managing its provisions.

Criterion VII: - Institutional Values and Best Practices

An educational Institution operates in the context of the larger education system in the country. In order to be relevant in changing national and global contexts an educational institution has to be responsive to the emerging challenges and pressing issues. It has a social responsibility to be proactive in the efforts towards development in the larger contexts. This role of the Institution is reflected in terms of the kinds of programmes, activities and preferences (values) that it incorporates within its regular functioning. The extent to which an Institution is impactful in this is a sure reflection of its quality.

Every Institution has a mandate to be responsive to pressing issues such as gender equity, environmental consciousness and sustainability, inclusiveness and professional ethics, but the way it addresses these and evolves practices will always be unique. Every Institution faces and resolves various kinds of internal pressures and situations while doing this. Some meaningful practices pertinent to such situations are evolved within the Institution and these help smooth functioning and also lead to enhanced impact. Such practices which are evolved internally by the Institution leading to improvements in any aspect of its functioning – academic, administrative or organizational, - are recognized as a "best practices". Over a period of time, due to unique ways of functioning, each Institution develops a distinct characteristic which becomes its recognizable attribute.

The focus of Criterion VII is captured in the following Key Indicators:

KEY INDICATORS

- 7.1 Institutional Values and Social Responsibilities
- 7.2 Best Practices
- 7.3 Institutional Distinctiveness

7.1 Institutional Values and Social Responsibilities

The Institution organizes gender equity promotion programmes. The Institution displays sensitivity to issues like climate change and environmental issues. It adopts environment-friendly practices and takes necessary actions such as – energy conservation, rainwater and roof-water harvesting, waste recycling (solid/liquid waste management, e-waste management), carbon neutral, green practices etc. The Institution facilitates the differently-abled (Divyangjan friendliness), effective dealing of location advantages and disadvantages (situatedness), explicit concern for human values and professional ethics etc. In other words, the concerns for social responsibilities as well as the values held by the Institution are explicit in its regular activities.

7.2 Best Practices

Any practice or practices that the Institution has internally evolved and used during the last few years leading to positive impact on the regular functioning of the Institution can be identified as "best practice/s". These are not any activity prescribed by some authority. At some point in time the Institution evolves some innovation or a change in some aspect of its functioning. This practice is relevant mainly within the Institution at a given point of time. It could be in respect of teaching learning, office practices, maintenance and upkeep of things or dealing with human beings or money matters. But adopting that practice has resolved the difficulty or has brought in greater ease in working in that aspect. In brief, these 'best practices' are relevant within the Institutional context and may pertain to either academic or administrative or organizational aspects of Institutional functioning.

7.3 Institutional Distinctiveness

Every Institution would like to be recognized for certain of its attributes which make it '*distinct*', or, one of its kinds. Such attributes characterize the Institution and are reflected in all its activities in focus and practice.

IV. ELIGIBILITY FOR ASSESSMENT AND ACCREDITATION BY NAAC

Higher Education Institutions (HEIs), **if they have a record of at least two batches of students graduated or been in existence for six years, whichever is earlier**, are eligible to apply for the process of Assessment and Accreditation (A&A) of NAAC, and fulfil the other conditions or are covered by the other provisions, if any, mentioned below:

1. Universities (Central/State/Private/Deemed-to-be) and Institutions of National Importance

- a. Provided the Institutions /Deemed –to-be Universities and their off-campuses if any are approved by MHRD/UGC. NAAC will not consider the unapproved off-campuses for A&A.
- b. Provided that these Institutions have regular students enrolled in to the full time teaching and Research programmes offered on campus.
- c. Provided further that the duly established campuses within the country, if any, shall be treated as part of the Universities / Institutions of National Importance for the A&A process.

- d. NAAC will not undertake the accreditation of off-shore campuses
- 2. Constituent Colleges/ Affiliated Colleges (affiliated to universities recognised by UGC as an affiliating University)
 - a) Provided the Colleges are affiliated to a University recognised by UGC for the purposes of affiliation. Constituent colleges of a Private and Deemed- to-be Universities are considered as the constituent units of the University and thus will not be considered for A&A independently. Such constituent colleges need to come along with the University
 - b) Provided the Colleges/Institutions not Affiliated to a University are offering programmes recognized by Statutory Professional Regulatory Councils and have been recognised by Association of Indian Universities(AIU) or other such Government agencies concerned, as equivalent to a degree programme of a University

3. Accredited HEIs applying for Re-assessment or Subsequent Cycles (Cycle 2, Cycle 3, Cycle 4....) of Accreditation

- a) Institutions, which would like to make an improvement in the accredited status, may apply for **Re-assessment**, after a minimum of one year and before three years of accreditation subject to the fulfillment of other conditions specified by NAAC from time to time for the purpose.
- b) Institutions opting for **Subsequent Cycles (Cycle 2, Cycle 3, Cycle 4....) of Accreditation** can submit the Institutional Information for Quality Assessment (IIQA), beginning of the last quarter of the validity period subject to the fulfillment of other conditions specified by NAAC from time to time for the purpose.
- 4. Any other HEIs at the discretion of NAAC.

Note: All the Institutions intending to apply for Assessment and Accreditation by NAAC need to mandatorily upload the information on All India Survey on Higher Education (AISHE) portal. AISHE code (reference number) is one of the requirements for Registration.

V. Essential Note to HEIs for the selection of Manual for A&A:

I. All the multi-faculty Universities with **more than 60%** of their departments out of the total number of the departments in the University which are coming under the Faculties of Medicine, Dentistry, Nursing, Physiotherapy, Allied Health Sciences, Ayurveda, Yoga /Naturopathy, Unani, Siddha and Homeopathy (AYUSH) will have to mandatorily adopt the Manual for Health Sciences Universities for their Assessment and Accreditation.

II. All the multi-faculty Universities with **less than 60%** of their departments out of the total number of the departments in the University which are coming under the Faculties of Medicine, Dentistry, Nursing, Physiotherapy, Allied Health Sciences, Ayurveda, Yoga /Naturopathy, Unani, Siddha and Homeopathy (AYUSH) can choose either the General Universities Manual or the Health Sciences Universities Manual.

III. Health Science Universities are eligible to apply for Assessment and Accreditation by NAAC only if they are

- Duly recognized by their respective Statutory Councils/Authorities.
- Have completed 6 years since their establishment or have a record of at least 2 batches of students having completed their degree programs, whichever is earlier.
- Should have minimum of 5 teaching and research departments at the main University campus.

The SSR has to be filled in an online format available on the NAAC website. The QIF given below presents the Metrics under each Key Indicator (KI) for all the seven Criteria.

While going through the QIF, details of each Metric are given in the form of:

- Data required
- Formula for calculating the information, wherever required, and
- *File description for uploading of the document(s)* wherever required.

These will help Institutions in the preparation of their SSR.

For some Qualitative Metrics (Q_iM) which seek descriptive data, it is specified as to what kind of information has to be given and how much. It is advisable to keep data accordingly compiled beforehand.

For the Quantitative Metrics $(Q_n M)$ wherever formula is given, it must be noted that these are given merely to inform the HEIs about the manner in which the data submitted will be used. *That is, the actual online format seeks only data in specified manner which will be subsequently processed digitally.*

Metric wise-weightages are also given.

The actual online format may change slightly from the QIF as given in this Manual, in order to bring compatibility with the IT design. Observe this carefully while filling up.

VI. Approaches / policies adopted by NAAC in the development of Manual of Health Sciences for Universities.

1. Essence of the Revised Accreditation Framework (RAF) is retained in the manual of Health Sciences for Universities. Details are as under:

The Revised Assessment and Accreditation Framework was launched in July 2017. It represents an explicit Paradigm Shift making it ICT-enabled, objective, transparent, scalable, rigorous and robust.

The Shift is:

- □ from qualitative peer judgement to data based quantitative indicator evaluation with increased objectivity and transparency
- □ towards extensive use of ICT confirming scalability and robustness
- □ in terms of simplification of the process resulting in drastic reduction in number of questions, size of the report, visit days, and so on
- □ Introducing Pre-qualifier for peer team visit, as 25% of system generated score.
- □ introducing System Generated Scores (SGS) with the combination of online evaluation (about 65%) and peer judgement (about 35%) in Health Sciences
- □ in the data/supporting documents submitted during online submission of SSR by HEIs the element of third party verification and validation of data (DVV process)
- □ in providing appropriate differences in the metrics, weightages and benchmarks to universities and affiliated/constituent colleges after pilot study of Health Sciences Institutions
- □ feedback analysis in revising several metrics to bring in enhanced participation of students and alumni in the assessment process
- □ Introduction of Student Satisfaction Survey under 2.7 of Manual
- 2. Integrating essential components of Health Sciences Institutions in the manual of Health Sciences for Assessment & Accreditation and Inclusion of new key indicators and metrics related to Health Sciences (VED-Vital ,essential, desirable] in the rationalization.

- 3. Option to opt out non applicable metrics to a maximum of 50 weightage is not allowed in Health Sciences manual because of the nature of Professional courses / subjects of study as stipulated by Statutory Regulatory Bodies.
- 4. Based on perception of Health Sciences universities, discipline specific metrics are in place in the manual of Health Sciences for Universities
- 5. Ratio of QnM & QlM = 65% : 35%
- 6. Selection of Manual of Health Sciences by HEIs :

All those Universities with more than 60% of their departments and their teaching programmes under the Faculties of Medicine, Dentistry, Nursing, Physiotherapy, Public Health, Allied Health Sciences, Biomedical Sciences and AYUSH will have to mandatorily adopt Health Sciences Universities Manual of NAAC for their Assessment and Accreditation.

Those Universities which are multi-faculty with less than 60% of their departments and teaching programmes under the Faculties of Medicine, Dentistry, Nursing, Physiotherapy, Public Health, Allied Health Sciences, Biomedical Sciences and AYUSH can choose either the General Universities Manual or the Health Sciences Universities Manual as per their preference and depending upon the faculties of teaching they have under their ambit.

VIII.Statistical Information

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	Criterion I	Criterion II	Criterion III	Criterion IV	Criterion V	Criterion VI	Criterion VII	Total
QIM	4	4	6	10	3	10	Z	44
QnM	8	18	24	9	10	6	6	81
Total	12	22	30	19	13	16	13	125

Table – 1: QIF for Manual of Health Sciences for Universities

Table – 2: Weightage wise- QIM & QnM for Manual of Health Sciences for Universities

Crite rion num ber	Criterion	Number of Question s (QnM & QIM)	Qualitative metrics, Questions (QIM) (Weightage)	Quantitative Metrics Questions (QnM) (Weightage)	Total Qualitative Metrics (QIM) (Weightage)	Total Quantit ative Metrics (QnM) (Weight age)	Total QIM & QnM weightag e
1.	Curricular Aspects	12	4 (20+15+10+5)	8 (15+10+15+15+1 3+12+10+10)	50	100	150
2.	Teaching- Learning and Evaluation	22	4 (8+6+10+10)	18 (3+4+3+7+8+5+6 +15+12+10+8+10 +10+5+5+10+15+ 30)	34	166	200
3.	Research, Innovation and Extension	30	6 (3+5+6+10+5+1 5)	24 (5+3+4+10+5+5+ 10+5+4+5+7+10+ 10+16+20+8+4+1 3+12+5+15+10+1 0+10)	44	206	250
4.	Infrastructure and Learning Resources	19	10 (5+5+5+15+5+4 +3+5+5+5)	9 (5+7+3+3+5+5+5 +5+5)	57	43	100
5.	Student Support and Progression	13	3 (2+5+5)	10 (10+7+5+6+10+1 5+15+10+5+5)	12	88	100
6.	Governance, Leadership and Management	16	10 (5+5+5+5+5+3+ 8+5+10+10)	6 (5+5+5+7+7+10)	61	39	100
7.	Institution Values and Best Practices	13	7 (5+4+5+4+5+30 +20)	6 (5+4+4+5+4+5)	73	27	100
	Total	125	44	81	331	669	1000

Table – 3: HEALTH SCIENCES UNIVERSITIES DISTRIBUTION OF KIs & METRICS

NAAC BENCHMARKS	HEALTH SCIENCES UNIVERSITIES
Criteria	7
Key Indicators	35
Total Metrics (QlM &QnM)	125
QIM (35%)	44
QnM (65%)	81
Total Weightage	1000

 Table – 4: Weightage wise analysis of Manual of Health Sciences Universities

Criteria	Key Indicators (KIs)	Health Sciences Universities
1. Curricular Aspects	1.1 *(U)Curriculum Design and Development	50
	1.1. *(A) Curricular Planning and Implementation	NA
	1.2 Academic Flexibility	40
	1.3 Curriculum Enrichment	40
	1.4 Feedback System	20
	Total	150
2. Teaching- Learning and	2.1 Student Enrolment and Profile	10
Evaluation	2.2 Catering to Student Diversity	15
	2.3 Teaching-Learning Process	25
	2.4 Teacher Profile and Quality	55
	2.5 Evaluation Process and Reforms	40
	2.6 Student Performance and Learning Outcomes	25
	2.7 Student satisfaction Survey	30
	Total	200
3. Research, Innovations and	3.1 Promotion of Research and Facilities	30
Extension	3.2 Resource Mobilization for	20
	3.3 Innovation Ecosystem	20
	3.4 Research Publications and Awards	100
	3.5 Consultancy	15
	3.6 Extension Activities	45
	3.7 Collaboration	20
	Total	250

4. Infrastructure and Learning	4.1 Physical Facilities	20
Resources	4.2 Clinical and Laboratory Learning Resources	30
	4.3 Library as a Learning Resource	20
	4.4 IT Infrastructure	20
	4.5 Maintenance of Campus Infrastructure	10
	Total	100
5. Student	5.1 Student Support	30
Support and Progression	5.2 Student Progression	40
	5.3 Student Participation and Activities	20
	5.4 Alumni Engagement	10
	Total	100
6. Governance, Leadership and	6.1 Institutional Vision and Leadership	10
Management	6.2 Strategy Development and Deployment	15
	6.3 Faculty Empowerment Strategies	25
	6.4 Financial Management and Resource Mobilization	20
	6.5 Internal Quality Assurance System	30
	Total	100
7. Institutional Values and Best	7.1 Institutional Values and Social Responsibilities	50
Practices	7.2 Best Practices	30
	7.3 Institutional Distinctiveness	20
	Total	100
	TOTAL SCORE	1000

On the basis of the CGPA obtained by the institution in maximum possible score of 4.00, the final grade is assigned on a seven point scale. The seven point scale refers to the seven letter grades each aligned to the seven specific score-range.

Range of Institutional Cumulative Grade Point Average (CGPA)	Letter Grade	Status
3.51 - 4.00	A++	Accredited
3.26 - 3.50	A+	Accredited
3.01 - 3.25	Α	Accredited
2.76 - 3.00	B++	Accredited
2.51 - 2.75	B+	Accredited
2.01 - 2.50	В	Accredited
1.51 - 2.00	С	Accredited
≤ 1.50	D	Not Accredited

Table 5 : Institutional Grades and Accreditation Status

VIII. THE ASSESSMENT PROCESS

The assessment process will be carried out in three stages. As stated earlier, it will comprise of three main components, viz., Self Study Report (SSR), Student Satisfaction Survey and the Peer Team Report. The SSR has a total of 109 Metrics for Part A of the Colleges Manual covering the seven Criteria described earlier (refer table 2 & 3 for details). The SSR has two kinds of Metrics: one, those requiring quantifiable facts and figures as data which have been indicated as '*quantitative metrics*' (Q_nM); and two, those metrics requiring descriptive responses and are accordingly named '*qualitative metrics*' (Q₁M). Table 1 depicts the distribution of Key Indicators (KIs) and Metrics across them.

IX. PROCEDURAL DETAILS for IIQA/SSR submission online for Health Sciences Institutions

HEIs are expected to read the below given details carefully and note the specifications of the revised process of A&A.

- 1. Eligible HEIs seeking A&A are required to submit Institutional Information for Quality Assessment (IIQA) online any time during the year. Duly filled IIQAs of eligible HEIs will be accepted by NAAC for further processing and others will be rejected.
- 2. In case of rejection of IIQA applications, specific suggestions would be given to HEIs to facilitate them to resubmit the IIQA. An institution can reapply twice after the first attempt, which has resulted in rejection. That is, each HEI is permitted three attempts in a year with a single fee. After this, it will be considered a fresh application with required fees to be remitted again.
- 3. After the acceptance of IIQA, the institution will be asked to fill the SSR with the required document(s) to be uploaded in the portal of NAAC website within a stipulated time of 45 days. The SSR of the HEI will then be subjected to further process. As preparation of SSR is a systematic process, it is suggested that the HEIs should be ready with the soft copy of the SSR and related documents well in advance of submitting the IIQA. Those institutions who fail to submit the SSR within the stipulated time will have to apply afresh starting from the submission of the IIQA & its fees. In any case, fees once remitted for IIQA will not be refundable.

- 4. The SSR has to be uploaded as per the format in the portal of NAAC. After submission of the SSR on NAAC portal, HEI would receive an auto generated link/ID of SSR in their registered email id. The same SSR in .pdf format should be then uploaded on institutional website.
- 5. The SSR has to be submitted only online. HEIs should make necessary preparations with the required data, documents and/or responses before logging on to the NAAC website for submission of SSR online. Careful study of the Manual will be of great help in this regard.
- 6. As indicated earlier, the SSR comprises both Qualitative and Quantitative metrics. The Quantitative Metrics (Q_nM) add up to about 65% and the remaining about 35% are Qualitative Metrics (Q_lM).
- 7. The Institution shall/should visit the NAAC website for the latest Standard Operating Procedures for Data Validation and Verification. The data submitted on Quantitative Metrics (Q_nM) will be subjected to a verification and validation exercise with the help of Data Validation and Verification (DVV) process organized by NAAC. The Peer Team on site will review the responses to Qualitative Metrics (QlM) only after the institution clears the Pre-qualifier stage.
- 8. Any Institution found to be providing wrong information/data during Validation and Verification stage will be asked for clarifications. Based on clarifications submitted by the HEIs the data will be again sent for DVV process. The process of Data Validation and Verification (DVV) will be done within 30 days.
- 9. **Pre-qualifier**: The Quantitative Metrics (Q_nM) of SSR will be sent for Data Validation and Verification (DVV) Process. After DVV process, a DVV Deviation report will be generated. On the basis of the Deviation report, the A&A process will proceed further as per the following conditions:
 - a) HEI whose Metrics are found to be deviated will be liable for penalty or legal action. Their first installment of accreditation fees will also be forfeited, and the name of such an HEI will be sent to statutory authorities for further actions.
 - b) HEI that clears the DVV process will proceed for Peer Team Visit with a condition of a Pre-qualifier, that the HEI should score at least 25% in Quantitative Metrics (Q_nM) as per the final score after the DVV Process. If the HEI does not clear the Pre-qualifier stage then they will have to apply afresh by submitting the IIQA and its fees. Such HEIs are eligible to apply again only after six months.
- 10. After the DVV process, NAAC will intimate the HEI, within 10 days stating that they have successfully cleared the Pre-qualifier. This indicates that the institution has entered the next round of assessment to be done by the Peer Team during their on-site visit. The focus of Peer Team visit will be on the 30% Qualitative Metrics (Q₁M).
- 11. Student Satisfaction Survey (SSS): It will be conducted by NAAC as per the following conditions:
 - a) SSS will be conducted simultaneously with the DVV process.
 - b) Institutions will have to submit the entire database of students with e-mail/mobile numbers, at the time of filling of online SSR itself.
 - c) The SSS questionnaire (20 objective & 01 subjective for Colleges and for Universities 22 objective & 01 subjective) will be e-mailed to all students and the following rule will be applied for processing the responses.
 - i. For colleges (UG/PG and Autonomous) responses should be received from at least 10% of the student population or 100, whichever is less.
 - ii. For Universities -10% of the student population or 500 whichever is less.
 - d) If the response rate is lower than the limits mentioned by NAAC, the metric will not be taken up for evaluation.
 - e) SSS will be completed within one month after its initiation.

- 12. Peer Team visit of the institution should not exceed three months after clearance of the Pre-qualifier stage.
- 13. Based on the size and scope of academic offerings at the HEIs, the number of days and experts for onsite visit may vary from 2-3 days with 3-5 expert reviewers visiting the institutions. The visiting teams' role would be very specific in the revised model limited to Qualitative Metrics (Q₁M). The teams would play an important role in reviewing the intangible aspects.
- 14. Unlike earlier, NAAC will not pre-disclose the details of the visiting teams and HEIs will not be responsible for Logistics for the Visiting Teams. Hence forth NAAC will directly take care of all the logistics arrangements to the Peer Teams visiting the institutions. All payment towards TA, DA, Honorarium, etc., will be directly paid by NAAC to the nominated members. There would be no financial transactions between the Institution and the visiting Peer team members of NAAC.
- 15. The institutions need to add a link in home page of their institutional website for NAAC records/files viz., SSR, Peer Team Report, AQAR, Certificate of NAAC and Accreditation documents etc., for easy access by its stakeholders. The said link should be clearly visible/ highlighted.

16. Guidelines for filling up Self-Study Report (SSR):

• Extended profile contains all the questions, which are basically the figures of denominators of the formulas used for calculation of various Metrics values.

• There are Tool Tips at various places in portal, such as Metrics, sub-metrics, upload, etc. which are given as guidance regarding the sort of data required to be submitted by the institution. The Tool Tip is denoted in the form of **?**. Institutions are required to go through the respective Tool Tip thoroughly before filling the data.

• The data filled should contextualise with the related metrics. There is an upload limit for the documents to be uploaded for various Metrics, if the size of the document exceeds that limit, Institution may upload the same in their own website with password protection, if required. The link of the said uploaded document should be given in the portal.

• There is a fixed timeline for the entire DVV process. Institutions are supposed to respond within the stipulated time given by the DVV partner, during DVV clarification stage. If not responded within the given time frame, DVV partner's recommended input value will be taken as the final value.

• The data of the students for Student Satisfaction Survey (SSS) has to be submitted concurrently with the online submission of SSR.

• Where so ever 'Asterisk Red mark' ***** is indicated in the portal, it should be understood as a mandatory requirement.

17. Regarding withdrawal of SSR submitted :

• The HEIs which have submitted their SSRs will not be allowed to withdraw from the process at any stage.

• If an HEI which has submitted its SSR, for any reason, does not complete the A&A process, the information that it has withdrawn / not completed the process should be hosted both, on the websites of the HEI and NAAC. Such a HEI will be allowed to apply for A&A only after a period of three years.

X. ASSESSMENT OUTCOME

The final result of the Assessment and Accreditation exercise will be an ICT-based score, which is a combination of evaluation of qualitative and quantitative metrics. This will be compiled as a document comprising three parts.

PART I - <u>Peer Team Report</u>

- Section 1: Gives the General Information of the institution and its context.
- Section 2: Gives Criterion wise analysis based on peer evaluation of qualitative indicators. Instead of reporting with bullet points, this will be a **qualitative, descriptive assessment report** based on the Peer Team's critical analysis presenting strengths and weaknesses of HEI under each Criterion
- Section 3: Presents an **Overall Analysis**, which includes Institutional Strengths, Weaknesses, Opportunities and Challenges.
- Section 4: Records **Recommendations for Quality Enhancement of the Institution** (not more than **10** major ones)

PART II - <u>Graphical representation based on Quantitative Metrics $(Q_n M)$ </u>

This part will be a **System Generated Quality Profile** of the HEI based on statistical analysis of quantitative indicators in the NAAC's QIF (quality indicator framework). Graphical presentation of institutional features would be reflected through synthesis of quantifiable indicators.

PART III - Institutional Grade Sheet

Contains the **Institutional Grade Sheet** which is based on qualitative indicators, quantitative indicators and student satisfaction survey using existing calculation methods but it will be generated by a software.

The above three parts will together form the "NAAC Accreditation Outcome" document. It is mandatory for the HEIs to display it on their institutional website apart from NAAC hosting it on its website.

Calculation of Institutional CGPA

The CGPA will be calculated based on the scores obtained from the three sources, viz., The System Generated Scores (SGS) of the quantitative metrics which comprise about 70% of the total, the scores from the qualitative metrics which includes critical appraisal by the Peer Team through on site visit and the scores obtained on the Student Satisfaction Survey. These will be collated through an automated process based on 'benchmarks' as set by the NAAC and assessed on a five point scale, viz., (0, 1, 2, 3 & 4).

The Final Grade

Based on the CGPA obtained by the institution in maximum possible score of 4.00, the final grade is assigned on a Seven-Point Scale as shown below. The seven point refers to the seven letter grades each aligned to the seven specific score range.

Institutional Grades and Accretization Status					
Range of Institutional Cumulative Grade Point Average (CGPA)	Letter Grade	Status			
3.51-4.00	A++	Accredited			
3.26-3.50	A+	Accredited			
3.01-3.25	А	Accredited			
2.76-3.00	B++	Accredited			
2.51-2.75	B+	Accredited			
2.01-2.50	В	Accredited			
1.51-2.00	С	Accredited			
≤ 1.50	D	Not Accredited			

Institutions which secure a CGPA equal to or less than 1.50 are notionally categorized under the letter grade "D". Such unqualified institutions will also be intimated and notified by NAAC as "Assessed and Found not qualified for Accreditation".

XI. MECHANISM FOR INSTITUTIONAL APPEALS

The process of Assessment and Accreditation is viewed as an exercise in partnership done jointly by the NAAC and the institution being assessed. Every stage of the process is marked by transparency. The institution is consulted at various stages of the process – eliminating conflict of interest with the peers, planning the visit schedule, sharing the draft peer team report before the team leaves the campus etc. In spite of this participatory approach, there may be institutions that might have grievances to be addressed. Therefore, to provide a review mechanism for institutions who are aggrieved about the process or its outcome or any other issues related thereof, the NAAC has evolved **Mechanism for Institutional Appeals**. For details, visit the NAAC website.

(http://www.naac.gov.in/images/docs/announcement/Guidelines-for-Grievance-Redressal-Appeals-6-apr-21.pdf)

Appeals Process:

Appeal by the institution to the Director, NAAC

An Appeal is the request by an institution to review its grading on valid grounds, after the announcement of A&A result on the NAAC website.

Submission of Appeal Intent and Appeal Proforma: An Appeal is a request by an institution to review its grading on valid grounds concerning Qualitative and Quantitative assessment/s, after the declaration of Assessment and Accreditation Result.

- A. Any institution can submit an Appeal Intent Online through the HEI portal within Fifteen (15) Days from the date of declaration of the A&A result on the HEI portal. The grade obtained by the institution will be Kept in Abeyance (not be published) on the NAAC website upon receiving the Appeal Intent within the stipulated period from the HEI.
- B. Once an institution has submitted Appeal Intent, the institution may fill and submit an appeal online through the HEI portal in prescribed proforma as given at Annexure-1 / Annexure-2 within Forty-Five (45) days from the date of declaration of the A&A result on the HEI portal along with a non-refundable fee of Rs 50,000/- (Rupees Fifty Thousand Only) + GST as applicable through online payment option available on the HEI portal. In any case date of submission of Appeal shall not be beyond 45 days from the date of declaration of results on the NAAC website.
- **C.** After declaration of accreditation result the Institution can view the Assessment Outcome Document (AOD) which includes Peer Team Report, Graphical representation based on Quantitative (QnM) & Qualitative (QlM) Metrics, Institutional Grade Sheet and Peer Team Metric wise Score Report on the HEI portal. Also, the institution can rely on changes of values made in the Self Study Report (SSR) based on the data validation and verification process available as a part of the deviation report in the updated SSR

Appeal Process: Stages of the Procedure:

- A. All correspondence relating to Appeals if any will be done by the Convener with the HEI's. The appeal submitted to NAAC through online HEIs in the portal will be sent to the Chairperson and/or the Peer Team Members seeking comments individually or collectively. The Appeal along with the comments of the Chairperson and/or the Peer Team Members will be placed before the AC by Convener. The AC at its discretion may call for additional information or seek clarification from the institution or from any other body or individuals who can be of help.
- B. If the AC decides to provide an opportunity for the institution to present their case, the Chairperson of the Peer Team that visited the institution will also be called for the hearing.
- C. After the hearing, the Appeals Committee will make a recommendation to EC on the necessary action to address the grievance. If the AC is satisfied that there is a possible error in judgment/perception of the peer team which visited the institution, it may recommend a Peer Team Re-Visit. If the AC recommends re-visit to the institution, expenses towards the same will be borne by the NAAC.
- D. In case, if AC is satisfied that there is an error of judgement or other issues during the Data Validation and Verification (DVV) process which has influenced/impacted the scores, then revaluation of the metrics appealed by HEI may be recommended. This revaluation of Quantitative Metrics (QnM) shall be conducted by another DVV partners (other than the one who originally did the DVV process).
- E. The recommendation of either Peer Team Re-Visit and/or Re-Data Validation & Verification or No Change shall be placed before Executive Committee (EC) of NAAC.
- F. The Institution will not be allowed to re-submit SSR afresh on the portal for appeal 5 made. The original SSR on the portal remains unaltered and the QlM part only will be considered for the revisit by the new peer team constituted by NAAC.

- G. The Executive Committee (EC) of NAAC will be the final authority to decide on the recommendations of the Appeals Committee. The decision of the EC will be notified to the institution.
- H. The outcome of the Appeal process whether it may be Re-Visit and/or Re-Data Validation & Verification by DVV partner or No Grade Change shall be final; there will be no further appeal on the appeal preferred. However, the HEI's are at liberty to apply for Re-Assessment as per NAAC norms and Guidelines displayed on the NAAC website.
- I. Delay in submission of Appeal Intent or appeal online after the specified 45 days, up to 15 days shall be condoned by the Director, NAAC for justifiable reasons.
- J. All appeals in RAF (received earlier and now on) shall be disposed off as per these guidelines.

Repeal : If any further question arises in the interpretation of guidelines, the decision of Director, NAAC in consultation with Chairman, Executive Committee (EC) of NAAC shall be final.

XII. RE-ASSESSMENT

Institutions, which would like to make an improvement in the accredited status, may volunteer for re-assessment, after **completing at least one year**, **but not after the completion of three years**. The option can be exercised only once in a cycle. Re-assessed institution cannot come for another re-assessment in the same cycle. The current procedures and methodology including the manual for the Assessment and Accreditation is applicable for all institutions applying for re-assessment. However, the institution shall make specific responses based on the recommendations made by the peer team in the previous assessment and accreditation report, as well as the specific quality improvements made by the institution in the intervening period. The fee structure and other process would be as per the current procedures of Assessment and Accreditation (more details can be obtained from NAAC website). Institutions that volunteer for re-assessment will not be eligible for fee waiver and reimbursement of accreditation expenses.

XIII. SUBSEQUENT CYCLES OF ACCREDITATION

The methodology for subsequent cycles of accreditation remains the same. However, due consideration would be given to the post-accreditation activities of the institution resulting in quality improvement, quality sustenance and quality enhancement. In the SSR institutions opting for subsequent cycles of accreditation need to highlight the significant quality sustenance and enhancement measures undertaken during the last four-year tenure of the previous cycle of accreditation (narrative not exceeding 10 pages). A functional Internal Quality Assurance Cell (IQAC) and timely submission of Annual Quality Assurance Reports (AQARs) are the Minimum Institutional Requirements (MIR) to volunteer for second, third or fourth cycle accreditation.

Institutions intending to be assessed to continue their accreditation need to apply afresh by submission of A&A application during the last six months of their validity period of the previous cycle of A&A.

It may be noted that institutions under the third cycle of A&A which have obtained the highest grade for two consecutive cycles and have retained their grade in the third cycle also, will have their accreditation validity for 7 years instead of 5 years. Highest grade would refer to A++ and A+, that is, a CGPA of 3.51 and above, out of 4 in the currently enforced seven point scale or on the earlier used nine point scale, a grade of A and above (institutional score of 85-100).

XIV. THE FEE STRUCTURE AND OTHER FINANCIAL IMPLICATIONS

(w.e.f. April 01, 2021)

<u>Note:</u> New fees structure is applicable to HEIs who are submitting IIQA fees on or after April 01, 2021.

1. Institutional Information for Quality Assessment (IIQA) Fee For Registration – applicable to all institutions i.e., irrespective of their status of recognition under 12(B) of UGC Act, 1956 (i.e. recognized/not recognized)

Process	Total amount of Application fee for Assessment and Accreditation (A&A) to be paid by the Institution
Institutional Information for Quality	Rs. 25,000/- + G S T 18%
Assessment (IIQA)	(Non-refundable) *

* In case of rejection of IIQA application, HEIs may resubmit IIQA application for a maximum of three attempts without IIQA fees, including the rejection attempt, within the period of one year from the first application of IIQA.

2. Assessment and Accreditation Fees:				
1	2	3		
Туре	Total amount of A&A Fee	Amount to be paid by the Institution		
For Universities and Professional Institutions *	Rs. 3,00,000/-**+ GST18%	Rs.1,50,000/-** + GST18% = Rs.1,77,000/- (50% of Total fee along with the SSR) (Non-refundable)		
For Colleges (Grant-in-Aid, Private and Government) Mono Faculty, Multi Faculty, Teacher Education Institution and Physical Education Institution	Rs. 1,00,000/-** + GST18%	Rs.50,000/-** + GST18% = Rs. 59,000/- (50% of Total fee along with the SSR) (Non-refundable)		

** Balance 50% of total fees along with 18% GST need to be paid by the HEI within 15 days from the date of Pre-qualification.

* Professional Institutions:

- 1. Fees will be charged as per the fee structure as applicable to Universities, i.e. Engineering and Technology, Management, Law, Health Sciences (Allopathy, Homoeopathy, Ayurveda, Dental, Nursing etc.)
- **2.** Higher Education Institutions (HEIs) in which all the programs offered are recognised by the Statutory Regulatory Authority(s) (SRA) (Excluding Teacher Education Institutions) or HEIs in which 50 % or more of the programmes offered are recognised by the Statutory Regulatory Authority (s), similar to the Universities A&A fee for **Professional Institutions** is applicable.

3. Balance amount 50%

The pre-qualified HEIs will be asked to pay balance 50% of the stipulated fees+ applicable taxes as shown in column 2 & 3 above before 15 days from the visit date. If the institution does not pay the fee within 15 days, the SSR will not be processed. They have to apply again / afresh with IIQA and its fees.

- **4. Logistics Fee:** Institution has to pay an advance, towards logistic expenses for the arrangement of Peer Team Visit, after clearing Pre-qualifier stage, which is as follows:
 - a. All General colleges, Professional colleges and Teacher education institutions will have a Two (2) day visit for which the fee structure will be Rs. 1,50,000 +GST 18%.
 - b. In case of exceptional case of Professional colleges with proper justifications and approval from the competent authority the Peer Team Visit can be extended to Three (3) days & the fee structure will be Rs.3,00,000 +GST18%.
 - c. For University the Fee structure of logistics will be Rs. 3,00,000 + GST 18% for Three (3) days of visit.
 - d. If the University has UGC recognized off-shore campus/centers, then the University has to pay an additional fee of Rs. 2,00,000/- + GST 18% or actuals per off-shore campus to be visited.

5. Appeals Mechanism and Fee (w.e.f. April 05, 2021):

For Appeals (grievance) mechanism Rs. 50,000/- + GST 18% to be paid by HEI as applicable from time to time (Non-refundable).

6. For subsequent cycles of Accreditation:

The fee structure proposed for Assessment and Accreditation and Peer team logistics expenses as above will be same for all the cycles of Accreditation and Re-assessment to all types of Institutions.

7. Mode of Payment: Online:

All fees needs to be remitted on NAAC portal through net banking or credit/debit cards only. Fees payments can also be made from individual accounts on behalf of HEIs. Payment by Demand Draft (DD) or NEFT is not permitted.

For Government Colleges, in case of fees transferred from treasury, the college may approach The Director, NAAC or The Finance Officer, NAAC.

XV. GETTING READY FOR SUBMISSION OF SELF - STUDY REPORT (SSR)

HEIs applying for A&A process should take note of the changes in the assessment process. It must be noted that the SSR has to be submitted online only through portal. The portal will be made available to the Institution on the NAAC website in 'Apply Online Tab'. It would be helpful if the institution read the Manual carefully and get ready with all details required to be filled up in the online format. While preparing SSRs, Health Sciences Universities have to refer both, the manual and the SOP for DVV. Use this Manual for understanding the revised process of A&A and prepare for the submission of SSR in the new online format.

Some significant tips are reiterated below:

- While submitting the IIQA, ensure that there is adequate time for processing the SSR for submission within the stipulated period, after the date of acceptance of IIQA by NAAC.
- The SSR has to be filled online; for this NAAC will provide access to the respective portal on the website for institutions, according to a pre-declared timeline.
- Read instructions about where to upload the documents and data, in what format data have to be presented for the various metrics and the required descriptive explanation for the qualitative metrics.
- Types of information to be filled in the SSR are given in the QIF as presented in Section B.
- > The Profile of the Institution given in Section B is self-explanatory in seeking information about the institution.
- The QIF given in Section B indicates the kinds of data and documents required for each of the Metrics while filling up the SSR and also kinds of responses to be given.
- In an initial exercise, the institution can prepare details as sought in the QIF (Section B) about the various aspects of its functioning and upload them in a protected space on the institutional website. This will make it easy to upload and/or make them available through hyperlinks whenever required.
- Some of the documents indicated such as minutes of various committees/bodies, financial details and similar items for which the institution may not like to provide in open access could be kept ready and made available through hyperlinks whenever required.
- Keep all the relevant documents and data indicated in the QIF for each Metric under all KIs as a template so that when access to online SSR is available, it is easy to provide pertinent data.
- Wherever verbal descriptions are required write briefly as indicated (e.g., in not more than 500 words or in not more than 200 words, etc). Contemplate well and prepare the write ups describing the highlights of the sought details about the institution without wasting space/words on 'frill' details.
- The online formats (templates) for submitting data with respect to Quantitative Metrics (QnM) is given in Sub Section 7 of Section B. The same template in excel format can be downloaded from the NAAC website, available in an 'Apply Online Tab'.
- Ensure that authentic, correct data are provided throughout. Incorrect data or false details could lead to disqualification or penalty.
- Strictly adhere to the time specifications as given by NAAC.

- Some details may have to be worked out if they are not ready; eg., COs, PSOs, compiled reports from various minutes and analyses of feedback, etc...
- > Keep a brief executive summary for uploading as per details given in Section B.
- > Do not send any information as hard copy to NAAC unless specified.
- Read the Manual completely including the Glossary and Notes. This will help in a clear understanding of the terms used in the Quality Indicator Framework (QIF).
- For Metrics related to finance, the preceding financial year (1st April to 31st March) may be used to consolidate data, for publication related data, preceding calendar year (1st January to 31st December) data is to be entered and for the other metrics, the preceding academic year may be taken for the data to be entered in the 'data capturing format' on the portal. Wherever the requirement of current year data is mentioned, use the data of last completed academic year.

XVI. MANDATORY DISCLOSURE ON HEI's WEBSITE

To ensure the transparency in the process of Assessment and Accreditation, it is necessary for the Higher Educational Institutions to upload the SSR along with other relevant documents on the Institutional website. Thus, it is suggested to create a separate NAAC tab/link/webpage on the Higher Educational Institution's website and upload the following documents and retain until the validity period of the Accreditation is completed:

- 1) .pdf format of the SSR to be hosted on the institutional website, only after completion of DVV process.
- 2) Data templates uploaded along with the SSR (in Password-Protected mode, if necessary).
- 3) Annual Quality Assurance Report (AQAR Year wise)
- 4) Accreditation outcome document viz., Certificate, Grade sheet, etc.,

Higher Educational Institutions (HEI's) may suitably design their NAAC tab/link to accommodate all relevant documents.

SECTION-B

Data Requirements for Self - Study Report (SSR)

This section details the various data required for filling up the online format of the Self - Study Report, viz.,

- 1. Executive Summary
- 2. Profile of the Institution
- 3. Extended Profile of the Institution
- 4. Quality Indicator Framework (QIF)
- 5. Data Templates / Documents (for Quantitative Metrics)

1. Executive Summary

Every HEI applying for the A&A process shall prepare an Executive Summary highlighting the main features of the Institution including

- An Introductory Note on the Institution: location, vision, mission, type of the institution and so on.
- **Criterion-wise Summary** on the Institutions functioning in not more than 250 words for each criterion
- A Brief note on Strengths, Weaknesses, Opportunities and Challenges (SWOC) of the Institution
- Any additional information about the Institution other than the ones already stated
- A conclusive summary about the institutions overall functioning.

The Executive summary shall not exceed 5000 words.

2. Profile of the Health Sciences University

1. Name and Address of the University:

Name:		
Address:		
City:	Pin:	State:
Website:		

2. For communication:

Designation	Name	Telephone No. with STD code	Mobile No.	Fax	Email
Vice Chancellor		O:			
		R:			
Pro Vice Chancellor (s)		O:			
		R:			
Registrar		O:			
		R:			
Steering Committee /		O:			
IQAC Co-ordinator		R:			

3. Status of the Institution:

State University State Private University Central University University under Section 3 of UGC Act 1956 (A Deemed to be University) Institutes of National Importance Any other (specify)

4. Type of University:

Unitary
Affiliating

5. Type of Constituent Unit / Faculty:

Allied Health Sciences	
Ayurveda	
Dentistry	
Homoeopathy	
Medicine	
Nursing	
Physiotherapy	
Siddha	
Unani	

Yoga and Naturopathy Others (specify and provid	e details)
	•••••
	•••••
	•••••
6. Source of funding:	
Central Government	
State Government	
Grant-in-aid	
Self-financing	
Trust	
Society	
Company	
Any other (specify)	

- 8. a. Details of UGC recognition / subsequent recognition (if applicable):

Under Clause/Section	Date, Month and Year	Remarks
	(dd/mm/yyyy)	(If any)
i. 2(f)*		
ii. 12B*		
iii. 3*		

* Enclose the certificate of recognition, if applicable

b. Details of recognition/approval by statutory/regulatory bodies other than UGC (MCI, DCI, PCI, INC, RCI, AYUSH, AICTE, etc.)

Under Section/clause	Day, Month and Year (dd/mm/yyyy)	Validity	Program/ institution	Remarks
i.				
ii.				
iii.				
iv.				

(Enclose the Certificate of recognition/approval)

9. Has the University been recognized for its outstanding performance by any national / international agency such as DSIR, DBT, ICMR, UGC-SAP, AYUSH, WHO, UNESCO, and such others?

Yes No	
If yes, name of the age	ency
	on: (dd/mm/yyyy)
nature of recogn	nition

- 12. Location of the campus and area:

		Location *	Campus area in	Builtup area in
			acres	sq. mts.
i.	Main campus area			
ii.	Other campuses in the			
	country			
iii.	Campuses abroad			

(* Urban, Semi-Urban, Rural, Tribal, Hilly Area, any other (specify)

If the University has more than one campus, it may submit a consolidated Self Study Report reflecting the activities of all the campuses.

13. Number of affiliated / constituent institutions in the university

Types of institutions	Total	Permanent	Temporary
Allied Health Sciences			
Ayurveda			
Dentistry			
Homoeopathy			
Medicine			
Nursing			
Physiotherapy			
Siddha			
Unani			
Yoga and Naturopathy			
Others (specify and provide details)			

14. Does the University Act provide for conferment of autonomy to its affiliated institutions? If yes, give the number of autonomous colleges under the jurisdiction of the University.

Yes

No

Number

15. Does the institution conform to the specification of Degrees as enlisted by the UGC? Yes No

If the institution uses any other nomenclatures, specify.

16. Academic programs offered and student enrolment: (Enclose the list of academic programs offered and approval/recognition details issued by the statutory body governing the program)

Programs	Number of Programs	Number of students enrolled
UG		
PG		
DNB		
Integrated Masters		
Integrated Ph.D.		
M.Phil.		
Ph.D.		
Certificate		
Diploma		
PG Diploma		
D.M. / M.Ch.		
Sub / Super specialty		
Fellowship		
Any other (specify)		
Total		

17. Provide information on the following general facilities (campus-wise):

		/	
	uditorium/seminar complex with infrastructural	Yes No	
	acilities		
• S	ports facilities		
*	Outdoor	Yes No	
*	Indoor	Yes No	
• R	esidential facilities for faculty and non-teaching staff	Yes No	\square
	Cafeteria	Yes No	
• E	lealth centre		
*	First aid facility	Yes No	
*	Outpatient facility	Yes 🗌 No	
*	Inpatient facility	Yes No	
*	Ambulance facility	Yes No	
*	Emergency care facility	Yes No	
*	Health centre staff	Yes No	
	Qualified Doctor Full time Part-time		
	Qualified Nurse Full time Part-time		
	acilities like bank, post office, bookshops, and such thers.	Yes 📃 No	
	ransport facilities to cater to the needs of the students nd staff	Yes 📃 No	

• Facilities for persons with disabilities

Yes No

•	Animal house	Yes	No 🗌
•	Power house	Yes	No 🗌
•	Fire safety measures	Yes	No 🗌
•	Waste management facility, particularly hospital and bio-hazardous waste	Yes	No 🗌
•	Potable water and water treatment facility	Yes	No 🗌
•	Renewable/Alternative sources of energy	Yes	No 🗌

• Any other facility (specify).

18. Working days / teaching days during the past four academic years

	Working days	Teaching days
Number stipulated by the		
Regulatory Authority		
Number achieved by the		
Institution		

('Teaching days' means days on which classes/clinics were held. **Examination days are not to be included.**)

19. Qualifications of the teaching staff

Highest Qualification	Profe	essor	Asso Profe Rea	ssor/	Assist Profes		Lect	urer	/Clii	tor nical uctor	Ser Resi	
	Μ	F	Μ	F	Μ	F	Μ	F	Μ	F	Μ	F
Permanent teachers												
D.M./ M.Ch.												
Ph.D./D.Sc./D.Litt/M.D./												
M.S.												
PG (DNB, M.Sc., MDS.,												
MPT, MPH, MHA)												
AB/FRCS/FRCP/												
MRCP/MRCS/FDSRCS												
M.Phil.												
UG												
Temporary teachers												
D.M./ M.Ch.												
Ph.D./D.Sc./D.Litt/M.D./												
M.S.												
PG (DNB, M.Sc., MDS.,												
MPT, MPH, MHA)												

Highest Qualification	Profe	essor	Assoc Profe Rea	ssor/	Assist Profes		Lect	urer	/Clin	tor nical uctor	Ser Resi	-
	Μ	F	Μ	F	Μ	F	Μ	F	Μ	F	Μ	F
AB/FRCS/FRCP/												
MRCP/MRCS/FDSRCS												
M.Phil.												
UG												
Contractual teachers												
D.M./ M.Ch.												
Ph.D./D.Sc./D.Litt/M.D./												
M.S.												
PG (DNB, M.Sc., MDS.,												
MPT, MPH, MHA)												
AB/FRCS/FRCP/												
MRCP/MRCS/FDSRCS												
M.Phil.												
UG												
Part-time teachers												
D.M./ M.Ch.												
Ph.D./D.Sc./D.Litt/M.D./												
M.S.												
PG (DNB, M.Sc., MDS.,												
MPT, MPH, MHA)												
AB/FRCS/FRCP/												
MRCP/MRCS/FDSRCS												
M.Phil.												
UG												

20. Emeritus, Adjunct and Visiting Professors.

,	Eme	eritus	Adj	unct	Visi	ting
	М	F	M F		М	F
Number						

21. Distinguished Chairs instituted:

Department	Chairs

22. Hostels

- * Boys' hostel
 - i. Number of hostels
 - ii. Number of inmates
- * Girls' hostel
 - i. Number of hostels
 - ii. Number of inmates
- * Overseas students hostel
 - i. Number of hostels
 - ii. Number of inmates

- * Hostel for interns
 - i. Number of hostels
 - ii. Number of inmates
- * PG Hostel
 - i. Number of hostels
 - ii. Number of inmates
- 23. Students enrolled in the institution during the current academic year, with the following details:

Students	UG		PG		Integrated	M.Phil	Ph.D.	Integrated
		PG	DM	MCH	Masters			Ph.D.
	*M *F	*M *F	*M *F	*M *F				
From the state								
where the								
institution is								
located								
From other								
states								
NRI students								
Foreign								
students								
Total								

*M-Male *F-Female

- 24. Health Professional Education Unit / Cell / Department
 - Year of establishment
 - Number of continuing education programs conducted (with duration)
 - * Induction
 - * Orientation
 - * Refresher
 - * Post Graduate

25. Does the university offer Distance Education Programs (DEP)?

Yes No Since the number of programs offered. Are they recognized by the UGC (Distance Education Cell)?

26. Any other relevant data, the institution would like to include (not exceeding one page).

3. Extended Profile of the University

1 Programme:

1.1 Number of all Programmes offered by the Institution during the last five years

Year			
Number			

2 Student:

2.1 Number of students year-wise during the last five years

Year			
Number			

2.2 Number of graduated students year-wise during the last five years

Year			
Number			

3 Academic:

3.1 Number of full time teachers year-wise during the last five years

Year			
Number			

3.2 Number of sanctioned posts year wise during the last five years

	1 1		
Year			
Number			

4 Institution:

4.1 Total Expenditure excluding salary year-wise during the last five years (INR in Lakhs)

Year			
Expenditure			

4. Quality Indicator Framework (QIF)

Essential Note:

The SSR has to be filled in an online format available on the NAAC website. The QIF described below presents the Metrics under each Key Indicator (KI) for all the seven Criteria.

While going through the QIF, details are given below each Metric in the form of:

- *data required*
- *formula* for calculating the information, wherever required, and
- *File description for uploading of document* where so-ever required.

These will help the Institutions in the preparation of their SSR.

For Qualitative Metrics (Q_1M) which seek descriptive data, it is specified as to what kind of information has to be given and how much. It is advisable to keep data accordingly compiled beforehand.

For the Quantitative Metrics (Q_nM) wherever formula is given, it must be noted that these are given merely to inform the HEIs about the manner in which data submitted will be used. *That is the actual online format seeks only data in specified manner which will be processed digitally.* The institutions need not key-in calculated data and the calculations are already programmed.

Metric-wise weightages are also given.

The actual online format may change slightly from the QIF as detailed in this Manual, in order to bring compatibility with the IT design. Observe this carefully while filling up.

Criterion I - Curricular Aspects (150)

Metric		Weightage
No.		
1.1.1	Curricula developed and implemented have relevance to the local, national, regional and global health care needs which are visible in	20
QıM	Programme Outcomes (POs), and Course Outcomes (COs) offered by the University, as per the norms of the Regulatory Bodies.	
	Response to be provided within 500 words	
	Provide web link to	
	Curricula implemented by the University	
	• Outcome analysis of POs, COs	
	• Any other relevant information	
1.1.2	Percentage of Programmes where syllabus revision was carried out	15
QnM	during the last five years	
Quivi	1.1.2.1: Number of Programmes offered by the Institution during the last five years	
	1.1.2.2 : How many Programmes in which syllabi were revised out of the total number of Programmes offered during the last five years (Number of Programmes in which the syllabi was revised out of the total number of Programmes offered during the last five years)	
	Data Requirement for last five years: (As per Data Template)Programme Code	
	 Names of the Programmes revised 	
	Formula: Number of Programmes in which syllabi were revised during the last five years Number of Programmes offered by the institution during the last five years	
	Upload	
	 Minutes of relevant Academic Council/BoS meetings 	
	• Details of the revised Curricula/Syllabi of the programmes during the	
	last five years	
	• Institutional data in prescribed format (Data Template)	
	• Syllabus prior and post revision of the courses.	
	• Any other relevant information	
1.1.3 QıM	Provide a description of courses with focus on competency/ employability/ entrepreneurship/ skill-development offered either by	15

Key Indicator – 1.1 Curriculum Design and Development (50)

	niversity or in collaboration with partner Institutions / Industries g the last five years
Respo	nse to be provided within 500 words
Provid	le web link to
2.	List of courses having focus on competency/employability/ entrepreneurship/skill-development MOUs with Institutions / Industries for offering these courses Any other relevant documents

Key Indicator – 1.2 Academic Flexibility (40)

Metric No.		Weightage
1.2.1 QnM	Percentage of Programmes in which Choice-Based Credit System (CBCS)/Elective Course System has been implemented, wherever provision was made by the Regulatory Bodies (Data for the preceding academic year).	10
	1.2.1.1 Total number of Programmes where there is regulatory provision for CBCS – elective course system 1.2.1.2 Number of Programmes in which CBCS/ Elective Course System was implemented.	
	 Upload: Names of all Programmes adopting CBCS Names of all Programmes adopting Elective Course System 	
	Formula:	
	Number of Programmes in which CBCS or elective course system implementedX 100Total number of Programmes where there is regulatory provision for CBCS - elective course systemX 100	
	Upload:Minutes of relevant Academic Council/BoS meetings	
	• Institutional data in prescribed format (Data Template)	
	University letter stating implementation of CBCS by the institution	
	• Structure of the program clearly indicating courses,	
	credits/Electives as approved by the competent board.	
	Any other relevant information	

r		
1.2.2 QnM	Percentage of new Degree Programmes, Fellowships and Diplomas introduced by the University across all Faculties during the last	15
Quivi	five years (certificate programmes are not to be included)	
	1.2.2.1: Number of new <i>Degree Programmes, Fellowships and</i>	
	Diplomas introduced by the University during the last five years	
	1.2.2.2: Number of <i>programmes</i> offered across all <i>Faculties</i> during	
	the last five years	
	Formula	
	Formula: Number of new degree programmes	
	including Fellowships and diploma introduced	
	by the University during the last five years X 100	
	Total number of programmes offered during the last five years	
	uuring the last rive years	
	Upload:	
	• List of the new Programmes introduced during the last five years	
	Minutes of relevant Academic Council/BoS meeting	
	Institutional data in prescribed format (Data Template)	
	Any other relevant information	
1.2.3	Percentage of interdisciplinary courses under the Programmes offered by	15
QnM	the University during the last five years	
	1.2.2.1. Number of courses offered coross all programmer during	
	1.2.3.1: Number of <i>courses</i> offered across all <i>programmes</i> during the last five years	
	1.2.3.2: Number of interdisciplinary courses offered during the last five	
	years	
	Formula:	
	Number of interdisciplinary courses during the last five years	
	x100 Number of courses offered across all programmes during the last five years	
	Upload:	
	 List of Interdisciplinary courses under the programmes offered by 	
	the University during the last 5 years	
	Minutes of relevant Academic Council/BoS meetings	
	• Institutional data in prescribed format (Data Template)	
1	• Institutional data in presented format (Data Template)	

Key Indicator - 1.3 Curriculum Enrichment (40)

Metric No.				Weightage
1.3.1 QIM	Environment an Determinants, K	nd Sustainability, Hu	s, Emerging demographic	10
	Response to be p	provided within 500 v	vords	
	Provide web link	a to		
	 List of commentioner Description Environer Determiner demogration Curricular 			
1.3.2		er relevant information e-added courses offer	n red during the last five	13
QnM	years that impar 1.3.2.1 Number the last 5 years			
		o. of Value-added urses offered	Name/s of the value- added course/s	
	 Data Requirement for the last five years: Names of the value-added courses with 15 or more contact hours Number of times that a course is offered during a specified year Total number of students completing such courses Upload: Brochure or any other document related to the value-added course/s 			
	• List of va	lue-added courses (Data	a Template -5)	
1.3.3	List of va Any other Percentage of st	r relevant information	ully completed the value-	12

	Year					
	Number of Students					
	 Data Requirement for last five years: Name/s of the value-added course/s with 15 or more contact hours Number of times that a course is offered during a specified year 					
	• Total number of students completing such course/s each year					
	Formula: students successfully completed the value – added <u>courses and life skills in the last 5 years</u> X 100 Total number of students in the last 5 years					
	Upload:					
	• List of students enrolled in value-added courses (Data Template 5)					
	• Any other relevant information					
1.3.4 QıM	Students undertake field visits / research projects / Industry internship / visits/Community postings as part of curriculum enrichment					
	Response in 500 words					
	 Provide weblink to: List of Programmes and number of students undertaking field visits / research projects / internships/Industry visits/Community postings Any other relevant information 					

Key Indicator – 1.4 Feedback System (20)

Metric No.		Weightage
1.4.1	Mechanism is in place for obtaining structured feedback on curricula/syllabi from various stakeholders	10
QnM		
	Structured feedback received from:	
	1 Students 2 Teachers 3 Employers 4 Alumni 5 Professionals	

	 Upload: Stakeholder feedback report as stated in the minutes of the Governing Council/Syndicate/ Board of Management URL for feedback report Sample filled in Structured Feedback forms by the institution for each category claimed in SSR Institutional data in prescribed format (Data Template) Any other relevant information 	
1.4.2	Feedback process of the Institution may be classified as:	10
QnM	Options (<i>Opt any one that is applicable</i>):	
	 A. Feedback collected, analysed and action taken on feedback and relevant documents are made available on the institutional website B. Feedback collected, analysed and action has been taken C. Feedback collected and analysed D. Feedback collected E. Feedback not obtained/collected 	
	Upload:	
	 URL for stakeholder feedback report Action taken report of the University on feedback report as stated in the minutes of the Governing Council/ Syndicate/ Board of Management Any other relevant information 	

Criterion II – Teaching-Learning and Evaluation (200)

Key Indicator - 2.1 Student Enrolment and Profile (10)

Metric No.		Weightage
2.1.1	Due consideration is given to equity and inclusiveness by providing reservation of seats to all categories during the	3
QnM	admission process	
	2.1.1.1 Average percentage of seats filled against seats reserved for various categories as per applicable reservation policy as per GoI or State Govt. norms during the last five years	
	Year	
	Number of students admitted from the reserved categories	

	Total number of goats	
	Total number of seats earmarked for reserved	
	categories	
	Formula:	
	Actual number of students admitted from	
	Percentage per year = the reserved categories in a year Number of seats earmarked for reserved categories X 100	
	as per GOI or State Government norms in that year	
	Average percentage = $\frac{\sum Percentage per year}{r}$	
	5 5	
	Upload:	
	-	
	 Average percentage of seats filled against seats reserved (As per Data Template) 	
	 Copy of letter issued by state govt. or and Central 	
	Government Indicating the reserved categories to be	
	considered as per the state rule (in English)	
	 Final admission list published by the HEI 	
	 Admission extract submitted to the state OBC, SC and ST 	
	cell every year.	
	Initial reservation of seats for admission.	
	Any other relevant information	
2.1.2	Student Demand Ratio, applicable to programmes where State / 4	
	Central Common Entrance Tests are not conducted	
QnM		
	2.1.2.1 Number of seats available year-wise/eligible applications	
	received during the last five years where State / Central Common	
	Entrance Tests are not conducted	
	Year	
	Number of applications	
	received	
	Number of Seats	
	available	
	Formula:	
	Number of eligible applications received for programmes where State / Central Common Entrance Tests are not conducted	
	$\frac{1}{1} \frac{1}{1} \frac{1}$	
	Upload:	
	Institutional data in prescribed format (Data Template)	
	 Document relating to Sanction of intake 	
	 Extract of No. of application received in each program 	
	 Extract of No. of application received in each program The details certified by the Controller of Examination or 	
	Registrar evaluation clearly mentioning the programs	
	that are not covered under CET and the number of	
	applications received for the same	

	Any other relevant information	
2.1.3 QnM	Student enrollment pattern and student profile to demonstrate national/international spread of enrolled students from other states and countries	3
	2.1.3.1Average percentage of students from other states and countries (year-wise) during the last five years2.1.3.2 Total number of students enrolled in that year	
	Year	
	Number of students from other states / countries	
	Total number of students	
	Formula: Percentage per year = Number of students from other states and countries enrolled in a year Total number of students enrolled in that year	
	Average percentage = $\frac{\sum Percentage per year}{5}$	
	 Upload: List of students from other states and countries 	
	• E-copies of admission letters to the students enrolled from other States / Countries.	
	Copy of the domicile certificate/passport from respective states / countries	
	Previous degree/ Matriculation / HSC certificate from other state or country	
	Institutional data in prescribed format (Data Template)Any other relevant information	

Key Indicator - 2.2 Catering to Student Diversity (15)

Metric No.		Weightage
2.2.1 QnM	The Institution assesses the learning levels of the students after admission and organises special programmes for advanced learners and slow performers	7
	 The Institution: 1. Adopts measurable criteria to identify slow performers. 2. Adopts measurable criteria to identify advanced learners 3. Organizes special programmes for slow performers and advanced learners 4. Follows protocols to measure students' achievement 	
	Upload	

	 Methodology and Criteria for the assessment of Learning levels Details of special programmes Details of outcome measures Proforma created to identify slow performers/advanced learners Consolidated report to Dean academics /Dean student's welfare on special programs for advanced learners and slow learners Any other relevant information 	
2.2.2	Student - Fulltime teacher ratio (data for the preceding	8
	academic year)	
QnM		
	Data Requirement:	
	• Total number of students enrolled in the University	
	• Total number of fulltime teachers in the University	
	Formula: No. of Students : No. of Full time Teachers	
	Upload :	
	• List of students enrolled in the preceding academic year	
	• List of full time teachers in the preceding academic year in the	
	University (with Designation and Highest Qualification)	
	• Institutional data in prescribed format (data Templates)	
	• Any other relevant information	

Key Indicator - 2.3 Teaching - Learning Process (25)

Metric No.		Weightage
2.3.1	Student-centric methods are used for enhancing learning experiences by:	8
QıM	Experiential learning	
	Integrated/Inter-disciplinary learning	
	Participatory learning	
	Problem-solving methodologies	
	Self-directed learning	
	Patient-centric and Evidence-based learning	
	The Humanities	
	Project-based learning	
	• Role play	
	Response to be provided within 500 words	
	Provide weblink to:	
	• List of student-centric methods used for enhancing learning experiences	
	Any other relevant information	

2.3.2	Has provision for the use of Clinical Skills Laboratory and Simulation Based Learning	5
QnM	 The Institution: 1. has Basic Clinical Skills Training Models and Trainers for clinical skills in the relevant disciplines. 2. has advanced patient simulators for simulation-based training 3. has structured programs for training and assessment of students in Clinical Skills Lab / Simulation centre 4. conducts training programs for the faculty in the use of clinical skills lab and simulation methods of teaching-learning Upload : Geo-tagged photographs of clinical skills lab facilities, clinical skills models, patients simulators List of training programmes conducted in the facilities during the last 5 years List of clinical skills training models Proof of Establishment of Clinical Skill Laboratories Proof of patient simulators for simulation-based training 	
	 Report on training programmes in Clinical skill lab/simulator Centre Any other relevant information 	
2.3.3 QiM	Teachers use ICT-enabled tools for effective teaching andlearning process, including online e-resourcesResponse to be provided within 500 words	6
	 Provide web link to Details of ICT-enabled tools used for teaching and learning List of teachers using ICT-tools Any other relevant Information 	
2.3.4	Student :Mentor Ratio (preceding academic year)	6
QnM	 Data Requirement Number of mentors Number of students assigned to each Mentor Data Template 	
	Total number of mentors in the preceding academic year Total number of students in the preceding academic year	

Formula: Mentee : Mentor Ratio	
Upload	
• Details of fulltime teachers/other recognized mentors and students	
Allotment order of mentor to mentee and records of mentors and mentees meetings	
 Copy of circular pertaining the details of mentor and their allotted mentees 	
Approved Mentor list as announced by the HEI	
Log Book of mentor	
• Institutional data in prescribed format (Data Template)	
Any other relevant information	

Key Indicator - 2.4 Teacher Profile and Quality (55)

Metric		Weightage
No.		
2.4.1	Average percentage of fulltime teachers against sanctioned posts during the last five years	15
QnM	auring the tast five years	
Z III VI	Data Requirement for the last five years:	
	Number of fulltime teachers	
	Number of sanctioned posts	
	Formula:	
	Percentage per year = $\frac{\text{Number of fulltime teachers available in a year}}{\text{Total number of sanctioned posts in that year}} X 100$	
	Average percentage = $\frac{\sum Percentage per year}{5}$	
	Upload :	
	• Year-wise list of fulltime teachers and sanctioned posts for	
	the last 5 years (Certified by the Head of the Institution)	
	• Position sanction letters by the competent authority.	
	• Appointment letters of faculty during last five years	
	• Institutional data in prescribed format (Data Template)	
	• Any other relevant information	
2.4.2	Average percentage of fulltime teachers with Ph.D./D.Sc./D.Lit./	12
	DM/M Ch/DNB in super specialities /other PG degrees (like MD/	
QnM	MS/MDS etc.,) in Health Sciences for recognition as Ph.D guides	
	as per the eligibility criteria stipulated by the Regulatory Councils / Universities during the last five years	
	2.3.2.1 Number of fulltime teachers with Ph.D/D.Sc./D.Lit./DM/M Ch/DNB in super specialities / other PG degrees (like MD/ MS/ MDS etc.,) in Health Sciences for recognition as Ph.D guides as per	

-	de all'all'international de la di Di La Constitutione	
	the eligibility criteria stipulated by the Regulatory Councils. Last	
	five years data to be entered.	
	Year	
	Number fulltime teachers	
	Data Requirement for the last five years:	
	• Number of fulltime teachers with Ph.D/D.Sc./D.Lit./DM/M	
	<i>Ch/DNB in super specialities /other PG degrees</i> (like MD/	
	MS/ MDS etc.,) in Health Sciences for recognition as Ph.D	
	guides as per the eligibility criteria stipulated by the	
	Regulatory Councils.	
	• Total number of fulltime teachers	
	Formula: Percentage per year =	
	i ormana. i ci centrage per year –	
	No. of fulltime teachers with PhD/D.Sc./D.Lit./DM/M.Ch/DNB in	
	super specialities /other PG degrees (like MD/ MS/ MDS etc.,) in	
	Health Sciences for recognition as Ph.D guides as per the	
	eligibility criteria stipulated by the Regulatory Councils in a year.	
	x 100	
	Number of fulltime teachers in that year	
	Average percentage = $\frac{\sum Percentage per year}{5}$	
	5	
	Upload:	
	• List of fulltime teachers with Ph.D/D.Sc./D.Lit./DM/M	
	Ch/DNB in super specialities / other PG degrees (like MD/	
	MS/ MDS etc.,) in Health Sciences for recognition as Ph.D	
	guides as per the eligibility criteria stipulated by the	
	Regulatory Councils and the number of fulltime teachers for 5	
	years	
	• Copies of Guide-ship letters or authorization of research	
	guide provide by the competent authority	
	• Institutional data in prescribed format (Data Template)	
	 Any other relevant information 	
2.4.3	Average Teaching experience of fulltime teachers in number of	10
	years (preceding academic year)	-
QnM		
	2.4.3.1 Total teaching experience of fulltime teachers in number of	
	years (cumulative experience)	
	Formula: Sum total of teaching experience of full-time teachers working	
	in the preceeding academic year	
	Total number of fulltime teachers working	
	in the preceeding academic year	
L		

	 Upload: List of fulltime teachers including details of their designation, department, total number of years of their teaching experience Experience certificate of full time teacher Institutional data in prescribed format (Data Template) Any other relevant information 	
2.4.4 QnM	 Average percentage of teachers trained for development and delivery of e-contents / e-courses / video lectures / demonstrations during the last 5 years Data Requirement: Number of fulltime teachers in the Institution during the last 5 years Number of teachers trained for development and delivery of e-contents / e-courses during the last 5 years 	8
	Year	
	Number of teachers trained	
	Total number of teachers	
	Percentage per year = $x 100$ Total Number of teachers in that yearAverage percentage = $\frac{\sum \text{Percentage per year}}{5}$	
	 Upload: List of teachers trained for development and delivery of e-contents / e-courses / video lectures / demonstrations during the last 5 years Reports of the e-training programmes Certificate of completion of training for development of and delivery of e-contents / e-courses / video lectures / demonstrations Web-link to the contents delivered by the faculty hosted in the HEI's website List of e-contents / e courses / video lectures / demonstrations developed Any other relevant information 	
2.4.5 QnM	Average Percentage of fulltime teachers who received awards and recognitions for excellence in teaching, student mentoring, scholarships, professional achievements and academic leadership at State, National, International levels from Government / Government-recognized agencies /	10

registered professional as last five years	ssocia	tions /	acad	lemies	during	the
Number of fulltime teachers when excellence in teaching and st professional achievements and achievements and st national, International levels recognized agencies / registe <i>academies</i> during the last five	udent and acad a from red pro	mentorin lemic le Governi ofession	ng, sc aders nent	cholars hip at / Gove	hips, State, rnment-	or
Year						ון
Number of teachers who received awards etc.,						
Number of fulltime teachers						
Percentage per year = $\frac{\text{Number of teachers who}}{\text{Number of fulltim}}$ Average percentage = $\frac{\sum \text{Percent}}{\sum \text{Percent}}$	le teaci		ds etc nat ye	a, in a y ear	vear X100	
 Data Requirement for last five List of fulltime teacher State, National, International recognised agencies Data template 	ers wh	o receiv			,	
Upload						
• Institutional data in the	•				•	
• Certified e-copies of av			nned	or soft o	copy)	
• Any other relevant info	rmatio	n				

Key Indicator - 2.5 Evaluation Process and Reforms (40)

Metric No.		Weightage
2.5.1 Q _n M	Average number of days from the date of last semester-end/ year- end examination to the date of declaration of results during the last five years	10
	 2.5.1.1. Number of days from the date of last semester-end/ year- end examination to the date of declaration of results year-wise in that year and during the last five years 2.5.1.2. Total number of semester / annual exams in that year 	

	Year	
	Number of days from the last exam	
	Number of semester-end	
	/ year-end exams conducted	
	Formula: Total number of days taken for declaration of results from the date of last semester-end/ year- end examination in a year	
	Total number of semester / annual exams in that year	
	Average number of days for declaration in the last 5 years	
	Σ Average number of days per year	
	5	
	 Data Requirements for last five years: Last dates of the last semester-end/ year- end examinations 	
	• Dates of declaration of results of semester-end/ year- end examinations	
	• Number of days taken for declaration of the results semester-/year-wise	
	• Average number of days for declaration of results during the last five years	
	Upload:	
	• List of Programmes and dates of declaration of last semester- end and year-end examination results	
	 Reports from Controller of Exam (COE) office/ Annual reports mentioning the relevant details. 	
	• Institutional data in prescribed format (Data Template)	
	• Any other relevant information	
	* For Number of days from the last exam for semester scheme, provide sum of odd and even semesters days of declaration of results.	
2.5.2	Average percentage of student complaints / grievances about evaluation, against the total number of students appeared in	5
QnM	the examinations during the last five years	
	2.5.2.1 Number of student complaints/grievances about	
	evaluation year-wise during the last five years (Year wise details	
	of number of complaints/grievances received from students regarding evaluation)	
	Year	

	Number of student Image: Complaints/grievances complaints/grievances Image: Complaints/grievances received Image: Complaints/grievances Total no. of students Image: Complaints/grievances appeared in the Image: Complaints/grievances examinations Image: Complaints/grievances Data Requirement for the last five years:
	 Total number of complaints/grievances about evaluation Total number of students appeared in the examinations Formula: Percentage per year = ^{Number of complaints or grievances} <u>about evaluation in a year</u> <u>Number of students appeared</u> <u>in the examination in that year</u>
	Average percentage = $\frac{\sum Percentage per year}{5}$
	 Upload Certificate from Registrar / Controller of examination / Data on student grievances from the office of the Registrar (Evaluation) Minutes of the grievance cell / relevant body List of complaints / grievances year-wise during the last 5 years List of students who appeared in the exams year-wise during the last 5 years (Data template) Any other relevant information
2.5.3 QnM	Evaluation-related Grievance Redressal mechanism followed5by the Institution:5The University adopts the following mechanism for the redressal of evaluation-related grievances.5
	Options(Opt one which is applicable to you): 1. Double valuation/Multiple valuation with appeal process for re-totaling/revaluation and access to answer script 2. Double Valuation/Multiple valuation with appeal process for revaluation only 3. Double Valuation/Multiple valuation with appeal process for re-totaling only 4. Single valuation and appeal process for revaluation 5. Grievance Redressal mechanism does not exist

	 Upload: Provide links to the examination procedure and re- evaluation procedure developed by the institution and duly hosted in the institution's website Report of the Controller of Examination/ registrar evaluation regarding the <i>Grievance Redressal</i> mechanism followed by the Institution Institutional data in prescribed format (Data Template) Any other relevant information 	
2.5.4 Q1M	Reforms in the process and procedure in the conduct of evaluation/examination; including Continuous Internal Assessment to improve the examination system.	10
	 Describe examination reforms implemented by the University during the last 5 years with reference to the following within 500 words Examination procedures Processes integrating IT Continuous internal assessment system Competency-based assessment Workplace-based assessment Self assessment OSCE/OSPE Provide weblink to : Details of examination reforms implemented during the last 5 years Any other relevant information 	
2.5.5 QnM	Status of automation of the Examination division, using Examination Management System (EMS) along with an approved online Examination Manual	10
	Options (Choose an applicable option): 1. Complete automation of entire division & implementation of the Examination Management System (EMS) 2. Student registration, hall ticket issue & result processing 3. Student registration and result processing 4. Result processing 5. Manual methodology	

Upl	oad:Snap shot of the EMS used by the institution	
	Copies of the purchase order of the software/AMC of the software	
	The present status of automation., Invoice of the software, & screenshots of software	
	 Annual report of examination including present status of automation as approved by BOM / Syndicate / Governing Council 	
	Institutional data in prescribed format (Data Template)Any other relevant information	

Key Indicator - 2.6 Student Performance and Learning Outcomes (25)

Metric No.		Weightage
2.6.1 QIM	 The Institution has stated learning outcomes /graduate attributes as per the provisions of Regulatory bodies which are integrated into the assessment process and widely publicized through the website and other documents Provide details of the stated learning outcomes for each programme / course as stipulated by the appropriate Regulatory body and the methods followed by the Institution for assessment of the same within 500 words. Provide weblink to : Relevant documents pertaining to learning outcomes and graduate attributes Methods of the assessment of learning outcomes and graduate attributes Any other relevant information 	10
2.6.2 QnM	 Incremental performance in Pass percentage of final year students in the last five years 2.6.2.1: Number of final year students of all the programmes, who passed in the university examinations in each of the last five years. 2.6.2.2: Number of final year students of all the programmes, who appeared for the examinations in each of the last five years. 	15
	Year UG	

Number of final year students who passed in the exams	PG				
Number of final year students	UG				
who appeared in the exams	PG				
Total					
 Upload: List of Programmes and the and the number of students p examination each year for th Institutional data in prescribed Trend analysis in graphical form Link for the annual report of exbefore BoM/ Syndicate/ Gover years. Any other relevant information 	passed in ne last five format (D mat (refer xamination ming Cour	the fi e year Data Te annex n result	nal y rs. empla kure 0 lts as j	ear te) 2 of S place	SOP) d

	Key Indicator - 2.7 Student Satisfaction Survey (50)	
Metric No.		Weightage
2.7.1	Online student satisfaction survey regarding teaching	30
	learning process.	
QnM		
	Data Requirements: (As per Data Template)	
	Name/ Class/ Gender	
	Institutional Student Id number	
	Mobile number	
	• Email id	
	Degree Programme	
	Upload	
	Any other relevant information	
	• Database of all currently enrolled students (Data Template)	

Key Indicator - 2.7 Student Satisfaction Survey (30)

Criterion III - Research, Innovations and Extension (250)

Key Indicator - 3.1 Promotion of Research and Facilities (30)

Metric No.		Weightage
3.1.1	The Institution has a well-defined Research promotion policy and the same is uploaded on the Institutional website	3
QıM	Provide details within 500 words on the Institutional research promotion policy, assigned budget for research and its utilization, methods for implementation and monitoring.	

	Upload	
	Minutes of the meetings of Governing Council/	
	Syndicate/Board of Management related to research promotion	
	policy adoption	
	Document on Research promotion policy.	
	• Any other relevant information	
3.1.2	The Institution provides seed money to its teachers for research5	
QnM	3.1.2.1 The average amount of seed money provided by the Institution to its faculty year-wise during the last five years (INR in lakhs)	
	Year	
	INR in lakhs	
	Data Requirement for last five years:	
	• Names of the teachers getting seed money during the last 5	
	years	
	• The amount of seed money	
	• Year of receiving seed money	
	• Duration of the grant	
	Formula:	
	The total amount of seed money provided by	
	institution to its faculty in the last 5 years	
	5 Umlandt	
	 Upload: Sanction letter of seed money to the faculty is 	
	mandatory	
	 Budget and expenditure statements signed by the Finance 	
	Officer indicating seed money provided and utilized (Refer	
	annexure number -01)	
	 List of teachers receiving seed money and details of seed money 	
	received (Data Template)	
	Any other relevant information	
3.1.3	Average Percentage of teachers awarded national/ international 3	
5.1.5	fellowship / Financial support for advanced studies/collaborative	
QnM	research / conference participation in Indian and Overseas	
	Institutions during the last five years	
	Year	
	Number of teachers getting	
	fellowships / Financial Support	

	Total number of teachers
	3.1.3.1 The Average percentage of teachers awarded national /international fellowship for advanced studies / collaborative research participation in Indian and Overseas Institutions during the last five year
	Formula Percentage per year= Number of teachers awarded national/international fellowships Financial Support in a year
	Average percentage = $\frac{\sum Percentage per year}{5}$
	 Data Requirements for last five years: Name of the teacher awarded national/international fellowship etc., Name of the award / recognition Year of Award / recognition Awarding Agency
	 Upload Certified e-copies of the award / recognition letters of the teachers List of teachers and their national/international fellowship details (Data Templates) Any other relevant information
3.1.4 QnM	Number of JRFs, SRFs, Post Doctoral Fellows, Research 4 Associates and other research fellows in the university enrolled 4 during the last five years 2 2 1 4
	3.1.4.1 The Number of JRFs, SRFs, Post Doctoral Fellows, Research Associates and other research fellows in the university enrolled year-wise during the last five years
	Year Image: Constraint of the second secon
	 Data Requirements for the last five years: Name of the Research fellow Year of enrolment Duration of fellowship Type of the fellowship Granting agency

	• List of research fellows and their fellowship details	
	E copies of fellowship award letters	
	Registration and guide / mentor allocation by the	
	institution	
	• Institutional data in prescribed format (Data Template)	
	• Any other relevant informatio	
3.1.5	University has the following facilities*	10
QnM	 Central Research Laboratory / Central Research Facility Animal House/ Medicinal plant garden / Museum Media laboratory/Business Lab/e-resource Studios Research/Statistical Databases/Health Informatics Clinical Trial Centre *An option may be selected even if one among the two or three of the facilities asked for is available. Eg: In S1.No.2 even though only Museum is available in the Institution, the option may be exercised. Data Requirements: Name of the facility 	
	Year of establishmentVideos/pictures	
	 Upload: Videos and geo-tagged photographs List of facilities provided by the University and their year of establishment (Data Template) Any other relevant information 	
3.1.6 QnM	Percentage of departments with recognition by ICMR-CAR, DST-FIST, DBT, MCI, DCI, PCI, AICTE, AYUSH, NACO, WHO, NIH etc. and other similar recognitions by national and international agencies, (excluding mandatory recognitions by 	5
	only once. 3.1.6.1 The Number of departments with recognition by ICMR- CAR, DST-FIST, DBT, MCI, DCI, PCI, AICTE, AYUSH, NACO, WHO, NIH etc. and other similar recognitions by national and international agencies, 3.1.6.2. Number of departments offering academic programmes year-wise during the last 5 years	

Data Requirements:					
 Name of the Department with a CAR, DST-FIST, DBT, MCI AYUSH, NACO, WHO, NIH recognitions by Regulatory Coprogrammes) Name of the department offerin Name of the Scheme Name of the funding agency Year of Award Funds provided Duration of award 	, DCI, etc (uncils	, PCI (exclu for U	, AIO Iding IG /F	C TE , man PG	dator
Year				T	
Number of Departments Recognized					
Formula:					
Number of academic departments w ICMR – CAR, DST – FIST, DBT, MC AYUSH, NACO, WHO, NI and other similar recognitions du Total number of academic de	I, DCI, I H etc. , ring la	PCI, AI st 5 ye	CTE,	-X10	0
Upload	·				
 E-copies of departmental recognit List of departments and experted departments 				ata)	
List of departments and award deAny other relevant information	.ans (L	Jata I	empl	ale)	
• Any other relevant information					

Key Indicator - 3.2 Resource Mobilization for Research (20)

Metric		Weightage
No.		
3.2.1 QnM	Grants for research projects /clinical trials sponsored by non- government sources such as industry, corporate houses, international bodies, endowments, professional associations, endowment-Chairs etc., in the Institution during the last five years	5
	3.2.1.1 Total Grants for research projects sponsored by non- government sources such as industry, corporate houses, international bodies, endowments, professional associations, endowment-Chairs in the Institution year-wise during the last five years (INR in Lakhs)	
	Year	

	 Data requirement for the last five years: Name of the Project/ Endowment Chairs Name of the Principal Investigator Department of Principal Investigator Year of Award 								
	Funds provided								
	 Duration of the project Name of the Project/ Endowment Chairs 								
	Name of the Project/ Endowment Chairs								
	Upload								
	• E-copies of the grant award letters for research projects								
	sponsored by non-government organizations								
	• List of project and grant details (Data Template)								
3.2.2	Any other relevant information	10							
3.2.2	Grants for research projects/clinical research project sponsored by the government funding agencies during the last five years	10							
QnM	by the government funding agencies during the tast five years								
C C	3.2.2.1 Total Grants for research projects sponsored by government								
	sources- year-wise during the last five years (INR in Lakhs)								
	Year								
	Amount (INR in Lakhs)								
121	 Data requirement for the last five years: (As per Data Template) Name of the Project Name of the Principal Investigator Department of Principal Investigator Year of Award Funds provided Duration of the project /<i>clinical trials</i> Funding Agency Total amount of funds received Upload: E-copies of the grant award letters for research projects sponsored by government agencies List of projects and grant details (Data Template) Any other relevant information 								
3.2.3	Ratio of research projects/clinical trials per teacher funded by government/industries and non-government agencies during	5							
QnM	the last five years								
	3.2.3.1 Number of research projects/clinical trials funded by government /industries and non-government agencies during the last five years3.2.3.2 Number of fulltime teachers in the Institution during the last 5 years								

Year						
Number of Research projects /clinical trails						
Number of full-time teachers						
 Data requirement for the last Name of Principal Inve Duration of project Name of the research p Amount / Fund received Name of funding agence Year of sanction Department of the reciped 	stigato project d y	or		_	ata Templa	ate)
Formula: Projects/Clinical trials ratio =						
Total number of research j government/industries and non- government/industries and non- gove	ernmen	t ag	encies	during	the last five	e years
-			0		, e g	
 Upload List of research projects a Supporting document/s fr Copy of the letter india project funded by gov including details of national Any other relevant inform 	om Fu cating t./nor me of	ndi the -ge	ng Ag e san ovt ag	encies ction gency	of researce and indu	ch Istry

	Key Indicator - 3.3 Innovation Ecosystem (20)	
Metric No.		Weightage
3.3.1	Institution has created an ecosystem for innovations and entrepreneurship with an Incubation centre, entrepreneurship cell	5
QıM	 Enumerate the initiatives of the Institution on innovation and entrepreneurship and their output (start-ups, incubatees, entrepreneurs) and the available facilities within 500 words File description Geo-tagged the facilities and innovations made Any other relevant information 	
3.3.2 QIM	Workshops/seminars conducted on Intellectual Property Rights (IPR) Research methodology, Good clinical Practice, Laboratory, Pharmacy and Collection practices, Research Grant writing and Industry- Academia Collaborations during the last five years	6

	Response to be given within 500 words					
	Provide web link to:Reports of the events					
	• List of workshops/seminars on the above during the last 5 years					
	Any other relevant information					
3.3.3 QnM	by the Institution/teachers/research scholars/students from recognized					
	3.3.3.1 Total number of awards/recognitions received by the Institution/teachers/research scholars/students <i>from recognized bodies</i> year-wise during the last five years					
	Number of awards/ recognitions					
	 Upload: E-Copies of award letters (scanned or soft copy) for innovations with details of the awardee and the awarding agency Link to appropriate details on the Institutional website Institutional data in prescribed format (Data Template) 					
3.3.4	Number of start-ups incubated on campus during the last five years	5				
Q _n M	3.3.4.1 Total number of start-ups incubated on campus year-wise during the last five years (a startup to be counted only once)					
	Year					
	Number of Start-ups incubated					
	 Data requirements for the last five years: (As per Data Template) Names of the start-ups Nature of start-up Year of commencement Contact information of the promoters Upload: Registration letter E- sanction order of the University for the start-ups on the campus Contact details of the promoters List of start-ups- details like name of the start-up, nature, year of commencement etc (Data Template) 					

Key Indicators - 3.4 Research Publications and Awards (100)

Metric No.		Weightage
3.4.1 Q _n M	The Institution has a stated Code of Ethics for research, the implementation of which is ensured by the following:	7
	Option 1. Research methodology with course on research ethics 2. Ethics committee 3. Plagiarism check 4. Committee on Publication guidelines Upload: • Institutional code of Ethics document • Course content of research ethics and details of members of ethical committee • Copy of software procurement for plagiarism check • Details of committee on publication guidelines • Minutes of the relevant committees with reference to the code of ethics • Institutional data in prescribed format (Data Template) • Any other relevant information	
3.4.2 QnM	The Institution provides incentives for teachers who receive state, national or international recognitions/awards Option 1. Career Advancement 2. Salary increment	10
	 3. Recognition by Institutional website notification 4. Commendation certificate with cash award Upload: Policy on Career advancement for the awardees Policy on salary increment for the awardees Snapshots of recognition of notification in the HEI's website Copy of commendation certificate and receipt of cash award List of the awardees and list of awarding agencies and year with contact details for the last 5 years Incentive details (link to the appropriate details on the Institutional website) Institutional data in prescribed format (Data Template) 	
3.4.3 QnM	Number of Patents/ Copyrights published/awarded/technology- transferred during the last five years3.4.3.1 Total number of Patents/ Copyrights published/awarded/ technology-transferred year-wise during the last five years	10

	Year							
	Number of Patents/ Copyrights published / awarded/technology-transferred							
3.4.4 QnM	 Note: Patents published and awarded alone are to be included. Upload: List of patents/Copyrights and the year they were published/awarded E- copies of the letters of award/ publication of patent/copyright/ technology-transferred Technology transfer document Institutional data in prescribed format (Data Template) Any other relevant information Average number of Ph.D/ DM/ M Ch/ PG Degree in the respective disciplines awarded per recognized PG teacher* of the Institution during the last five years 3.4.4.1 Number of Ph.D.s /DM/M Ch/PG degrees in the respective disciplines awarded per recognized PG teacher* of the Institution during the last five years 	16						
	auring the last five years 3.4.4.2 Number of PG teachers recognized as guides by the Regulatory Bodies / Universities during the last five years Formula: Number of Ph.D.s /DM/M.Ch/PG degrees in the respective disciplines awarded during the last five years Number of PG teachers recognized as guides by the University/ Regulatory Bodies							
	during the last five years * Eligible PG teachers are those who are recognized as PG/PhD guides by the University / respective Regulatory Bodies							
	 Upload: List of PhD/DM/M.Ch candidates with details like name of the guide, title of the thesis, year of award, award letter etc. Web page for research in the Institutional website. Institutional data in prescribed format (Data Template) Any other relevant information 							
3.4.5 Q _n M	Average Number of research papers per teacher in the approved list of Journals in Scopus / Web of Science/ PubMed during the last five calendar years 3.4.5.1 Number of research papers in the approved list of Journals included in Scopus/Web of Science/PubMed during the last five calendar years							
	Calend ar YearsAverage Number of Fulltime teachersNumber of Research Papers included in ScopusWeb of SciencePub MedUGC listed							
	From Image: Constraint of the second secon							

	Formula:								
	Total number of Publications=S1(data from SCOPUS) + W1 (data from Web								
	of Science) + P1 (data from Pub Med)								
	Average number of fulltime teachers during the last five calendar years								
	Data Requirements: (As per Data Template)								
	 Title of paper Name of the author/s 								
	 Name of the author/s Department of the teacher 								
	 Department of the teacher Name of journal 								
	Year of publication								
	 Scopus/Web of Science/PubMed Ref. number/link 								
	• Names of the indexing databases								
	Upload:								
	• List of research papers by title, author, department, name and year of								
	publication and Scopus/Web of Science/PubMed list ref. No: (Data								
	Template) /link								
	Names of the indexing databases								
	Any other relevant information								
	* The Data obtained from INFLIBNET will be used for the purpose of								
	calculation of scores.								
3.4.6	Average Number of research papers per teacher in the approved list of10								
Q _n M	Journals notified in UGC-CARE list during the last five calendar years								
	3.4.6.1 Number of research papers in the approved list of Journals notified on UGC website during the last five years								
	Calendar Years								
	Number of research papers								
	Number of fulltime teachers								
	Data Requirements: (As per Data Template)								
	• Title of paper								
	• Name of the author/s								
	• Department of the teacher								
	Name of journal								
	Year of publication								
	• UGC-CARE list ref. No: /link								
	Formula: :								
	Number of research papers by teachers in UGC notified journals during the last five calendar years								
	Average number of full-time teachers during the last five calendar years								
	Upload:List of research papers by title, author, department, name and year of								
	• List of research papers by the, author, department, name and year of publication and UGC list ref. No: (Data Template) /link								
	 Names of the indexing databases Any other relevant information 								
	Any other relevant information								

3.4.7 Q _n M	Total Number of books/ chapters in e National/International conference-proceedi indexed in Scopus/Web of Science/ PubMea five calendar years	ngs pu	blished	per teac	cher and
	3.4.7.1 Total number of books/ chapters in National/International conference-proceeding indexed in Scopus/Web of Science/ PubMa years	ngs pu	blished	per teac	cher and
	Calendar Years				
	Number of Books/Chapters and papers in National / International conference-proceedings etc.				
	No. of full-time teachers				
	 Name of the teacher: Title of the pape Title of the book published: Name of proceedings of the conference Name of the publisher: National / International : Scopus/Web list ref. number /link Year of publication: 	the authernation	al		
	 Upload List of books and chapters in edited volu Template) List of names of publishers : National/ Ir Any other relevant information 		•	olished (I	Data
	* The Data obtained from INFLIBNET v calculation of scores.	vill be u	used for	the purp	ose of

3.4.8	Bibliometric of the publications during the last five calendar years based on average Citation Index in Scopus/Web of Science	13
QnM	 Data Requirements for the last five years: Title of the paper Name of the author Title of the journal Year of publication Citation Index of publication 	
	Formula: 0.5 x Total number of Citations SCOPUS in five years + 0.5 x Total number of Citations in Web of Science in five years 0.5 x Total number of Publications in SCOPUS in five years + 0.5 x Total number of Publications in Web of Science in last five calendar years	
	 =Average Citation index Upload List of the publications during the last five years Institutional data in prescribed format (Data Template) Any other relevant information 	
	* The Data obtained from INFLIBNET will be used for the purpose of calculation of scores.	
3.4.9	Provide Scopus/ Web of Science – h-index of the Institution for the last 5 calendar years.	12
Q _n M	 Data Requirements for the last five years: Title of the paper Name of the author Title of the journal Year of publication H index Formula: h – Index of Scopus + h – Index of 	
	<u>Web of Science in the last 5 calendar years</u> 2	
	 = h- Index of the Institution Upload Bibiliometrics of publications based on Scopus/ Web of Science - h-index of the Institution Institutional data in prescribed format (Data Template) Any other relevant information 	
	* The Data obtained from Inflibnet will be used for the purpose of calculation of scores.	

Key Indicator-- 3.5 Consultancy (15)

Metric No.		Weightage
3.5.1 QıM	Institution has a policy on IPR and consultancy including revenue sharing between the Institution and the individual, besides a training cum capacity building programme for teachers, students and staff for undertaking consultancy.	10
	Describe the Institutional policy on IPR and consultancy, implementation strategies (publicizing the expertise, available facilities, training for consultancy etc.) including the revenue sharing formula. The structured training cum capacity building programme with appropriate fund allocation details also to be provided. Response to be given within 500 words	
	 Provide weblink to: Minutes of the Governing Council/ Syndicate/Board of Management related to IPR and consultancy policy. Link to the soft copy of the IPR and Consultancy Policy. List of the training / capacity building programmes conducted during the last 5 years. Any other relevant information 	
3.5.2 QnM	 <i>Revenue generated from advisory / R&D consultancy projects(exclude Patients consultancy) including Clinical trials during the last five years</i> 3.5.2.1 Total amount generated from consultancy year-wise during the last five years (INR in lakhs) 	5
	Year	
	Amount (INR in lakhs)	
	 Data Requirement for the last five years: Names of the consultants Name of consultancy projects including Clinical trials Consulting/Sponsoring agency with contact details Revenue generated (amount in INR in lakhs per project) Total revenue generated in INR in lakhs Upload Audited statements of accounts indicating the revenue generated 	

•	CA certified copy/Finance Officer Certified copy attested by head of the institute <u>(Refer annexure number -01)</u>	
	List of consultants and revenue generated by them (Data Template) Any other relevant information	

Key Indicators - 3.6 Extension Activities (45)

Metric No.		Weightage
No. 3.6.1 QnM	Extension* and outreach activities* such as community Health Education, Community health camps, Tele-conferences, Tele-M consultancy etc., are conducted in collaboration with industry, Government and non- Government Organisations engagin NSS/NCC/Red cross/YRC, Institutional clubs etc., during the la years	<i>Iedicine</i> ng
	* <i>check glossary for definition</i> 3.6.1.1 Number of extension and outreach activities conducted a collaboration with industry, community Government and Non- Government Organisations engaging NSS/NCC/Red cross/YRC, Institutional clubs etc., year-wise during the last five years	
	Year Number of activities	
	 Data requirement as in 3.6.2 (data Template common to both 3.6.1 & 3.6.2) Upload: Photographs or any supporting document in relevance Detailed program report for each extension and outree program should be made available, with specific men number of students and collaborating agency particip Description of participation by NSS/NCC/Red cross/YR Institutional clubs etc., year-wise for the last 5 years Any other relevant information 	each ution of pated
3.6.2 QnM	Average percentage of students participating in extension and activities beyond the curricular requirement as stated at 3.6.1	outreach 10
~~···	Year Image: Second se	
	Formula Number of students participating in extension –outreach activities beyond the requirement in a year Percentage per year =x	

	Total number of students in that year	
	Average percentage = $\frac{\sum Percentage per year}{5}$	
	5	
	Data Requirement for the last five years: (As per Data Template)	
	• Name and number of the extension and outreach activities	
	• Names of the collaborating agencies: Non- government, industry,	
	community with contact details	
	• Number of students who participated in each of the activities	
	Upload	
	Reports of the events organized	
	• Number of extension and outreach activities conducted with industry,	
	community etc, for the last five years (Data Template)	
	Geo tagged Photos of events and activitiesAny other relevant information	
	- Any other relevant information	
3.6.3	Number of awards and recognitions received for extension and	05
	outreach activities from Government / other recognized bodies during	
QIM	the last five years	
	Describe the nature and basis of awards (reasonitions reasived for	
	Describe the nature and basis of awards /recognitions received for extension and outreach activities of the Institution year-wise from	
	Government /other recognised bodies during the last five years within	
	500 words	
	Data Requirement for the last five years:	
	• Name of the activity	
	• Name of the awards/ recognitions	
	 Name of the awarding government agency/ other recognised bodies Year of the award 	
	• real of the award	
	Provide web link:	
	• Number of awards for extension activities in the last 5 years- e-copy of	
	the award letters	
	• List of Government/other recognized bodies that have given the	
	awards	
	Any other relevant information	
3.6.4	Institutional social responsibility activities in the neighborhood	15
	community in terms of education, environmental issues like Swachh	20
QıM	Bharath, health and hygiene awareness, delivery of free/ subsidized health	
	care and socio economic development issues carried out by the students and	
	staff, including the amount of expenditure incurred during the last five	
	years	
	Describe the impact of extension activities in sensitizing students to	
	social issues and holistic development within 500 words	
	-	

Provide web link to:	
Geo-tagged photographs of Institutional social responsibility activ	rities
Link for additional information	

Key Indicator - 3.7 Collaboration (20)

Metric		Weightage
No. 3.7.1	Average Number of Collaborative activities for research, faculty	10
QnM	<i>exchange, student exchange/ Industry-internship etc, per year</i> 3.7.1.1 Total number of Collaborative activities for research, faculty exchange, student exchange year-wise during the last five years	10
	Year Number of collaborative activities	
	Data Requirements for the last five years: Title of the collaborative activity Name of the collaborating agency with contact details Source of financial support Year of collaboration Duration Nature of the activity Formula Total Number of such activities during the last five years 5 Upload List of Collaborative activities for research, faculty etc, (as per Data Template) Certified copies of collaboration documents and exchange visits Link with collaborating Institutional website Any other relevant information 	
3.7.2 QnM	 Presence of functional MoUs with Institutions/ industries in India and abroad for academic, clinical training / internship, on-the-job training, project work, student / faculty exchange, collaborative research programmes etc., during the last five years 3.7.2.1 Number of functional MoUs for faculty exchange, student exchange, academics, clinical training, internship, on-the-job training, project work, collaborative research programmes etc., during the last five years 	10

Number of MoUs Data Requirements for the last five years: (As per Data Template) • Title of the MoU • Name of the partnering Institution/ Industry /research lab with contact details
 Title of the MoU Name of the partnering Institution/ Industry /research lab with
 Year of commencement Duration(From-to) Nature of MoU Details of activities

Criterion IV – Infrastructure and Learning Resources (100)

Key Indicator - 4.1 Physical Facilities (20)

Metric No		Weightage
4.1.1	The Institution has adequate physical facilities for teaching – learning, skills acquisition etc.	5
QıM		
	Describe the adequacy of facilities for teaching –learning viz., classrooms, ICT-enabled classrooms, seminar halls, facilities for Clinical learning, learning in the community, AYUSH-related learning cum therapy center, well equipped laboratories, Skills labs etc. as stipulated by the appropriate Regulatory bodies within 1000 words	
	 Provide weblink to : Teaching- learning and skills acquisition facilities in the Institution Geotagged photographs of the facilities Any other relevant information 	
4.1.2 QıM	The Institution has adequate facilities to support physical and recreational requirements of students and staff- sports, games (indoor, outdoor), gymnasium, auditorium, yoga centre etc. and for cultural activities	5

	Describe the facilities available for sports, games and cultural activities including specifications about area/size, year of establishment and user rate etc., within 500 words	
	 Provide web link to : Available sports and cultural facilities : Geotagging Any other relevant information 	
4.1.3 QIM	 Availability and adequacy of general campus facilities and overall ambience: Describe the availability and adequacy of campus facilities such as hostels, medical facilities, toilets, canteen, post office, bank, roads and signage, topography, greenery, alternate sources of energy, STP, water purification plant etc. (within 500 words) Provide weblink to : Geo-tagged photographs of campus facilities 	5
4.1.4 QnM	Any other relevant information Average percentage of expenditure incurred, excluding salary, for infrastructure development and augmentation during the last five years Percentage of expenditure incurred, excluding salary, for infrastructure development and augmentation during the last five years (INR in lakhs) Year	5
	Amount (INR in lakhs)Data Requirement for the last five years: (As per Data Template)• Expenditure for infrastructure development and augmentation• Total expenditure excluding salaryFormula:Percentage per year =Expenditure on infrastructure development and augmentation exluding salary for a year Total expenditure excluding salary for that yearTotal expenditure excluding salary for that yearAverage percentage = $\frac{\sum Percentage per year}{5}$ Upload:• Audited report / utilization statements (highlight relevant items) (Refer annexure number -01)	

Metric No.		Weightage
4.2.1 QIM	Teaching Hospital/s, Equipments, Laboratory and clinical teaching-learning facilities including equipment as per the norms of the respective Regulatory Bodies.	15
	 Describe the adequacy of facilities for clinical teaching learning within 1000 words Provide web link to : The facilities as per the stipulations of the respective Regulatory Bodies with Geotagging List of facilities available for patient care, teaching- learning and research with geotagged evidences Any other relevant information 	
4.2.2 QIM	 Describe the adequacy of both outpatients and inpatients in the teaching hospital during the last five years vis-a-vis the number of students trained and programmes offered (based on HIMS / EMR) within 500 words. Provide web link to : Year-wise outpatient and inpatient statistics for the last 5 years Description of adequacy of outpatient and inpatient statistics as per the norms of the Regulatory Bodies (critical documents to be verified by DVV) Link to hospital records / Hospital Management Information System 	5
4.2.3	Availability of infrastructure for community based learning	7
QnM	 Institution has: 1. Attached Satellite Primary Health Centers 2. Attached Rural Health Centers available for training of students 3. Attached Urban Health Centre for training of students	
	 Upload Geotagged photographs of Health Centers Government Order on allotment/assignment of PHC to the institution Documents of resident facility Any other relevant information 	

Key Indicator - 4.2 Clinical, Equipment and Laboratory Learning Resources (30)

4.2.4 QnM	Is the Teaching Hospital / Clinical Laboratory accredited by any National Accrediting Agency?	3
	A. NABH accreditationB. NABL accreditationC. International accreditation like JCI.,D. ISO certification of departments /InstitutionE. GLP/GCLP accreditation.	
	 Upload: Copies of the Certificate/s of Accreditations Any other relevant documents 	

Key Indicator - 4.3 Library as a Learning Resource (20)

Metric		Weightage
<u>No.</u>		
4.3.1	Library is automated using Integrated Library Management System (ILMS)	4
QıM	Describe the Management System of the Library within 500 words	
	• Name and features of the ILMS software	
	• Nature and extent of automation (full or partial)	
	• Year of commencement and completion of automation	
	Provide Weblink to:	
	Geotagged photographs	
	• Any other relevant information	
4.3.2	Total number of books and reference volumes as well as collection of ancient books, manuscripts, Digitalized traditional manuscripts,	3
QıM	Discipline-specific learning resources from ancient Indian languages, special reports or any other knowledge resource for library enrichment especially with reference to traditional systems of medicines	

	Provide details of the number of text books, reference volumes and as well as the collection of ancient books, manuscripts etc. in the library within 500 words	
	 Data Requirement for the last five years: List of text books and reference volumes in the acquisition list of the library List of ancient books/ manuscripts etc., in the library Names of the publishers Names of the authors Number of copies Year of publication 	
	 Provide web link to : Library acquisition data Any other relevant information 	
4.3.3	Does the Institution have an e-Library with membership/ subscription for the following:	3
QnM	Options 1. e - journals / e-books consortia 2. e - ShodhSindhu 3. Shodhganga 4. SWAYAM 5. Discipline-specific Databases Data Requirement for the last five years: (As per Data) • Details of memberships/subscriptions • Details of e-resources with full text access • Details of subscriptions with validity period • Data template. Upload: • Details of subscriptions like e-journals, e-ShodhSindhu, Shodhganga Membership etc. (Data Template) • E-copy of subscription letter/member ship letter or related document with the mention of year to be submitted • Any other relevant information	
4.3.4 Q _n M	 Average annual expenditure for purchase of books and journals (including e-resources) during the last five years 4.3.4 Annual expenditure for purchase of books and journals year wise during the last five years (INR in lakhs) 	5
	Year	

	Amount(INR in lakhs)	
	Data Requirement for last five years: • Expenditure on the purchase of books • Expenditure on the purchase of journals in i th year • Year of expenditure: Formula: $\frac{1}{5} \times \sum_{i=1}^{5} Expd_i$ Where: Expd _i = Expenditure in rupees on purchase of books and isourgals in i th user	
	 journals in ith year Upload Provide consolidated extract of expenditure for purchase of books and journals during the last five years duly attested by Finance Officer Audited Statement highlighting the expenditure for purchase of books and journal library resources (Refer annexure number -01). Proceedings of Library Committee meetings for allocation of fund and utilization of fund Details of annual expenditure for purchase of books and journals during the last five years (Data Template) Any other relevant information 	
4.3.5	E-content resources used by teachers/students :	5
QnM	1. NMEICT/NPTEL 2. other MOOCs platforms 3. SWAYAM 4. Institutional LMS 5. e-PG-Pathshala	
	Data Requirements: As per Data Template	
	 Upload Give links or upload document of e-content developed. Supporting documents from the hosting agency for the e-content developed by the teachers need to be given Give links e-content repository used by the teachers / <i>Students</i> Data Template 	

Key Indicator – 4.4 IT Infrastructure (20)

Metric No.		Weightage
4.4.1	Percentage of classrooms, seminar halls and demonstration rooms	5
	linked with internet /Wi-Fi enabled ICT facilities (data for the	
QnM	preceding academic year)	

4.4.4	Facilities for e-content development such as Media centre, audio visual centre, Lecture Capturing System (LCS), etc.	5
A A 4		
	 Annual subscription bill / receipt Any other relevant information 	
	indicating internet connection plan, speed and bandwidth	
	InstitutionBills for any one month of the last completed academic year	
	• Details of available bandwidth of internet connection in the	
	Upload	
	E. <50 MBPS	
	C. 250 MBPS - 500 MBPS D. 50 MBPS - 250 MBPS	
	A. ≥ 1 GBPSB. 500 MBPS - 1 GBPS	
XIII.	Opt any one:	
Q _n M	(Leased line)	
4.4.3	Available bandwidth of internet connection in the Institution	5
	 Any other relevant information 	
	Provide weblink to:Documents relating to updation of IT and Wi-Fi facilities	
	words	
	Describe computer availability for students and IT facilities including Wi-Fi with the date(s) and nature of updation within 500	
QıM		
4.4.2	Institution frequently updates its computer availability for students and IT facilities including Wi-Fi	5
	Any other relevant information	
	Geo-tagged photographs	
	 Consolidated list duly certified by the Head of the institution. 	
	• Number of classrooms, seminar halls and demonstration room with ICT enabled facilities (Data Template)	
	Upload	
	Data Requirements: (As per Data Template)	
	Total number of classrooms, seminar halls and demonstration roomin the institution	
	Number of classrooms, seminar halls and demonstration rooms	
	Formula:	
	4.4.1.1 Number of classrooms, seminar halls and demonstration room with ICT facilities	

QıM	Describe the facilities for e-content development such as Media centre, audio visual centre, Lecture Capturing System (LCS) and their optimum usage, etc. (within 500 words).	
	Provide weblink to:	
	• The e-content development facilities	
	Geotagged photographs	
	• Any other relevant information	

	Key Indicator - 4.5 Maintenance of Campus Infrastructure (10)	
Metric No.		Weightage
4.5.1	Average percentage of expenditure incurred on maintenance of physical facilities and academic support facilities excluding salary	5
QnM	<i>component during the last five years</i> 4.5.1.1 Expenditure incurred on maintenance of physical facilities and academic support facilities excluding salary component year-wise during the last five years (INR in lakhs)	
	Year Amount (INR in lakhs)	
	 Data Requirement for the last five years:(As per Data Template) Details of non-salary expenditure incurred on the maintenance of physical facilities and academic support facilities for the last 5 years in INR lakhs Formula: Expenditure on maintenance of physical and academic support facilities excluding salary component for a year Percentage per year =	
	Average percentage = ∑Percentage per year 5 Upload • Audited statements of accounts on maintenance (<u>Refer annexure</u> number -01 as per SOP). • Details about assigned budget and expenditure on physical facilities and academic support facilities (Data Template) • Link to ERP	

	Any other relevant information	
4.5.2 QıM	There are established systems and processes for maintaining physical and academic support facilities: (laboratory, library, sports facilities, computers, classrooms, etc.)	5
	Describe policy details of systems and processes for maintaining physical and academic support facilities within 500 words.	
	 Provide Web link to: Minutes of the meetings of the Maintenance Committee. Log book or other records regarding maintenance works. Any other relevant information 	

Criterion V - Student Support and Progression (100)

Key Indicator - 5.1 Student Support (30)

Metric No.		Weightage
5.1.1	Average percentage of students benefited by scholarships /free- ships / fee-waivers by Government / Non-Governmental	10
QnM	agencies / Institution during the last five years	
	5.1.1.1 Number of students benefited by scholarships / freeships / fee-waivers by Government / Non-Governmental agencies / Institution during the last five years	
	Year	
	Number of students benefited	
	 Data Requirement for the last five years:(As per Data Template) Name of the scheme Number of students benefited 	
	Formula: Percentage per year = Number of students benefited by scholarships, free ships, fee-waivers by Governmental, <u>non-Governmental agencies or the Institution in a year</u> X 100 Number of students in that year	
	Average percentage = $\frac{\sum Percentage per year}{5}$	
	 Upload : Attested copies of the sanction letters from the sanctioning authorities 	

	 Consolidated document in favor of free ships and number of beneficiaries duly signed by the Head of the institution List of students who received scholarships/ free ships /fee-waivers Data Template Any other relevant information 	
5.1.2 QnM	Institution implements a variety of capability enhancement and other skill development schemes 1. Soft skills development 2. Language and communication skill development 3. Yoga and wellness 4. Analytical skill development 5. Human value development	7
	 6. Personality and professional development 7. Employability skill development Data Requirements: (As per Data Template) Name of the capability enhancement and skill development scheme/s Year of implementation Number of students enrolled Name of the agencies involved with contact details Upload Detailed report of the Capacity enhancement programs and other skill development schemes List of capability enhancement and skill development schemes (Data Template) Link to Institutional website Any other relevant information 	
5.1.3 QnM	Average percentage of students benefited by guidance for competitive examinations and career advancement offered by the Institution during the last five years5.1.3.1 Number of students benefited by guidance for competitive examinations and career advancement offered by the Institution year- wise during the last five years	5
	Year Image: Second students Number of students Image: Second students Data Requirement for last five years:(As per Data Template) • Name of the scheme • Number of students who have passed in the competitive exams • Number of students placed	

	Formula: Percentage per year = Number of students benefited by guidance for competitive examinations and career advancement offered by the institution in a year Number of students in that year X 100	
	Average percentage = $\frac{\sum \text{Percentage per year}}{5}$	
	 Upload Copy of circular/brochure of such programs Year-wise list of students attending each of these schemes signed by competent authority program/scheme mentioned in the metric List of students (Certified by the Head of the Institution) benefited by guidance for competitive examinations and career advancement offered by the Institution during the last five years (Data Template) Any other relevant information 	
5.1.4	The Institution has an active international student cell of the	2
QıM	<i>preceding academic year</i> Describe the international student cell activities within 500 words	
	 Provide Weblink to International students' cell Any other relevant information 	
5.1.5 QnM	The Institution has a transparent mechanism for timely redressal of student grievances / prevention of sexual harassment and prevention of ragging	6
	 Adoption of guidelines of Regulatory bodies Presence of the committee and mechanism of receiving student grievances (online/ offline) Periodic meetings of the committee with minutes Record of action taken 	
	Data Requirement: (As per Data Template)	
	 Upload Minutes of the meetings of student Grievance redressal committee and Anti-Ragging committee Circular/web-link/ committee report justifying the objective of the metric Details of student grievances and action taken (Data Template) Any other relevant information 	

Key Indicator	- 5.2 Student Progression	n (40)
ney malculor		• (••)

5.2.1 QnM	Average percentage of students qualifying in state/ national/	
	<i>international level examinations during the last five years</i> (<i>eg: NET/SLET/GATE/GMAT/GPAT/CAT/GRE/TOEFL/ PLAB/USMLE /AYUSH/ Civil Services/Defense /UPSC/State government</i> <i>examinations/PG-NEET/</i> AIIMSPGET, JIPMER Entrance Test, PGIMER Entrance Test etc.,) 5.2.1.1: Number of students qualifying in state/ national/ international level examinations (eg: NET/SLET/GATE/GMAT/ GPAT/CAT/ GRE/TOEFL/ PLAB/ USMLE /Civil services/ Defense/UPSC/State government examinations/ <i>PG-NEET</i> / AIMSPGET, JIPMER Entrance Test, PGIMER Entrance Test etc.,) year-wise during the last five years	10
	Year	
	Number of Students qualifying	
	PG-NEET/ AIMSPGET, JIPMER Entrance Test, PGIMER Entrance Test etc.,)) year wise during the last five years Year	
	Number of Students appearing	
	Data Requirement for the last five years: (As per Data Template) Number of students selected IN • NET • SLET • GATE • GMAT • GPAT • CAT • CAT • GRE • TOEFL • PLAB • USMLE • Civil Services • State government examinations	

	Formula:	
	Number of students Percentage per year = $\frac{\text{qualifying in state, national, international level exams in a year}}{\text{Number of students appeared}} X 100$ for state, national, International level exams in that year	
	Average percentage = $\frac{\sum Percentage per year}{5}$	
	Upload 5	
	 List of students qualifying in state/ national/ international level 	
	examinations during the last five years (Data Template)	
	Pass Certificates of the examination	
	Any other relevant information	
5.2.2 QnM	Average percentage of placement /self-employed professional services of outgoing students during the last five years	15
	5.2.2.1 Number of outgoing students who got placed / self-employed year- wise during the last five years	
	Number students placed / self employed	
	 Data Requirement for the last five years: (As per Data Template) Number of students placed / Self Employed Names of the employers with contact details Name of self-employed professional with Register No. and contact details 	
	Formula: Number of outgoing students placed /Self Employed in a year Percentage per year = x 100 Total number of outgoing students in that year	
	Average percentage = $\frac{\sum Percentage per year}{5}$	
	 Upload Self-attested list of students placed / self-employed Details of student placement / self-employment during the last five years (Data Template) Any other relevant information 	
5.2.3 QnM	Percentage of the graduates in the preceding academic year, who have had progression to higher education.	15
× m · · ·	5.2.3.1 Number of outgoing students progressing to higher education	
	Data Requirement : (As per Data Template)	
	Data Requirement : (As per Data Template)	

Numb	er of students proceeding from
•	UG to PG:
•	PG to DM/M.Ch/DNB (Super Specialty)
•	PG to PhD:
•	Ph.D to Post doctoral:
Formu	la
	Number of graduated students of the preceding academic year,
	who have progressed to higher education X100
-	Total number of graduating students in that year
Upload	l
•	List of students who have progressed to Higher education preceding
	academic year.
•	Supporting data for students/alumni
•	Details of student progression to higher education (Data Template)
•	Any other relevant information

Metric No.		Weightage
5.3.1 QnM	Number of awards/medals for outstanding performance in sports/ cultural activities at state/regional/national/international events (award for a team event should be counted as one) during the last five years	10
	5.3.1.1 Number of awards/medals for outstanding performance in sports/cultural activities at state/regional /national/international events (award for a team event should be counted as one) year-wise during the last five years	
	Year	
	Number of awards/medals Image: Constraint of the second	
	 Data Requirement for the last five years: (As per Data Template) Name of the award/ medal State/Regional/National/ International Sports/ Cultural 	
	Upload	
	• e-copies of award letters and certificates	
	• List of awards/medals for outstanding performance in sports/cultural activities at national/international events year-wise during the last five years (Data Template)	
	• Any other relevant information	
5.3.2	Presence of Student Council and its activities for Institutional development and student welfare.	5

Q1M	Describe the Student Council activities and students' role in Institutional development and student welfare within 500 words	
	Provide web link to:	
	Student Council activities	
	• Any other relevant information	
5.3.3	Average Number of sports and cultural activities / events/ competitions organised in the Institution per year	5
QnM	5.3.3.1 Number of sports and cultural activities / competitions organised by the Institution year-wise during the last five years	
	Year	
	Number events	
	Formula: Number of sports and cultural activites /events/ competitions organised by the Institution during the last 5 years 5	
	Upload:	
	 Report of the events/along with photographs appropriately dated and captioned year-wise. 	
	Copy of circular/brochure indicating such kind of activities	
	Information as per Data template	
	Any other relevant information	

Key Indicator - 5.4 Alumni Engagement (10)

Metric		Weightage
No.		
5.4.1 QıM	The Alumni Association/Chapter (registered and functional) has contributed significantly to the development of the Institution through financial and other support services during the last five years	5
	Describe the contribution of Alumni Association to the Institution within 500 words Provide weblink to	
	Details of Alumni Association activities	
	 Frequency of meetings of Alumni Association with minutes 	
	Quantum of financial contribution	
	 Audited statement of accounts of the Alumni Association (<u>Refer</u> <u>annexure number -01 as per SOP)</u>. 	
5.4.2	Provide the areas of contribution by the Alumni Association / chapters	5
	during the last five years	
QnM	1. Financial / kind	

	2. Donation of books /Journals/ volumes
	3. Students placement
	4. Student exchanges
	5. Institutional endowments
U	pload:
•	Annual audited statements of accounts. Extract of Audited statements of highlighting Alumni Association contribution duly certified by the Finance Officer and Head of the Institutions
•	List of Alumni contributions made during the last 5 years
•	Certified statement of the contributions by the head of the Institution.
•	Any other relevant information

Criterion VI - Governance, Leadership and Management (100)

Key Indicator - 6.1 Institutional Vision and Leadership (10)

Metric No.		Weightage
6.1.1	The Institution has clearly stated vision and mission which are reflected	5
	in its academic and administrative governance	
QıM		
	Describe the vision and mission of the Institution; nature of governance,	
	perspective plans and stakeholders' participation in the decision-making	
	bodies highlighting the activities leading to Institutional excellence.	
	Response to be provided within 500 words	
	Provide web link to:	
	• Vision and mission documents approved by the Statutory Bodies	
	Report of achievements which led to Institutional excellence	
	• Any other relevant information	
6.1.2	Effective leadership is reflected in various Institutional practices such	5
QıM	as decentralization and participative management etc.	
QIN	Describe a case study on decentralization and participative management	
	and its outcomes in Institutional governance within 500 words	
	and its outcomes in institutional governance within 500 words	
	Provide web link to:	
	• Information / documents in support of the case study	
	Any other relevant information	

Key Indicator - 6.2 Strategy Developments and Deployment (15)

Metric No.		Weightage
6.2.1	The Institutional Strategic plan is effectively deployed.	5
QıM	Describe the methodology adopted for developing the strategic plan; the mechanisms for its deployment and the monitoring and assessment of the deliverables within 500 words	
	 Provide web link to Strategic Plan document Minutes of the Governing Council/ other relevant bodies for deployment / monitoring of the deliverables Any other relevant information 	
6.2.2 QıM	<i>Effectiveness and efficiency of functioning of the Institutional bodies as evidenced by policies, administrative setup, appointment and service rules, procedures etc.</i>	5
	Describe how the Institution ensures effective and efficient functioning of its bodies and authorities within 500 words	
	 Provide web link to Organogram of the University Annual Report of the preceding academic year Minutes of meetings of various Bodies and Committees Any other relevant information 	
6.2.3	The University has implemented e-governance in the following areas of operation	5
QnM	 Planning and Development Administration (including Hospital Administration & Medical Records) Finance and Accounts Student Admission and Support Examination 	
	 Upload: Institutional budget statements allocated for the heads of E-governance implementation ERP Document e-Governance related document Screen shots of user interfaces Data template Any other relevant information 	

Key Indicator - 6.3 Faculty and Staff Empowerment Strategies (25)

Metric No.		Weightage
6.3.1	The Institution has effective welfare measures for teaching and non- teaching staff and other beneficiaries.	5
QıM	Describe the existing welfare measures for teaching and non-teaching staff and other beneficiaries, within 500 words	
	 Provide web link to Policy document on welfare measures List of beneficiaries of welfare measures Any other relevant information 	
6.3.2 QnM	Average percentage of teachers provided with financial support to attend conferences / workshops and towards membership fee of professional bodies during the last five years	5
	6.3.2.1Number of teachers provided with financial support to attend conferences / workshops and towards membership fee of professional bodies year-wise during the last five years	
	YearImage: Constraint of teachers	
	Formula: Percentage per year = Number of teachers provided with financial support to attend conferences,workshops and towards membership fee of professional bodies in a year Number of fulltime teachers in that year	
	Average percentage = $\frac{\sum \text{Percentage per year}}{5}$ Upload	
	 Details of teachers provided with financial support to attend conferences, workshops etc. during the last five years (Data Template) List of teachers provided membership fee for professional bodies during the last five years Policy document on providing financial support to teachers E-copy of letter/s indicating financial assistance to teachers and list of teachers receiving financial support year-wise under each head. 	

	 Audited statement of account highlighting the financial support to teachers to attend conferences/workshops and towards membership fee for professional bodies. Any other relevant information 	
6.3.3 QnM	Average number of professional development / administrative training programmes organized by the University for teaching and non-teaching/technical staff during the last five years (Continuing education programmes, entrepreneurship development programmes, Professional skill development programmes, Training programmes for administrative staff etc.,) 6.3.3.1 Total number of professional development / administrative training programmes organized by the Institution for teaching and non-teaching/technical staff year-wise during the last five years Year	5
	Number of programmes Image: Image	
6.3.4 Q _n M	Average percentage of teachers undergoing Faculty Development Programmes (FDP) including online programmes (Orientation / Induction Programmes, Refresher Course, Short Term Course etc.) during the last five years	7
	6.3.4.1 Total number of teachers undergoing Faculty Development Programmes including online programmes, Orientation / Induction	

	Programmes, Refresher Course, Short Term Course and any other course year-wise during the last five years	
	Year Image: Second se	
	Formula: Total Number of teachers attending such programmes in a year Percentage per year =	
	 Average percentage = ∑Percentage per year 5 Upload: Details of teachers attending FDPs during the last five years (as per Data Template) Annual reports of the AQAR submitted to NAAC E-copy of the certificate of the program attended by teacher Any other relevant information 	
6.3.5 QıM	Institution has Performance Appraisal System for teaching and non- teaching staffDescribe the Performance Appraisal System adopted for teaching and non-teaching staff, within 500 words	3
	 Provide web link to Performance Appraisal policy of the Institution. Any other relavent information 	

Key Indicator – 6.4 Financial Management and Resource Mobilization (20)

Metric No.		Weightage
6.4.1	Institutional strategies for mobilization of funds and the optimal utilisation of resources	8
QıM		
	Describe the resource mobilization policy and procedures for optimal utilization of resources within 500 words	
	Provide web link to	
	• Resource mobilization policy document duly approved by BoM / Syndicate /	
	Governing Council	
	Procedures for optimal resource utilization	
	Any other relevant information	

6.4.2 QnM	Funds / Grants received from government / non-government bodies / philanthropists during the last five years (excluding scholarships and research grants covered under Criterion III)	7
	Total funds / Grants received from government /non- government bodies year- wise during the last five years	
	Year	
	Funds/grants received from Government	
	bodies (INR in Lakhs) Funds/grants received from Non-Government	
	bodies (INR in Lakhs)	
6.4.3	 Upload: Audited statements of accounts for five years Copy of letter indicating the grants/funds received by respective agency as stated in metric Provide the budget extract of audited statement towards Grants received from non-government bodies, individuals, philanthropist duly certified by chartered accountant and/or Finance Officer Information as per Data template Any other relevant information Institution conducts internal and external financial audits regularly 	5
QiM	Describe the mechanism for internal and external financial audits conducted by the Institution during the last five years (within 500 words)	5
	 Provide web link to Policy on internal and external audit mechanisms Financial Audit reports for the last five years (<u>Refer annexure number -01 as per SOP</u>) Any other relevant information 	

Key Indicator - 6.5 Internal Quality Assurance System (30)

Metric No.		Weightage
6.5.1	Instituion has a streamlined Internal Quality Assurance Mechanism	10
QıM	Describe the Internal Quality Assurance Mechanism in the Institution and the activies of IQAC within 1000 words	
	 Provide web link to The structure and mechanism for Internal Quality Assurance Report on the initiatives for the appointment of a fulltime Director/Officer for the IQAC 	

	Minutes of the IQAC meetings.	
	Any other relevant information	
6.5.2	Quality assurance initiatives of the Institution include:	10
QnM	 Academic and Administrative Audit (AAA) and initiation of follow-up action Conferences, Seminars, Workshops on quality Collaborative quality initiatives with other Institution(s) Orientation programmes on quality issues for teachers and students Participation in NIRF process Any other quality audit by recognized State, National or International agencies (ISO, NABH, NABL Certification, NBA, any other) Upload: Report /certificate of the Quality Assurance Initiatives as claimed by the Institutions eg: NBA, ISO, NABH, NABL, AAA etc., Data template including documents/certificates relating to options 1 to 6 above. Any other relevant information. 	
6.5.3 QıM	Impact analysis of the various initiatives carried out and used for quality improvement Describe the process and outcome of the impact analysis, carried out with reference to student performance, teaching learning, assessment process and learning outcomes, research, students and other stakeholder feedback, administrative reforms, financial management etc. within 500 words	10
	 Provide web link to: Relevant documents/information on the process and results of impact analysis on the above aspects Any other relevant information 	

Criterion VII - Institutional Values and Best Practices (100)

Key Indicator - 7.1 Institutional Values and Social Responsibilities (50)

Metric No.		Weightage
	Gender Equity	
7.1.1	Measures initiated by the Institution for the promotion of gender equity during the last five years.	5
QıM	Describe gender equity & sensitization in curricular and co-curricular activities, facilities for women on campus etc., within 500 words	

	Provide Web link to:	
	Annual gender sensitization action plan	
	• Specific facilities provided for women in terms of:	
	a. Safety and security	
	b. Counselling	
	c. Common Rooms	
	d. Day care center for young children	
	<i>e</i> . Any other relevant information	
	Environmental Consciousness and Sustainability	
7.1.2	The Institution has facilities for alternate sources of energy and energy	5
QnM	conservation measures	C
	1. Solar energy	
	2. Biogas plant	
	3. Wheeling to the Grid	
	4. Sensor-based energy conservation	
	5. Use of LED bulbs/ power efficient equipment	
	Upload:	
	• Geo-tagged Photographs	
	• Any other relevant information	
7.1.3 QıM	Describe the facilities in the Institution for the management of the following types of degradable and non-degradable waste (within 500 words)	4
-	Solid waste management	
	Liquid waste management	
	Biomedical waste management	
	• E-waste management	
	Waste recycling system	
	Hazardous chemicals and radioactive waste management	
	Provide web link to	
	Relevant documents like agreements/MoUs with Government and other	
	1 .	
	approved agencies	
	Geotagged photographs of the facilities	
7.1.4	Geotagged photographs of the facilities	4
	 Geotagged photographs of the facilities Any other relevant information <i>Water conservation facilities available in the Institution:</i>	4
7.1.4 QnM	 Geotagged photographs of the facilities Any other relevant information <i>Water conservation facilities available in the Institution:</i> Rain water harvesting 	4
	 Geotagged photographs of the facilities Any other relevant information Water conservation facilities available in the Institution: Rain water harvesting Borewell /Open well recharge 	4
	 Geotagged photographs of the facilities Any other relevant information Water conservation facilities available in the Institution: Rain water harvesting Borewell /Open well recharge Construction of tanks and bunds 	4
	 Geotagged photographs of the facilities Any other relevant information Water conservation facilities available in the Institution: Rain water harvesting Borewell /Open well recharge 	4

	Upload :	
	• Geo-tagged photographs / videos of the facilities	
	Any other relevant information	
715	Crean agunnus initiativas includas	1
7.1.5	Green campus initiatives include:	4
QnM	 Restricted entry of automobiles Battery-powered vehicles Pedestrian-friendly pathways Ban on the use of Plastics Landscaping with trees and plants 	
	 Upload Geo-tagged photographs / videos of the facilities Relevant documents / reports Any other relevant documents 	
7.1.6	Quality audits on environment and energy regularly undertaken by the Institution and any awards received for such green campus initiatives:	5
QnM		
	Green audit	
	Energy audit	
	Environment audit	
	Clean and green campus recognitions / awards	
	Beyond the campus environmental promotion activities	
	Upload:	
	 Audit reports of the institution related to the metric 	
	• Data template	
	• Any other relevant information	
	•	
7.1.7	The Institution has disabled-friendly, barrier free environment	4
Q _n M	 Built environment with ramps/lifts for easy access to classrooms. Disabled friendly weaknooms 	
Qnivi	 Disabled-friendly washrooms Signage including tactile path, lights, display boards and signposts 	
	 Assistive technology and facilities for persons with disabilities (
	<i>Divyangjan</i>) accessible website, screen-reading software, mechanized	
	equipment	
	• Provision for enquiry and information : Human assistance, reader, scribe, soft copies of reading material, screen reading	
	Upload:	
	 Geo-tagged photographs / videos of the facilities 	
	 Relevant documents / reports 	
	• Any other relevant information	
	Data Template	
	Inclusion and Situatedness	

7.1.8 QıM	 Describe the Institutional efforts/initiatives in providing an inclusive environment i.e., tolerance and harmony towards cultural, regional, linguistic, communal socioeconomic and other diversities (within 500 words). Provide Web link to: Supporting documents on the information provided (as reflected in the administrative and academic activities of the Institution) Any other relevant information. 	5
	Human Values and Professional Ethics	
7.1.9 QıM	Sensitization of students and employees of the Institution to the constitutional obligations: values, rights, duties and responsibilities of citizens	4
QIM	Describe the various activities in the Institution for inculcating values for being responsible citizens as reflected in the Constitution of India within 500 words. <i>Provide web link to :</i>	
	 Details of activities that inculcate values; necessary to render students in to responsible citizens Any other relevant information 	
7.1.10 QnM	 The Institution has a prescribed code of conduct for students, teachers, administrators and other staff and conducts periodic programmes in this regard. 1. The Code of Conduct is displayed on the website 2. There is a committee to monitor adherence to the Code of Conduct 3. Institution organizes professional ethics programmes for students, teachers, administrators and other staff 4. Annual awareness programmes on Code of Conduct are organized Upload: Web link of the code of conduct Details of Programs on professional ethics and awareness programs Any other relevant information 	5
7.1.11 Q ₁ M	 Institution celebrates / organizes national and international commemorative days, events and festivals Describe the efforts of the Institution in celebrating /organizing national and international commemorative days, events and festivals during the last five years within 500 words Provide web link to : Annual report of the celebrations and commemorative events for the last five years Geo-tagged photographs of some of the events Any other relevant information 	5

Key Indicator - 7.2 Best Practices (30)

Metric No.		Weightage
7.2.1	Describe two best practices successfully implemented by the Institution as	30
QıM	per NAAC format provided in the Manual.	
	Provide web link to:	
	Best practices in the Institutional web site	
	• Any other relevant information	

Note:

Format for the presentation of Best Practices

1. Title of the Practice

The title should capture the keywords that describe the practice.

2. Objectives of the Practice

What are the objectives/intended outcomes of this "Best Practice" and what are the underlying principles or concepts of this practice (in about 100 words)?

3. The Context

What were the contextual features or challenging issues that needed to be addressed in designing and implementing this practice (in about 150 words)?

4. The Practice

Describe the practice and its uniqueness in the context of India higher education. What were the constraints / limitations, if any, faced (in about 400 words)?

5. Evidence of Success

Provide evidence of success such as performance against targets and benchmarks, review/results. What do these results indicate? Describe in about 200 words.

6. Problems Encountered and Resources Required

Please identify the problems encountered and resources required to implement the practice (in about 150 words).

7. Notes (Optional)

Please add any other information that may be relevant for adopting/ implementing the Best Practice in other Institutions (in about150 words).

Key Indicator - 7.3 Institutional Distinctiveness (20)

Metric		Weightage
No.		
7.3.1	Portray the performance of the Institution in one area	20
	distinctive to its priority and thrust within 1000 words	
QlM		
-	Provide web link to:	
	• Appropriate Web link in the Institutional website	
	• Any other relevant information	

5. Evaluative Report of the Department (of the Health Sciences University)

1. Name of the University.....

Dist..... State.....

2. Name of the Department /Faculty / School

Sl. No.	Name of the Department	For Ex: Medical	Dental				
1.	Year of Establishment						
2.	Is the Department part of a School/Faculty of the University						
3.	Names of programmes offered						
4.	Number of teaching posts sanctioned/filled						
5.	Number of Research Projects: Total grants received						
6.	Inter –Institutional collaborative projects and Associated grants received						
	National collaboration						
	International collaboration						
7.	Departmental projects funded by DST-FIST, UGC-SAP/CAS,DPE, DBT, ICSSR, AICTE, DBT, MCI, PCI, WHO, NIH etc., Total grants received :						
8.	Special research laboratories sponsored by / created by industry or corporate bodies						
9.	Publications: Bibliometrics of the publications during the last five years based on average Citation index in Scopus/ Web of Science/PubMed/ Scopus/ Web of Science – h-index of the Institution						
	Number of Papers published						
	Number of Books with ISBN						
	Number of Citation Index – range / average						
	Number of Impact Factor – range / average						
	Number of h-index						
10.	Details of patents and income generated Number of Patents/ Copyrights published/awarded/technology- transferred during the last five years						
11.	Areas of consultancy and income generated						
12.	Awards/Recognitions received at the National a / recognitions received for innovation / discoveries b scholars/students during the last five years						
	Faculty						
	Doctoral/Post doctoral fellows		1				
	Students		1				
13.	Workshops/seminars conducted by the department on Intellectual Property Rights (IPR), Research methodology, Good clinical, Laboratory, Pharmacy and Collection practices, Research Grant writing and Industry-Academia						

	Collaborations during the last five years	
14.	Average percentage of students have cleared Civil Services and Defense Services examinations, and other competitive examinations (eg: NET/SLET/GATE/GMAT/CAT/GRE/TOEFL/ PLAB/USMLE/ Civil Services/Defense /UPSC/State government examinations/ AIMSPGET, JIPMER Entrance Test, PGIMER Entrance Test etc.,)	
15.	List of doctoral, post-doctoral students and research associates From the host Institution/University: From other Institutions/Universities:	
16.	Number of Research Scholars/ Post Graduate students getting financial assistance from the University/State/ Central	

Note: Compile the data for the last five years

6. Data Templates/Documents

(Quantitative Metrics)

The online formats (Templates) for submitting data with respect to Quantitative Metrics (Q_nM) are detailed in the following pages:

Kindly Note:

For each Quantitative Metric; the data required is required to be uploaded as indicated in the tabular column and/or documents required are listed.

- Documents such as minutes of meetings, decisions, statements of accounts, award letters, letters of appointments, and such others need to be uploaded as required; wherever these are in bulk, hyperlinks to the appropriate website be given.
- There could be some variation in the metrics from the QIF; this is due to rendering it to the IT format for online submission.
- The list of documents to be uploaded is only suggestive. If the Institution has any other relevant documents to substantiate its claims, the same may also be uploaded.

Data Templates/Documents-Health Sciences Universities Quantitative Metrics (QnM)

Sl. NO.	Criterion I –	Curricular A	Aspects (150)				
	Key Indicate	or - 1.1 Currie	culum Design and	Developmen	t (50)		
1	1.1.2 Percentag	e of Programmes	s where syllabus revis	ion was carried	out during the last five years (15)		
	Programme Code	Programme name	Name of the Department	Year of Introduction	If revision has been carried out in the syllabus during last 5 years	Year of revision	Link to the relevant document
	Details cInstituticSyllabus	f the revised Curr mal data in prescri	mic Council/BoS meeti icula/Syllabi of the prog ibed format (Data Temp revision of the courses ation	grammes during th	ne last five years		
	B .	Syllabus revision i f syllabus revision		nce in the same pr	ion in a programme ogramme during the last 5 years it should as per the norms of UGC alone to be coun		

	Key Indicator – 1.2 Academi	ic Flexibility (4	10)			
2	1.2.1 Percentage of Programmes in which Choice-Based Credit System (CBCS)/Elective Course System has been implemented, wherever					
	provision was made by the Re	gulatory Bodie	s (Data for the preceding aca	<i>demic year</i>). (10)		
	Names of all programmes		Names of all programmes		Year of implementation	
	adopting CBCS course	Programme	adopting elective course	Programme	of CBCS/elective course	Link to the
	system	code	system	code	system	relevant document
	Upload:					

- Minutes of relevant Academic Council/BoS meetings
- Institutional data in prescribed format (Data Template)
- University letter mandating implementation of CBCS by the institution
- Structure of the program clearly indicating courses, credits/Electives as approved by the competent board.
- Any other relevant information

Names of the new programmes	Name of the			
introduced in the last 5 years	Faculty	Programme code	Year of introduction	Link to the relevant docume
Upload:				1
• List of the new Programmes in	troduced during the las	st five years		
• Minutes of relevant Academic	Council/ BoS meeting			
• Institutional data in prescribed	format (Data Template	e)		
Institutional data in prescribed	iormai (Data Template			

1.2.3	Percentage of interdisciplinary cou	urses under the Programmes offered	l by the University during the last five years (15)
Year	Total number of programmes offered by the Institution	Total number of courses across all the programmes	Number of interdisciplinary courses introduced across all the Programmes	Upload relevant documents
Uploa	d:	•		
•	List of Interdisciplinary courses un	der the programmes offered by the U	University during the last 5 years	
•	Minutes of relevant Academic Cou	ncil/BoS meetings		
•	Institutional data in prescribed forr	nat (Data Template)		
•	Any other relevant information			

1.3.2 Number of value-added col	irses offerea auri	ng the last five years that the	apart transferable and life skills (13)	<u> </u>
1.3.3 Percentage of students who	successfully con	pleted the value-added cour	rses during the last five years (12)	
(Note: Data Template for 1.3.2 a	and 1.3.3 is comr	non as given below)		T
Name of the value-added course/s (with 15 or more contact hours) offered during the last five years	Course Code	Year of offering	Number of students successfully completing the course in that year	Upload relevant documents
Upload:Brochure or any other docum	nent related to the v	value-added course/s		
Distingio of any sales asta				

	Key Indicator - 1.4 Feedback System (20)
--	--

6	1.4.1 Mecha	nism is in pla	ce for obta	ining structured	feedback on	n curricula/syllabi	from variou	ıs stakeholders ((10)		
	Feedback co from studer		Feedbac from tea	k collected chers	Feedback employer	k collected from rs	Feedback alumni	k collected from		k collected fro ofessionals	0 m
	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	
	• URL	for feedback re	eport			e Governing Coun			C		
	• Institu	utional data in j	prescribed f	ormat (Data Temj	plate)						
	• Any o	other relevant in	nformation								

taken r		v	and action n the website	ack collection tak	ected, analyse xen	Feedback co	llected and	analysed	Feedback	collected
	Yes	No		Yes	No	Yes	No		Yes	No

• Any other relevant information.

				inclusiveness by providing reserv ts reserved for various categories				
Year	Num			ed for reserved category as per Government rule	Number		s admitted	from the reserved
Upload:	SC	ST	OBC	Others (Specify)	SC	ST	OBC	Others (Specify)
 Average Copy o state ru 	letter iss e (in Eng	sued by st flish)	ate govt. or	st seats reserved (As per Data Ten and Central Government Indicat IEI	- '	erved cate	gories to b	e considered as per the
 Average Copy o state ru Final ac Admiss Initial re Any oth 	e (in Eng mission I on extra- eservatio er relevan ne Deman	sued by st plish) ist publish of submitt n of seats nt information and Ratio, of e year-wis	ate govt. or hed by the H ed to the sta for admissic tion	and Central Government Indicat IEI te OBC, SC and ST cell every yea	ng the res r. <i>Common</i> five years	Entrance T	ests are no	- t conducted

• Extract of No. of applications received in each program

• The details are to be certified by the Controller of Examination or Registrar evaluation clearly mentioning the programs that are not covered under CET and the number of applications received for the same

10 2.1.3 Student enrollment pattern and student profile to demonstrate - national/international spread of enrolled students (from other states and countries) (3)

2.1.3.1Average percentage of students from other states and countries enrolled year-wise during the last five years

2.1.3.2 Total number of students enrolled in that year

Year of	Number of students enrolled from other	Number of students enrolled from other	
enrolment	states	countries	Link to the relevant document

- List of students from other states and countries
- Copy of the domicile certificate/passport from respective states / countries
- Previous degree/ Matriculation / HSC certificate from other state or country
- E-copies of admission letters issued to the students enrolled from other States / Countries.
- Institutional data in prescribed format (Data Template)
- Any other relevant information

				able criter advance	ria followed d learners	l to	Special programm Slow learners / ac as per identified c	lvanced lea			ocols to m vements	neasure	
	Yes	No		Yes	No		Yes	No			Yes	No	
• F • C	roforma	outcome measur created to ider ated report su	ntify slow le				tudent's welfare	on special	program	ns for a	Idvanced	l learner	s and slov
	-	relevant inform		a for the p	preceding ad	caden	nic year) (8)						
	ident : - f		er ratio (dat	1	ition No	. of fi	nic year) (8) all-time teachers in the institution					vant info	

Key Indicator 2.3 Teaching Learning Process (25)

13	2.3.2 H	as provi	sion for	the use (of Clinical	Skills La	boratory	and Simulat	tion Bas	ed Learni	ng (5)				
	Basic cl training				ced patien tion-based		rs for	Structured training an	1 0		ducted for students	facult	ty on the		onducted for nical skills labs aching
		Yes	No		Yes	No			Yes	No			Yes	No	
	•] •] •] •]	Geo-tag List of tr Proof of Proof of Report o	aining p Establis patient on traini	programi shment o simulato ing progr skills train	mes condu of Clinical ors for simu rammes in ning mode	ucted in tl Skills Lab ulation-ba n Clinical	he facult ooratorie ased trai	ies during t s	he last {	5 years	nts simulators				

14	2.3.4 Ratio of students to mentors (data for	the preceding academic year) (6)	
	Number of full-time teachers / other	Number of students enrolled	Number of students assigned to each mentors
	recognized mentors		

- Details of fulltime teachers/other recognized mentors and students
- Records of mentee mentor meetings
- Allotment order of mentor to mentee and records of mentee mentor meetings
- Copy of circular pertaining to the details of mentor and their allotted mentees
- Approved Mentor list as announced by the HEI
- Log Book of mentors
- Institutional data in prescribed format (Data Template)
- Any other relevant information

	Key Indicator - 2.4 Teacher Pro	ofile and Qua	lity (55)		
15	2.4.1 Average percentage of full-time	teachers against	sanctioned posts during the last	five years (15)	
	Name of the Full-time teacher	Designation	Nature of sanctioned post	Year of appointment	Name of the Department
		2 congination			
	Upload ÷				
	• Year-wise list of fulltime teach	ers and sanction	ed posts for the last 5 years (Ce	rtified by the Head of the	Institution)
	• Faculty Position sanction letter	s by competent a	authority.		
	• Appointment letters of faculty	during last five y	/ears		
	• Institutional data in prescribed	format (Data Te	mplate)		
	• Any other relevant information	l			
	-				

16 2.4.2 Average percentage of full-time teachers with Ph.D./D.Sc./D.Lit./DM/M Ch/DNB in super specialities /other PG degrees in Health Sciences for recognition as Ph.D guides as per the eligibility criteria stipulated by the Regulatory Councils / *Universities* during the last five years. (12)

J G G G G G G G G G G							
		Year of obtaining					
	Name of full-time teachers with	Ph.D./D.Sc./D.Lit./DM/M Ch/DNB					
	Ph.D./D.Sc./D.Lit./DM/M Ch/DNB in	in super specialities /other PG					
	super specialities /other PG degrees in	degrees in Health Sciences for					
	Health Sciences for recognition as Ph.D	recognition as Ph.D guides as per	Whe	ther recog	gnised as r	esearch guide	
	guides as per the eligibility criteria	the eligibility criteria stipulated by	for P	h.D as pe	r the eligi	bility criteria	
	stipulated by the Regulatory Councils /	the Regulatory Councils /	stipu	lated by t	he Regula	tory Councils /	Total number of
Year	Universities	Universities	Univ	versities	-		teachers
				Yes	No		

Upload:

• List of fulltime teachers with Ph.D/D.Sc./D.Lit./DM/M Ch/DNB in super specialities / other PG degrees (like MD/ MS/ MDS etc.,) in Health Sciences for recognition as Ph.D guides as per the eligibility criteria stipulated by the Regulatory Councils and the number of fulltime teachers for 5 years

- Recognition letter as guides for Ph.D/DM/M.Ch duly attested by the competent Authorities.
- Copies of Guide-ship letters or authorization of research guide provide by the competent authority
- Institutional data in prescribed format (Data Template)

• Any other relevant information

Number of Years in the In	nstitution and or	utside the Institution may	be considered in the last col	umn.
Name of the Full-time teacher	Designation	Year of appointment	Name of the Department	Number of years of teaching experience
Tunload				
Upload:				
-	luding details of th	heir designation, department	, total number of years of their to	eaching experience
• List of fulltime teachers inc	U	heir designation, department	, total number of years of their to	eaching experience
List of fulltime teachers incExperience certificate of ful	ll time teacher		, total number of years of their to	eaching experience
List of fulltime teachers inc	ll time teacher bed format (Data T		, total number of years of their to	eaching experience

18 2.4.4 Average percentage of teachers trained for development and delivery of e-contents/e-courses / *video lectures / demonstrations* during the last five years (8)

		Number of teachers trained for development & delivery of	
Year	Total number of teachers	e-contents/e-courses	Provide link to a few courses

- List of teachers trained for development and delivery of e-contents / e-courses / video lectures / demonstrations during the last 5 years
- Reports of the e-training programmes
- Certificate of completion of training for development of and delivery of e-contents / e-courses / video lectures / demonstrations
- Web-link to the contents delivered by the faculty hosted in the HEI's website
- List of e-contents / e courses / video lectures / demonstrations developed
- Any other relevant information

19 2.4.5 Average Percentage of fulltime teachers who received awards and recognitions for excellence in teaching, student mentoring, scholarships, professional achievements and academic leadership at State/ National/ International levels from Government / Government-recognized agencies / registered professional associations / *academies* during the last five years (10) International level Name (s) of teachers Title of the State level National level who received the awards Award (s) awards awards awards Name of the agency /agencies /Recognitions /Recognitions /Recognitions that awarded /Recognitions Year /Recognitions /Recognitions Upload : Certified e-copies of award letters •

• Any other relevant information

20	Key Indicator - 2.5 Evaluation Process and Reforms (40) 2.5.1 Average number of days from the date of last semester-end / year-end examinations to the date of declaration of results during the last five years (10)									
	Programme Name	Programme Code	Semester/ year	Last date of the last semester-end / year-end examination	Date of declaration of results of semester-end / year-end examination					
	• Reports from C									

21	2.5.2 Average percentage	e of student complaints/grievances about evaluation agair	nst the total number of students appeared in the examinations
	during the last five years	(5)	
	Year	Number of complaints/grievances about evaluation	Total number of students who appeared in the examinations

Upload:

- Certificate from Registrar / Controller of examination / Data on student grievances from the office of the Registrar (Evaluation)
- Minutes of the grievance cell / relevant body
- List of complaints / grievances year-wise during the last 5 years
- List of students who appeared in the exams year-wise during the last 5 years (Data template)
- Any other relevant information

22	2.5.3 Evaluation-related Grievance Redressal mechanism followed by the Institution: (5)					
Year	students who appeared	Single valuation and	Double Valuation/Multiple valuation with appeal	Number of cases seeking Double Valuation/Multiple valuation with appeal process for revaluation only	Double valuation/Multiple	
X 7 1						

- Provide links to the examination procedure and re-evaluation procedure developed by the institution and duly hosted in the institution's website
- Report of the Controller of Examination/ registrar evaluation regarding the Grievance Redressal mechanism followed by the Institution
- Any other relevant information

Manual: (10) 100% automa	tion of entire											
	plementation of	Only	student reg	gistration, H	all	O	nly studer	nt registration	Only re	esult		
Examination 1	Management	ticke	t issue & R	esult Proces	ssing	an	d result p	processing are	process	sing is	Only ma	anual
System (EMS)	are a	utomated			au	tomated		automa	ated	methodo	ology
Yes	No		Yes	No		ſ	Yes	No	Yes	No	Yes	No

- Snap shot of EMS used by the institution
- Copies of the purchase order of the software/AMC of the software
- The present status of automation., Invoice of the software, & screenshots of software
- Annual report of examination including present status of automation as approved by BOM / Syndicate / Governing Council
- Institutional data in prescribed format (Data Template)
- Any other relevant information

¥	/		Learning Outcomes (25) year students in the last five years (15)						
Year	YearProgramme codeProgramme nameNumber of students appeared in the final year examination (UG + PG)Number of students who passed in final year examination (UG + PG)								
Upload:									
• L	• List of Programmes and the number of students appeared and the number of students passed in the final year examination each year for the last five								
ye	ears.								

- Institutional data in prescribed format (Data Template)
- Trend analysis in graphical format (refer annexure 02 of SOP)
- Link for the annual report of examination results as placed before BoM/ Syndicate/ Governing Council for the last five years.
- Any other relevant information

25	2.7.1 Online S	tor - 2.7 Student Satisfaction Sur y will be conducted to a	vey regarding	g teaching learning	U 1 (•	, , ,	·	
	Name of the student	Gender	State of Domicile	Nationality (if other than Indian)	Email ID	Programm e name	Student Unique Enrolment ID	Mobile Number	Year of joining
	Upload								

• Database of all currently-enrolled students

Criterion III – Research, Innovations and Extension (250)Key Indicator - 3.1 Promotion of Research and Facilities (30)

1			by the Institution to its faculty year-wise						
	Name of the project	Duration of the project	Name(s) of the teacher(s) workin the project receiving seed money						
	 Upload : Sanction letter of seed money to the faculty is mandatory Minutes of meetings of the relevant bodies of the University. Budget and expenditure statements signed by the Finance Officer indicating seed money provided and utilized (<u>Refer annexure number - 01 as per SOP</u>) List of teachers receiving seed money and details of seed money received Institutional data in prescribed format. 								
27	3.1.3 Average percentage of teachers awarded national/international fellowship/financial support for advanced studies/ collaborative research								
	/Conference participation in Indian and overseas Institutions during the last five years (3)								
	Name of the teac		Name of the	Year of award/ Year of	Awarding Agency /Source of				
	national/internat	ional fellowship /Confer	rence award/fellowship/Conferen	ce Participation	funding				
	 Upload: Certified e-copies of the award letters of the teachers. List of teachers and details of the national/international fellowships /Conference /awarded Institutional data in prescribed format. 								

	Name of research fellow	Year of enrolment	Duration of fellowship	Type of fellowship	Granting agency	Qualifying exam if any (NET, GATE, etc.)			
	 Upload: List of research fellows and their fellowship details E copies of fellowship award letters 								
	 Registration and guide / mentor allocation by the institution Institutional data in prescribed format. 								
	 3.1.5 University has the fo 1. Central Research 2. Animal House/n 3. Media laboratory 4. Research/Statistic 5. Clinical Trial Central Central 	n Laboratory/Ce nedicinal plant // y/Business Lab/ ical Databases/H	ntral Research Facility Museum e-resource Studios						
Name of the facility Year of establishment Provide link of videos/pictures						bictures			
	Upload: • List of facilities available in the university and their year of establishment • Videos and geo-tagged photographs.								

3.1.6 Percentage of departments with recognition by ICMR-CAR, DST-FIST, DBT, MCI, DCI, PCI, AICTE, AYUSH, NACO, WHO, NIH 30 etc. and other similar recognitions by national and international agencies, (excluding mandatory recognitions by Regulatory Councils for UG /PG programmes) (5)

(Examples: WHO collaborating Centre, AYUSH & AICTE Centre for Excellence, MCI Regional / Nodal Centre for Medical Education etc.,) (Data for the last 5 years)

Note: Departments getting multiple recognitions shall be counted only once.

3.1.6.1 The Number of departments with recognition by ICMR-CAR, DST-FIST, DBT, MCI, DCI, PCI, AICTE, AYUSH, NACO, WHO, *NIH* etc. and other similar recognitions by national and international agencies,

3.1.6.2. Number of departments offering academic programmes year-wise during the last 5 years

|--|

- e-version of departmental recognition award letters.
- Details of the departments offering academic programmes certified by the head of the Institution /University
- Institutional data in prescribed format.

	Key Indicator	- 3.2 Resource N	Iobilization for H	Research (20)						
	(Note : Comm	(Note : Common templates for metrics 3.2.1, 3.2.2 and 3.2.3)								
31	3.2.1 Grants for research projects/clinical trials sponsored by the non-governmental sources such as industry, corporate houses, international bodies, endowments, professional associations, endowment Chairs etc. in the Institution during the last five years (INR in Lakhs) (5)									
32	3.2.2 <i>Grants for</i> Lakhs) (10)	3.2.2 Grants for research projects/clinical research project sponsored by the government funding agencies during the last five years (INR in Lakhs) (10)								
33	3 3.2.3 Ratio of research projects/clinical trials per teacher funded by government / industries and non-government agencies during the last five y (5)						five years			
	Name of the Project/ Clinical Trial/ Endowment/ Chairs	Name of the Principal Investigator/Co Investigator	Name of the Funding agency	Type (Government/No n-Government etc.,)	Department of Principal Investigator/ Co Investigator	Year of Award	Funds provided (INR in Lakhs)	Duration of the project		
	Upload 3.2.1:	Jpload 3.2.1: • e-copies of the grant award letters for research projects.								

- e-copies of grants awarded for clinical trials
- Institutional data in prescribed format.

Upload 3.2.2:

- e-copies of the grant award letters for research projects sponsored by government.
- Institutional data in prescribed format.

Upload 3.2.3:

- List of research projects and funding details (Data Template)
- Supporting document/s from Funding Agencies with link for the funding agency website
- Copy of the letter indicating sanction of research project funded by govt./non-govt agency and industry including details of name of teacher and amount in INR
- Any other relevant information

	Key Indicator - 3.3 Innovation Ecosystem (20)							
34	3.3.3 Number of awards/ r	recognitions received for inno	ovation/discoveries by the Institution	/teachers/re	esearch scholars/students <i>from recognized</i>			
	<i>bodies</i> during the last five years (4)							
	Name of the Awarding AgencyYear ofCategory- Institution/teacher/research							
	Title of the innovationName of the Awardeewith contact detailsAwardscholar/student							
	Upload:							
	• E-Copies of award le	tters (scanned or soft copy) fo	r innovations with details of awardee	and awardi	ng agency			
	• Link to appropriate d	letails on the Institutional we	bsite.					

35	3.3.4 Number of start-ups incubated on the campus year-wise during the last five years (5)								
	Name of the start-up	Nature of start-up	Year of commencement	Contact information of the promoters					
	Upload:								
	Registration letter								

- Certified e- sanction order for the start-ups on campus.
- Contact details of the promoters
- List of start-up details like the name of the start-ups, nature, year of commencement etc.
- Institutional data in prescribed format.

	Key]	Indicator	r - 3.4 Rese	arch]	Publicat	tions an	d Award	s (100)					
36	3.4.1	The Institu	tion has a st	ated Co	de of Etl	hics for re	esearch, the	impleme	ntation of v	which is en	sured by t	he followii	ng: (7)
		rch metho e on resear	dology with rch ethics		Ethics (Committe	ee	Plagi	arism cheo	ck		cation ove nittee	rsight
		Yes	No]		Yes	No		Yes	No		Yes	No
	Uploa	Institution Course c Copy of s Details of Minutes of	al code of Eth content of res software pro f committee of meetings o r relevant doo	search o cureme on pub f the re	ethics and ent for pla lication g levant con	agiarism juideline	check s.						

Career advanc	ement	Increm	ent in sal	ary	ognition thi site notifica	0		Certificati	on
Yes	No		Yes	No	Yes	No	Yes	No	
		Yes No							

- Policy on salary increment for the awardees
- Snapshots of recognition of notification in the HEI's website
- Copy of commendation certificate and receipt of cash award
- Names of the awardees with contact details
- Name of the awarding agency
- Year of award
- Link to the incentive details on the Institutional website

Na	me of the Patentor/ Copyright awardee	Patent/Copyright Number	Title of the patent/Copyright	Year patent was awarded/published
Up	load:			I
	• List of patents/Copyrights and the year they were pa			
	• E- copies of the letters of award/ publication	of patent/copyright/ technology-transfe		
		of patent/copyright/ technology-transfe		
	• E- copies of the letters of award/ publication	of patent/copyright/ technology-transfe		
	 E- copies of the letters of award/ publication Certified e- copies of the letters of awards/publication 	of patent/copyright/ technology-transfe ications (Consolidated statement by the h		

39 3.4.4 Average number of Ph.D/ DM/ M Ch/ PG Degree in the respective disciplines awarded per recognized PG teachers* of the Institution during the last five years (16)

*Teachers recognized as PhD guides by the University

Name of the PhD/DM/M.Ch scholar	Name of the Department	Name of the guide	Title of the thesis	Year of registration of the scholar	Year of award of PhD/DM/M.Ch
Upload: • List of Ph.D.s /DM/N	ACh/PG degrees in the res	pective disciplines awa	arded year-wise during the	he last five years	

- List of teachers recognized as guides during the last five years
- Web page for research in the Institutional website.
- List of PhD/DM/M.Ch candidates with details like name of the guide, title of the thesis, year of award, award letter etc.
- Institutional data in prescribed format (Data Template)
- Any other relevant information

40 3.4.5 Average Number of research papers per teacher in the approved list of Journals in Scopus / Web of Science/ PubMed during the last five calendar years (15) ISSN Title of paper Name/s of the Department Name of the Year of Link 5the recognition in UGC Name of the enlistment of the Journal author/s of the teacher iournal publication number indexing database Upload: List of research papers by title, author, department, name and year of publication and Scopus/Web of Science/PubMed list ref. No: (Data Template) /link Names of the indexing databases Any other relevant information ٠ * The Data obtained from Inflibnet will be used for the purpose of calculation of scores.

41	3.4.7	Total Number of bo	ooks/ chapters i	rs per teacher in the ap n edited volumes and p UGC-CARE list during	papers in National	/International conj			-	· · ·
]		-	r both 3.4.6 and 3. scientific presentation		included				
				^				ISBN/ISSN /		
		Title of the						Digital	Affiliating	
	Name of	book/chapte		Title of the			Year of	Object	Institute at	
	the teacher	rs published	Title of	proceedings of	Name of the	National /	publicat	Identifier	the time of	Name of the
			the paper	the conference	conference	international	ion	(doi) number	publication	publisher

					of the proceeding		
Names of the indexList of books and of	pers by title, author, xing databases chapters in edited vol ublishers : National/	umes / books publis	•	list ref. No:	(Data Template)	/link	

Title of the paper	Name of the author	Title of the journal	Year of publication	Number Citations	5	Institutional affiliation as mentioned in the publication	Number of citations excluding self- citations
				SCOPUS	WEB of Science		
Upload: • Bibliometrics of	f the publications dur	ing the last five year	ars				

43	3.4.9 Provide Scopus/ Web o Title of the paper	f Science – h-index of the Institutio	Title of the journal	dar years (12) Year of publication	Number of citations excluding self- citations	Institutional affiliation as mentioned in the publication	Institutional H-index
	1	ublications based on Scopus/ W	Veb of Science - h	-index of the Inst	itution		

1	3.5.2 Revenue g	enerated from advisory / R&D con	sultancy projects including Clinical trials of	luring the las	t five years (05)
	Name of the consultant	Name of the Advisory /R&D consultancy/clinical trial project	Consulting/Sponsoring agency with contact details	Year	Revenue generated (INR in Lakhs)
			ed copy attested by head of the institute (he revenue generated through consultancy/		- ,

• List of consultants and details of revenue generated by them.

Key Indicator - 3.6 Extension Activities (45)

45 3.6.1 Extension and outreach activities conducted in collaboration with industry, community, Government and Non- Governmental Organisations engaging NSS/NCC/Red cross/YRC/Institutional clubs etc., during the last five years (15)

- Photographs or any supporting document in relevance
- Detailed program report for each extension and outreach program should be made available, with specific mention of number of students and collaborating agency participated

- List of extension activities year-wise during the last 5years
- Description of participation by NSS/NCC/Red cross/YRC, Institutional clubs etc., year-wise for the last 5 years
- Any other relevant information

46 3.6.2 Average percentage of students participating in extension and outreach activities beyond the curricular requirement as stated at 3.6.1 (10)

Note: Common templates for 3.6.1 and 3.6.2

Year Name of the activity	Organising unit/ agency/ collaborating agency	Number of students participated in such activities	Number of teachers participated in such activities
---------------------------	--	--	--

- Reports of the events organized
- Number of extension and outreach programmes conducted with industry, community etc. for the last five years
- Geo-tagged photographs of events/activities

47	v	- 3.7 Collaboration (20 nber of Collaborative activi	,	, faculty exchange, stude	ent exchange, i	ndustry-inter	nship per year (10)
	Title of the Collaborative activity	Name of the collaborating agency with contact details	Name of the participants	Source of financial support	Year of collaborat ion	Duration	Nature of the activity	Link to the relevant documents
	-	pies of collaboration docum llaborating Institutional we						
48	•	functional MoUs with Inst work, student / faculty exch			•		-	hip, on-the-job

Title of	Name of the partnering Institution/ industry /research lab/corporate	Year of commenceme	Duration	Year wise list of actual activities under each	Number of students/teachers who participated	Link to the relevant
the MoU	house with contact details	nt	(From-To)	MoU.	under the MoUs	document

• Institutional data in prescribed format.

	Criterion I	V – Infrastructure and Learning Resources (10	0)
	Key Indicato	r - 4.1 Physical Facilities (20)	
49	A 1 A Average n	percentage of expenditure incurred excluding salary for infrastru	ture development and augmentation during the last five years (5)
-12	4.1.4 Average p	Expenditure incurred for infrastructure development and	Total expenditure incurred by the University excluding the
	Year	augmentation (INR Lakhs)	salary (INR Lakhs)
	Upload:		
	1	dget allocation excluding salary during the last five years	
		rt / utilization statements (Refer annexure number -01 as per SOP).	
	_	data in prescribed format	

50	4.2.3 Availa	bility of infrastru	cture for c	community-	-based learning ((7)				
		•	Attac	hed Rural H	Health Centers	Att	ached urbar	n Health Centre	Residential facility	for students /
Attac	hed Satellite F	rimary Health	availa	able for trai	ning of students	for	training of	students	trainees at the Healt	th Center(s)
Cent	ers									
	Yes	No		Yes	No		Yes	No	Yes	No
Uplo	ad									
•	Geo-tagged	photographs of H	Iealth Cen	iters						
•	Governmer	nt Order on allot	ment/assi	gnment of	PHC to the insti	tution				
		of resident facil	itzz	-						
•	Documents	or resident lach								

	Key Indicator - 4.3 Libr	ary as a Learnii	ng Resource (20)			
1	4.3.3 Does the Institution hav	e an e-Library with	membership/subscription fo	or the following: (3)		
	 e – journals/e-books consor e-ShodhSindhu Shodhganga SWAYAM Discipline-specific databas 					
	Details of memberships/Subscription	Name of service subscribed to	Number of e-resources with full text access	Validity period	Whether remote access provided?	Web link of remote access
					Yes No	
	Upload:Details of subscriptions	for e-journals, e-Sho	odhSindhu, Shodhganga me	mbership etc. for the l	ast five years	

52	4.3.4 Av	erage annual expenditure for the purchase o	f books and journals (including e-resou	rces) during the last five years (5)
	Year	Expenditure on the purchase of books (INR in lakhs).	Expenditure on the purchase of journals (INR in Lakhs)	Expenditure on subscription to e-journals and other e-resources (INR in Lakhs).
			J ournes (11 (11 11 200115)	

- Provide consolidated extract of expenditure for purchase of books and journals during the last five years duly attested by Finance Officer
- Audited Statement highlighting the expenditure for purchase of books and journal library resources (<u>Refer annexure number -01 as per SOP</u>)..
- Proceedings of Library Committee meetings for allocation of fund and utilization of fund
- Any other relevant information

4.3.5 E-content resources u	used by teachers/students : (5)			
e-Content resources used by	teachers:			
1. NMEICT/NPTEL				
2. other MOOCs platfor	rms			
3. SWAYAM				
4. Institutional LMS				
5. e-PG-Pathshala				
Name of the teacher	Name of the module	Platform on which module is developed	Date of launching e- content	Link to the relevant documen
Upload :		•		
-	document of e-content develo	pped.		
-		the e-content developed by th	e teachers need to be give	en
	repository used by the teache		5	
• Any other relevant in	formation.			

Key Indicator – 4.4 IT Infrastructure (20)

54	4.4.1 Number of classrooms, seminar halls and demonstration room/ halls with ICT - enabled facilities (data for the preceding academic
	<i>year</i>)(5)

Number of	Number of classrooms,	Number of classrooms,	Number of classrooms, seminar halls and
classrooms, seminar	seminar halls and	seminar halls and	demonstration room with LCD, smart board,
halls and	demonstration room with	demonstration room with LCD,	Wi-Fi/LAN and audio video recording facilities
demonstration rooms	LCD and Wi-Fi/LAN	smart board and Wi-Fi/LAN	and any other mobile technology
with LCD only	facilities	facilities	

Upload

- Number of classrooms, seminar halls and demonstration room with ICT enabled facilities (Data Template)
- Consolidated list duly certified by the Head of the institution.
- Geo-tagged photographs
- Any other relevant information

57	4.4.3 Available bandwidth	of internet connection in the	e Institution (Leased line) (5))	
		500 MBPS - 1 GBPS	250 MBPS - 500 MBPS	50 MBPS - 250 MBPS	<50 MBPS
	≥1 GBPS				
	Upload				
	• Details of available b	andwidth of internet connection	on in the Institution		

- Bills for any one month of the last completed academic year indicating internet connection plan, speed and bandwidth
- Annual subscription bill / receipt
- Any other relevant information

Key Indicator - 4.5 Maintenance of Campus Infrastructure (10)

58 4.5.1 Average percentage of expenditure incurred on maintenance of physical facilities and academic support facilities excluding salary component during the last five years (05)

Year	Expenditure on maintenance of academic support facilities (excluding salary component) (INR in lakhs)	Expenditure on maintenance of physical facilities (excluding salary component) (INR in lakhs)
Upload:		
• Aud	ited statements of accounts for maintenance (Refer annexure n	<u>umber -01 as per SOP)</u> .
• Deta	ails about approved budget in support of the above	
• Prov	vide Link to ERP	

	Crite	rion V - Student	t Support and Progression ((100)	
	Key In	dicator - 5.1 Stud	ent Support (30)		
59	511N	umber of students have	ofited by coholorships /free ships / f	fee-waivers by Government / Non-G	overnmental econoice /
,		ions during the last fi	• • •	lee-walvels by Government / Non-G	Jvernmental agencies /
		8			
				Number of students benefited	
	Year	Name of the scheme	by government schemes	by non-governmental schemes	by the Institution's schemes
	Upload	:			
	• 1	Attested copies of the sa	nction letters from the sanctioning authori	ties	
	• (Consolidated docume	ent in favor of free ships and number	of beneficiaries duly signed by the H	ead of the institution
	• 1	List of students who reco	eived scholarships/ free ships /fee-waivers		
	• I	Data Template			
	• /	Any other relevant infor	mation		

60	5.1.2 Institution implements a variety of capability enhancement and life skills development schemes (7)
	Capability enhancement and development schemes:

 Yoga and wellness Analytical skill development Human value development Personality and professional developme 	nt		
7. Employability skills development			
Name of the capability enhancement scheme	Year of implementation	Number of students enrolled	Name of the agencies involved with their contact details

• Link to Institutional website

5.1.3 A	5.1.3 Average percentage of students benefited by guidance for competitive examinations and career advancement offered by the Institution		
during t	the last five years (5)		
Year	Name of the scheme	Number of students benefited by/attended / participated in Career Counselling / competitive exams activities	
Upload			
	opy of circular/brochure of such p	•	
• Ye	ear-wise list of students attending	each of these schemes signed by competent authority	
• pr	ogram/scheme mentioned in the	metric	
• Li	• List of students (Certified by the Head of the Institution) benefited by guidance for competitive examinations and career advancement		

• List of students (Certified by the Head of the Institution) benefited by guidance for competitive examinations and ca offered by the Institution during the last five years

62 5.1.5 The Institution has a transparent mechanism for timely redressal of student grievances / prevention of sexual harassment and prevention of ragging (6)

Adoption of guidelines of Regulatory bodies		Presence of committee and mechanism for receiving student grievances (Online/offline)		Periodic meetings of the committee with minutes		Record of action taken	
Yes	No	Yes	No	Yes	No	Yes	No
pload:							
1	0.1			D 1			
1	es of the meeti	ngs of student Grievance redre	ssal committee and Anti-	Ragging committee	è		
Minut		ngs of student Grievance redre / committee report justifyi		66 6			
MinutCircu	lar/web-link	e	ng the objective of the	66 6	2		

63 5.2.1 Average percentage of students qualifying in state/ national/ international level examinations during the last five years (eg: NET/SLET/GATE/GMAT/GPAT/CAT/GRE/TOEFL/PLAB/USMLE /AYUSH/ Civil Services/Defense /UPSC/State government examinations/PG-NEET/ AIIMSPGET, JIPMER Entrance Test, PGIMER Entrance Test etc.,) (10) Others G State С Total Р Govt. PG-No. of students no. of SLE GAT GMA Α IEL PLA USM AYUS Exam UP NE Α NET Т Т GRE TS TOEFL B Н SC ЕТ Year qualifying students Т Е Т LE s. Instruction: Please do not include individual university's entrance examinations. **Grand total** Upload: • List of students -qualifying for state/national/international-level examinations during the last five years with their roll numbers and registration numbers

- Pass Certificates of the examination
- Other supporting data

	Number of students placed /self-	Total number of	Name of the employer with contact details / Nature of self employment with contact	
Year	employed	students	details	Programme graduated from
Uploa	d:	1		
1	Annual reports of Placer			

65	5.2.3. Per	rcentage of the graduates in the preceding	g academic year, who l	have had progression to	higher education. (15)	
	Year	Name(s) of students progressed into higher education	Programme graduated from	Department graduated from	Name of Institution joined	Name of Programme admitted to
	Upload:					
	11	orting data for student/alumni in prescribed other relevant information.	d format.			

	Key]	Key Indicator - 5.3 Student Participation and Activities (20)								
66	5.3.1 Number of awards/medals for outstanding performance in sports/cultural activities at state/regional(zonal)/national/international events									
	(awarc	d for a team event should be a	counted a	s one) during	g the last f	ive years (10))			-
			S	elect						
			approp	riate event		Clas	sification			
		Name of the award/							Name of the	Student ID
	Year	medal	Sports	Cultural	State	Regional	National	International	student	number

Upload:

- Certified e-copies of award letters and certificates.
- Any other relevant information.

	Name of the activity					
Year	University	State	Regional	National		
U pload:	f the events /along with photogra	nhs appropriately dated and	l captioned year-wise.			
 Report of 						
-	cular/brochure indicating such l					
• Copy of ci	• • •					

	Criterion VI – Governance, Lead	ership and Management (100)	
	Key Indicator - 6.2 Strategy Deve	elopment and Deployment (10)	
68	6.2.3 Implementation of e-governance in	areas of operation (5)	
	 Planning and Development Administration (Including hospital adm Finance and Accounts Student Admission and Support Examination 	ninistration and medical records)	
	Areas of e governance	Year of implementation	Link of relevant website/ document
	Planning and Development		
	Administration (including hospital		
	administration and medical records)		
	Finance and Accounts		
	Student Admission and Support		

Ex	xamination		
•	Institutional budget statements allocated	for the heads of E-governance implementation ERI	P Document
•	e-Governance related document		
•	Screen shots of user interfaces		
•	Any other relevant information		

	Key I	Indicator - 6.3 Fa	aculty and Staff Empowerment Strate	gies (25)	
69			of teachers provided with financial support to a the last five years (5)	ttend conferences / workshops and towards m	embership fee of
	Year	Name of teacher	Name of conference/ workshop attended for which financial support was provided	Name of the professional body for which membership fee was provided	Amount (INR)
	Uploa	d:			
	• Li	1	led with financial support to attend conferences		
	•	*	ided membership fee for professional bodies during	g the last five years	
	•	-	on providing financial support to teachers		
	•	E-copy of letter/s head.	indicating financial assistance to teachers and	d list of teachers receiving financial support	year-wise under each
	•	Audited statement	t of account highlighting the financial support or professional bodies.	t to teachers to attend conferences/worksho	ps and towards
	• Li	ist of teachers provid	ded with membership fee for professional bodie	28	

70 6.3.3 Average number of professional development / administrative training programmes organized by the University for teaching and non-teaching/technical staff during the last five years (5)

				Title of the administrative		
	Title of the professional			training Programme		
	development Programme	Dates:	No. of	organised for non-teaching	Dates:	No. of
Year	organised for teaching staff	From - To	participants	/ technical staff	From - To	participants

Upload:

- Certified list of the participants who attended the professional development/administrative training programmes during the last five years
- Consolidated lists of participants who attended the above programmes year-wise during the last 5 years (Data template)
- Detailed program report for each program should be made available Reports of the Human Resource Development Centres (UGC ASC or other relevant centres).
- Reports of Academic Staff College or similar centres Verification of schedules of training programs
- Copy of circular/ brochure/report of training program self conducted program may also be considered
- Certified list of organisations / agencies that sponsored/supported/supervised the programmes

	Average percentage of teachers attending Faculty Development Pro-			and online programmes		
during	the last five years (Orientation / Induction Programmes, Refreshe	er Courses, Short-te	erm Courses etc.) (7)			
	Number of teachers who attended Faculty Development	Total number	Title of the	Dates and Duration		
Year	Programmes (including online programmes)	of teachers	Programme	From – To		
Upload	d:					
Upload	d: Details of teachers attending FDPs during the last five years (as per Date	ata Template)				
Upload •		ata Template)				

- Any other relevant information
- List of sponsoring/supporting/supervising agencies.

	unds / Grants received from go rships and research grants co	, .	nt bodies / philanthropists during	the last five years (excludi
Scholu	Name of the government	Funds/ Grants received	Name of the non-governmental	Funds/ Grants received
Year	funding agency	(INR in lakhs).	funding bodies / philanthropists	(INR in lakhs).
		· · · · · · · · · · · · · · · · · · ·		
	Audited statements of accounts for	•		
•	Audited statements of accounts for Copy of letter indicating the gra Provide the budget extract of au	ants/funds received by respendited statement towards Gra chartered accountant and/or	ctive agency as stated in metric Ints received from non-government b Finance Officer (<u>Refer annexure num</u>	

	Key Indicator - 6.5 Internal Quality Assurance System (30)
73	6.5.2 Quality assurance initiatives of the Institution include: (10)
	Quality assurance initiatives of the Institution include:
	 Academic & Administrative Audit (AAA) and initiation of follow up action Conferences, Seminars, Workshops on quality Collaborative quality initiatives with other Institution(s) Orientation programmes on quality issues for teachers and students Participation in NIRF process Any other quality audit by recognized State, National or International agencies (ISO, NABH, NABL, NBA Certification, any other)

Year						Particip NIRF p	oation in rocess	ISO Certification.			NABH, NABL, NBA or any other certification				
		Yes	No		Yes	No		Yes	No	Yes	No		Yes	No	
• Annu	 Annual reports of the University. 														
 AQARs prepared by IQAC. e-copies of the accreditations and certifications. Any other relevant information 															
-					ications.										

	Key In	dicator	r 7.1 - In	stitutio	nal Values ar	nd Social	Responsi	bilities ((50)							
	Environ	mental	Conscious	sness and	Sustainability	,										
ŀ	7.1.2 Fa	cilities fo	or alternati	ve source	s of energy and	energy cor	nservation n	neasures c	levices (data fo	r the preced	ling academ	ic year) (5)				
	Solar energy				Biogas plant			Energy Wheeled to the grid			Sensor-based energy conservation			Use of LED bulbs / power-efficient equipment		
		Yes	No		Yes	No	Yes	No		Yes	No	Yes	;	No		
	Upload:		nh oto anor	ha of the	facilities											

75	7.1.4 Water conservation facilities available in the Institution (4)						
		Borewell / Open well	Construction of tanks	Waste water	Maintenance of water bodies and		
	Rain water harvesting	recharge	and bunds	recycling	distribution system in the campus		
	Yes No	Yes No	Yes No	Yes No	Yes No		

Upload

- Geo-tagged photographs of the facilities
- Any other relevant information

76	7.1.5	6 Green	campus	initiativ	es includ	e: (4)													
	Restricted entry of automobiles		Battery-powered vehicles		Pedestrian-friendly pathways			Ban on the use of plastics			Landscaping with trees and plants								
	Ŋ	Yes No Yes No				Yes	No			Yes	No			Yes	No				
	Docur	ments:																	
	Geo-tagged photographs of facilities																		
	• A	ny othe	er releva	nt inforn	nation														

77	7.1.	7.1.6: Quality audits on environment and energy regularly undertaken by the Institution: (5)												
	Green audit			Ene	Energy audit			Environment audit			Clean and green campus recognitions / awards			
		Yes	No		Yes	No	ן ר	Yes	No			Yes	No]
	Upload:													
	• A	 Audit reports of the institution related to the metric 												
	• V	Web links to audit agency reports												
	• A	Any other relevant information												

78	7.1.7: Provisions for disabled-friendly, barrier-free environment in the campus (4))								
					Provision for enquiry and				
	Built	Disabled-	Signage including tactile	Assistive technology and facilities	information: Human assistance,				
	environment	friendly	paths, lights, display	for persons with disabilities	reader, scribe, soft copies of				
	with ramps/lifts	washrooms	boards and signposts	(Divyangjan), accessible website,	reading material, screen reading				

								screen-read mechanized	0	,				
Yes	No	Yes	s No)	Yes	No]		Yes	No]	Yes	No]
Upload:														-
• Web link to relevant geo-tagged photographs / videos														
Any other relevant information														

79	7.1.10: Prescribed codes of con programmes conducted in this r		chers, administrators and other staff follow	ved by the Institution and					
	Code of conduct displayed on the website	Committee to monitor adherence to the code of conduct	Professional ethics programmes organized regularly for students, teachers, administrators and other staff	Annual awareness programmes on code of conduct organized					
	Yes No	Yes No	Yes No	Yes No					
	 Upload: Web link to the code of conduct Details of the monitoring committee of the code of conduct Details of Programs on professional ethics and awareness programs 								
	• Any other relevant information								

Section - C

Appendices

Appendix 1: Glossary & Notes

Academic Audit Academic Calendar Academic Flexibility Academic Year	 An exercise which serves to provide assurance that the delegated responsibilities for quality and standards of academic provision are being appropriately discharged. The schedule of the Institution for the academic year, giving details of all academic and administrative events. Choice offered to the students in the curriculum offering and the curriculum transactions. In most educational Institutions and universities in India, the academic year is considered from July to May.
Accreditation	: Certification of quality that is valid for a fixed period, which in the case of NAAC is five years
Advanced Learners	: Students who perform very much better than the class averages
Assessment	: Performance evaluation of an Institution or its units based on certain established criteria
Assessors	: Trained academics or experts who represent NAAC on peer teams.
Attainment of	: COs are to be attained by all students at the end of a formal course. The Institution
Course	has to follow well-defined methods of computing attainment of Course outcomes
Outcomes	based on the course objectives as defined by the respective statutory councils,
(COs)	student performance in all assessments and the computed course outcomes
	perceived by the model developed by the Institution.
	Example: in MBBS programme, Anatomy is a course which has course objectives and student assessment methods defined by MCI, based on which the Institution needs to develop appropriate course outcomes (refer respective website of Regulatory Bodies).
Benchmarks	 An example of good performance that serves as a standard for comparison of one's own performance. It is a technique in which an Institution measures its performance against that of the best of others.
Bibliometrics	: Is a statistical analysis of the publications in indexed journals in the form of books or articles
Blended	: A mixing of different learning environments such as traditional face-to-face
Learning	classroom methods with modern computer-mediated activities.
Bridge Course	: A teaching module which helps to close the gap between two levels of competence.
Carbon Neutral	: A term used to describe fuels that neither contribute to nor reduce the amount of carbon (measured in the release of carbon dioxide) into the atmosphere.
Catering to	: The strategies adopted by Institution to fulfil the needs of a heterogeneous group of
Student	students.
Diversity	
CEC (Under Graduate)	: Career Education Centre
Choice Based	: A mode of learning in higher education which facilitates a student to have some
Credit System	freedom in selecting his/her own choices, across various disciplines for completing
(CBCS)	a UG / PG program. All UG and PG programs, as per UGC, have to implement CBCS
Citation Index	: The number of times a research papers is referred to by other researchers in refereed journals, and is a measure of validity of its contents.

Manual of Health Sciences for Universities

Co-Curricular Activities Collaboration Completion Rates(course/) Constituencies	 Activities, which support the curriculum such as field trips, display of academic achievements, quiz, debate, discussion, seminars, role-play, etc Formal agreement/ understanding between any two or more Institutions for training, research, student/ faculty exchange or extension support. The ratio of the total number of learners successfully completing a course/ graduating from a programme in a given year to the total number of learners who initially enrolled on the course/programme. All the academic, administrative and support units of the Institution.
Consultancy :	Providing expert knowledge/advice or making high-end research equipment /R&D projects available to a third party; usually for a fee.
Counselling	: Assisting and mentoring students individually or collectively for academic, career,
Course	 personal and financial decision-making. A course is a unit in both credit based and non-credit based formal programme. A 3-credit course will have three classroom sessions of one-hour duration during each week for the entire semester. Example: Non-credit Program: BDS; Course: Prosthodontics; Credit based programme; Human Genetics Course; Genetic
Course Outcomes (COs)	Engineering (4 credits).COs are statements that describe what students should acquire in the form of knowledge, skills and attitude at the end of a course. (examples are given in the "Notes")
Course	: List of the course modules, similar to a table of contents in a book or the outline used
Outlines Course Schedule	 for writing papers. The outline defines the scope and content of the course. Details of classes being offered, its time, location, faculty, and its unique number which students must know in order to register. The course schedule is published prior to the commencement of registration for each semester / session.
Credit	 A credit system is a systematic way of describing an educational programme by attaching credits to its components. University Grants Commission defines one credit as 1 Theory period of one hour per week over a semester 1 Tutorial period of one hour per week over a semester 1 Practical period of two hour per week over a semester
Criteria Cross Cutting Issues	 Pre-determined standards of functioning of an Institution of higher education that form the basis of assessment and accreditation as identified / defined by NAAC. Cross cutting issues refer to the abilities of students to have sufficient disciplinary knowledge, to engage in public discussions on related issues; are careful consumers of scientific and technological information related to their everyday lives; are able to continue to learn outside school; and have the skills to enter careers of their choice.
Curriculum Design and Development	: Process of defining the contents of units of study and usually obtained through needs assessment, feedback from stakeholders and expert groups. Curriculum design and curriculum development are procedures which are closely linked to the description of learning outcomes.
Cycles of Accreditation Dare Database - International Social Sciences Directory	 An Institution undergoing the accreditation process by NAAC for the first time is said to be in Cycle 1 and the consecutive five year periods as Cycle 2, 3 and so on. Provides access to world wide information on social science, peace, and human rights research and training institutes, social science specialists, and social science periodicals.
Demand Ratio	: The ratio of the number of seats available in a program/institute to the number of valid applications
Dual degree	: Pursuing two different university degrees in parallel, either at the same Institution or at different Institutions (sometimes in different countries), completing them in less time than it would take to earn them separately.

Manual of Health Sciences for Universities

EBSCO host	: Is an online reference resource with designed to cater to user needs and preferences at every level of research, with over 350 full text and secondary databases available.
Eco system for Innovations	: Eco system for innovation comprises material resources (funds, equipment, facilities, etc.) and the human resources (students, faculty, staff, industry representatives, etc.) and linkages among them that make up the Institutional entities to promote the development of products and systems that are likely to have significant economic value.
E-learning Resources	: Learning resources available on Internet
e-PG Pathshala	: High quality, curriculum-based, interactive content in different subjects across all disciplines of social sciences, arts, fine arts & humanities, natural & mathematical sciences, linguistics and languages developed under the initiative of MHRD, under its National Mission on Education through ICT (NMEICT) Mission.
e-Shodhganga	: Shodhganga@INFLIBNET provides a platform for research students to deposit their Ph.D. theses and make it available to the entire scholarly community in open access.
e- ShodhSindhu	: e-Shodh Sindhu (<u>https://www.inflibnet.ac.in/ess</u>) provides current as well as archival access to more than 15,000 core and peer-reviewed journals and a number of bibliographic, citation and factual databases in different disciplines from a large number of publishers and aggregators to its member Institutions including centrally-funded technical Institutions.
Elective	: A choice available to students to select from among a large number of subjects.
Courses Emerging	: New areas of study and research deemed important to pursue. These areas may have
Areas	been identified by national agencies or international bodies.
Enrichment Courses	: Value added courses offered by Institution for student empowerment. They enhance the curriculum by amplifying, supplementing and replacing such parts or
Evolution	features as have become ineffective or obsolete.
Evaluation Process and Reforms	: Assessment of learning, teaching and evaluation process and reforms to increase the efficiency and effectiveness of the system.
Examination Management System	: Examination management system is a well-defined document or a software application for the planning, administration, documentation, tracking, evaluation of students responses, and announcement of grades/marks obtained by students in all formal learning activities in an educational program
Experiential	: Is a process of learning through experience and is more specifically defined as
Learning	"learning through reflection on doing".
Extension Activities	: The aspect of education, which emphasizes neighbourhood services. These are often integrated with curricula as extended opportunities intended to help, serve reflect and learn. The curriculum- extension interface has educational values, especially in rural India.
Faculty Development	: Programs aimed at updating the knowledge, pedagogical and research skills of the faculty.
Program Feedback	: Formative and evaluative comments given by tutors on the performance of individual learners.
	Evaluative comments made by stakeholders to the Institution on the quality and effectiveness of a defined process.Response from students, academic peers and employers for review and design of curriculum.
Field Project	 Formal projects students need to undertake that involve conducting surveys outside the college/university premises and collection of data from designated communities or natural places
Financial	Budgeting and optimum utilization of financial resources.
Management Flexibility	: A mechanism through which students have wider choices of Programmes to choose from, as well as, multiple entry and exit points for Programmes /courses.

Functional MoUs Full Time Teachers Gender Audit Geotagging	 Memoranda of Understanding that are currently operational, signed by the Institute with national and international agencies A teacher employed for at least 90 per cent of the normal or statutory number of hours of work for a full-time teacher over a complete academic year is classified as a full-time teacher. A tool and a process based on a methodology to promote organizational learning at the individual, work unit and organizational levels on how to practically and effectively mainstream gender. Process of adding geographical identification metadata to various media such as a geotagged photograph or video, websites, SMS messages etc. The data usually consists of latitude and longitude coordinates, though they can also include altitude, bearing, distance, accuracy data, and place names.
Graduate Attributes	: The disciplinary expertise or technical knowledge that has traditionally formed the core of most university courses. They are qualities that also prepare graduates as agents for social good in an unknown future.
Green Audit	 The process of assessing the environmental impact of an organization, process, project, product, etc
Grievance Redressal	: Mechanisms for receiving, processing and addressing dissatisfaction expressed, complaints and other formal requests made by learners, staff and other stakeholders on the Institutional provisions promised and perceived.
H-index (Hirsch Index)	: An index that attempts to measure both the productivity and impact of the published work of a scientist or scholar. The index is based on the set of the scientist's most cited papers and the number of citations that they have received in other publications.
Human Resource Management	: The process of assessing the human resource requirements, recruiting, monitoring the growth and appraising them periodically and plan the staff development programs for the professional development and provide the necessary incentives and feedback.
Humanities International Complete	: A comprehensive database covering journals, books and reference sources in the humanities. This database provides citation information for articles, essays and reviews, as well as original creative works including poems and fiction. Photographs, painting and illustrations are also referenced
ICT	: Information and Communication Technology consists of the hardware, software, networks and media for the collection, storage, processing, transmission and presentation of information (voice, data, text, images) as well as related services.
Impact factor (IF)	: A measure of the citations to science and social sciences journals. The impact factor for a journal is calculated based on a three-year period and can be considered to be the average number of times published papers are cited up to 2 years after publication.
Inclusion, Inclusiveness	: Inclusiveness in educational Institutions refers to the educational experiences practiced with reference to gender, ethnicity, social class and differently abled.
INFLIBNET Database Infrastructure	 Information and Library Network Centre maintains a database on books, theses and serials Physical facilities like building, play fields, hostels etc. which help run an Institutional Programme.
Institutional Information for Quality Assessment (IIQA)	: IIQA is a requirement, which needs to be submitted online by all categories of HEIs
Institutional Distinctiveness	: Institutional distinctiveness is characterized by its reason for coming to existence, vision, mission, nature of stakeholders, access to resources, cultural ambience and physical location

Institutional Social Responsibility (ISR)	: Focuses on the Institution's responsibilities to the public in terms of protection of public health, safety and the environment, the public ethical behaviour and the need to practice good citizenship.					
Inter disciplinary Course Interdisciplinar y research Internal Quality Assurance Cell	Course designed to illuminate the principles, methods and skills that crossed disciplinary boundaries (Eg: Course in which instruction in biomedical science, the humanities, ethics, environmental science, dentistry etc., is included. An integrative approach in which information from more than one discipline is used in interpreting the content of a subject, phenomenon, theory or principle. Forming Internal Quality Assurance Cell (IQAC) is to be established in every accredited Institution as a post-accreditation quality sustenance measure. http://www.naac.gov.in/IQAC.asp					
(IQAC) Internal Quality Assurance System (IQAS) Internship	 Self regulated responsibilities of the higher education Institutions aimed at continuous improvement of quality for achieving academic and administrative excellence. A designated activity that carries some credits involving more than 25 days of 					
ISO Certification	 working in an organization under the guidance of an identified mentor ISO 9001 certification enhances customer satisfaction by meeting customer requirements. The Institution is able to provide right services. ISO certification enhances functional efficiency of an organization. 					
Leadership	: Term used for setting direction and create a student- focused, learning oriented climate, clear and visible values and high expectation by ensuring the creation of strategies, system and methods for achieving excellence, stimulating innovation and					
Learning Management Systems	 building knowledge and capabilities A learning management system (LMS) is a software application for the administration, documentation, tracking, reporting and delivery of educational courses or training Programmes. They help the instructor deliver material to the students, administer tests and other assignments, track student progress, and manage record-keeping. MOODLE is an example of open source LMS 					
Learning Outcomes	 Specific intentions of a Programme or module, written in clear terms. They describe what a student should know, understand, or be able to do at the end of that Programme or module 					
Library as a Learning Resource	 The library holdings in terms of titles of books, journals and other learning materials and technology aided learning mechanism, which enable the students to acquire information, knowledge and skills required for their study. 					
Levels of Outcomes	 : > Programme Outcomes: POs are statements that describe what the students graduating from any of the educational Programmes should be able to do. > Programme Specific Outcomes: PSOs are statements that describe what the graduates of a specific educational Programme should be able to do. > Course Outcomes: COs are statements that describe what students should be able to do at the end of a course 					
New Technologies NIRF	 Digital tools and resources (hardware and software) and their application in the field of education. National Institutional Ranking Framework (NIRF), approved by the MHRD, outlines a methodology to rank Institutions across the country. The parameters and sub-parameters associated with this mechanism are evolving from year to year. 					
N-LIST OBE: Outcome Based Education	 <u>https://www.nirfindia.org/Docs/Ranking_Methodology_And_Metrics_2017.pdf</u> N-LIST stands for "National Library and Information services Infrastructure for Scholarly Content". <u>http://nlist.inflibnet.ac.in/faq.php</u> OBE is an educational theory that bases each part of an educational system around goals (outcomes). Each student should have achieved the goal by the end of the educational experience 					
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Open Educational	: Educational materials and resources offered freely and openly for anyone to use and under some licenses to re-mix, improve and redistribute.
Resources	use and ander some neerses to re min, improve and realstribute.
Optimum	: The infrastructure facilities are made available to the student for their
Utilization of	maximum utilization. e.g. Extended hours for computer center and library,
Infrastructure	sharing of facilities for interdisciplinary and multidisciplinary Programmes.
Organogram	: Organogram is the word that refers to a diagram that shows the structure of an
	organization and the relationships between the relative ranks of its part and
Outcome	position/ job. It is also known as Organisational Structure.
Outcome	: An outcome of an educational Programme is what the student should be able to do at the end of a Programme/ course/ instructional unit.
Outreach	: Is the practice of conducting local public awareness activities through targeted
Activities	community interaction
Participative	: Participatory Learning and Action is a family of approaches, methods, attitudes,
Learning	behaviours and relationships, which enable and empower people to share, analyze
-	and enhance their knowledge of their life and conditions, and to plan, act, monitor,
	evaluate and reflect.
Participative	: Refers to an open form of management where employees are actively involved in the
Management	Institution's decision making process.
Perspective	: Is a blue print regarding the objectives and targets of long term growth
Development Physical	: Infrastructure facilities of the Institution to run the educational Programmes
Facilities	: Infrastructure facilities of the Institution to run the educational Programmes efficiently and the growth of the infrastructure to keep pace with the academic
I definites	growth of the Institution.
Policy for	: Processes defined by the Institution to facilitate the teachers to write research
Promotion of	proposals, seek funding, conduct research, publish, and evaluate and reward the
Research	research done.
Pre-qualifiers	: For the Assessment and Accreditation (A&A) in revised framework the NAAC has
	proposed a pre-qualifier test. It is a condition for peer team visit and will be based
	on Institutional system generated score (SGS) in all Q_nM after undergoing DVV
	process. As a Pre-qualifier, the Institution should score at least 25% in Quantitative
	Metrics (Q _n M) as per the final score after the DVV Process. If the HEI does not clear the Pre-qualifier stage then HEI will have to apply afresh by submitting the IIQA
	and its fees.
Problem Based	: Is a student-centred pedagogy in which students learn about a subject through the
Learning (PBL)	experience of solving an open-ended problem found in trigger material. The PBL
	process does not focus on problem solving with a defined solution, but it allows for
	the development of other desirable skills and attributes. This includes knowledge
	acquisition, enhanced group collaboration and communication.
Professional	: Activities designed to enhance the professional acumen or advance a person's career.
Developmental	(Continuing education programmes, entrepreneurship development programmes,
Programmes	Professional skill development programmes etc.,)A range of learning experiences offered to students in a formal manner over a period
	: A range of learning experiences offered to students in a formal manner over a period of one-to-four years leading to certificates/ diplomas/ degrees. Examples: BA
Programme	(Economics) BSc (Physics). All possible formal degree Programmes are identified by
	UGC
Programme	: A range of courses offered to students to choose at various levels leading to degrees/
Options	diplomas/ certificates.
Programme	: Programme Outcomes (POs) are what knowledge, skills and attitudes a graduate
Outcomes	should have at the time of graduation. While no agency has formally defined the
	POs of General Higher Education 3-year degree Programmes in India, POs of all
	professional Programmes in engineering and other areas are identified at national
Promotion of	level by the concerned accrediting agency. POs are not specific to a discipline.The process of promoting research culture among faculty and students by
Research and	facilitating faculty and student participation in research budget allocation, research
Research	fellowship and other faculties.
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Support	
System	
Remedial	: Courses offered to academically disadvantaged students in order to help them cope
Courses	with academic requirements.
Research	: Systematic intellectual investigations aimed at discovering, interpreting and revising human knowledge.
Research Grant	: Grant generated/ received from different agencies by the Institution for conducting research projects.
Research	: Quality research outcome beneficial for the discipline, society, industry and
Output	dissemination of knowledge including theoretical and practical findings.
Resource	: Generation of funds through internal and external sources such as donations,
Mobilization	consultancy, self-financing courses and so on.
SCOPUS	: The world's largest abstract and citation database of peer-reviewed literature and quality web sources.
Seed money	Funds provided to a teacher or a group of teachers by the Institution to get the
for Research	research initiated to facilitate the preparation of formal research proposal for
Situatedness	funding.Situatedness refers to involvement within a context. It also refers to placement of
Situateuness	learning experiences in authentic contexts or settings
SJR (SCImago	 This takes three years of publication data into account to assign relative scores to all
Journal Rank)	the sources (journal articles, conference proceedings, review articles, etc.) in a
	citation network (Journals in SCOPUS database).
Slow Learners	: Students who perform very much below the class averages
SNIP (Source	: Is the ratio of the source's average citation count per paper in a three year citation
Normalized	window over the "citation potential" of its subject field?
Impact per	
Person)	
Stakeholder	: Affiliation and interaction with groups or individuals who have an interest in the
Relationship	actions of the Institutions and the ability to influence its actions, decisions, policies,
	practices or goals of the organization.
Strategic Plan	: A specific, action-oriented medium or long-term plan for making progress towards a set of Institutional goals.
Strategy	: Formulation of objectives, directives and guidelines with specific plans for
Development	Institutional development.
Student Centric	: Methods of instruction that focus on products of learning by the students
Methods	v menous of monucion data focus on products of rearring by the stateme
	: The student community of the Institution, their strength and the diversity in terms of economic and social strata, location and other demographic aspects such as
	gender, age, religion, caste, rural/ urban.
Student	: Vertical movement of students from one level of education to the next higher level
Progression	successfully or towards gainful employment.
Student	: Facilitating mechanism for access to information fee structure and refund policies
Support	and also guidance and placement cell with student welfare measures to give necessary learning support to the students.
SWAYAM	: SWAYAM is a Programme initiated by Government of India and designed to achieve the three cardinal principles of Education Policy viz., access, equity and quality. <u>https://swayam.gov.in/</u>
Teacher	: A composite term to indicate the qualification of the faculty, the adequacy meant
Quality	for recruitment procedures, professional development, recognition and teachers
·•• 5	characteristics.
	Statistical method of conducting review and analysis of academic publications in
Trend analysis	scientific databases (such as Web of Science, Scopus, PubMed etc.) and generating
2	selentine autorises (such as they of selence, scopas, i ashieu etc.) and generating

historical charts. Search can be made by using the title of the publication, author's name, name of the Institution, key words etc.

Twinning Programmes : An arrangement between two Institutions where a provider in source country A collaborates with a provider in Country B to allow students to take course credits in Country B and/or in source Country A. Only one qualification is awarded by the provider in source Country A. Arrangements for twinning Programmes and awarding of degrees usually comply with national regulations of the provider in source Country A.

Value Added : Courses with 16 or more contact hours which are optional, and offered outside the curriculum that add value and help them students in getting placed.

Appendix 2: Abbreviations

A&A	-	Assessment and Accreditation
AC	-	Academic Council
ACM	-	Associates of Computing Machinery
AMC	-	Annual Maintenance Contract
AVRC	-	Audio-Visual Research Centre
AICTE	-	All India Council for Technical Education
AQAR	-	Annual Quality Assurance Report
BoS	-	Board of Studies
BCUD	-	Board of College and Institution Development
CAL	-	Computer Aided Learning
CAS	-	Center for Advanced Studies
CAT	-	Common Aptitude Test
CBCS	-	Choice Based Credit System
CD	-	Compact Diskette
CDC	-	College Development Council
CEC	-	Consortium for Educational Communication
CGPA	-	Cumulative Grade Point Average
Cr	-	Criteria
Cr-GPA(s)	-	Criterion-wise Grade Point Average(s)
COHSSIP	-	Committee for Humanities and Social Science
COSIP		Improvement Programme Committee for Science Improvement
COSIF	-	Programme
COSIST	-	Committee for Strengthening of Infrastructure Improvement Programme in Science and Technology
CSA	-	Centre for Social Action
CSIR	-	Council of Scientific and Industrial Research
CPE	-	Colleges with Potential for Excellence
DELNET	-	Developing Library Network
DEP	-	Distance Education Programmes
DRS	-	Departmental Research Support of UGC
DSA	-	Departmental Special Assistance of UGC
DST	-	Department of Science and Technology
EMRC	-	Educational Multimedia Research Centre
FIST	-	Fund for the Improvement of Science and Technology Infrastructure
GATE	-	Graduate Aptitude Test in Engineering
GATS	-	General Agreement on Trade in Services
GMAT	-	Graduate Management Admission Test
GRE	-	Graduate Record Examination
IAS	-	Indian Administrative Services
ICHR	-	Indian Council of Historical Research
ICPR	-	Indian Council of Philosophical Research
ICSSR	-	Indian Council of Social Science Research
ICT	-	Information and Communication Technology

IFFF		Institute of Electrical and Electronic
IEEE	-	Engineers
IIQA	-	Institutional Information for Quality Assessment
IQAC	-	Internal Quality Assurance Cell
IQAS	-	Internal Quality Assurance System
INFLIBNET	-	Information and Library Network
INQAAHE	-	International Network for Quality Assurance
		Agencies in Higher Education
INSA	-	Indian National Science Academy
IPR	-	Intellectual Property Rights
ISR	-	Institutional Social Responsibility
IUC	-	Inter Institution Centre
KI	-	Key Indicator
KI-GP(s)	-	Key Indicator-wise Grade Point(s)
MHRD	-	Ministry of Human Resource and Development
MoC	-	Memorandum of Contract
MoU	-	Memorandum of Understanding
MIR	-	Minimum Institutional Requirements
MIS	-	Management Information System
NCTE	-	National Council for Teacher Education
NET	-	National Eligibility Test
NGO	-	Non Governmental Organization
NME-ICT	-	National Mission on Education through
		Information and Technology
NPE	-	National Policy Education
NPTEL	-	National Programmed Teaching Enhanced
OMR	_	Learning Optical Mark Recognition
OPAC	_	Online Public Access Catalogue
PTR	_	Peer Team Report
QAA	_	Quality Assurance Agency
SAP	-	Special Assistance Programme
	-	I C
SET/SLET SJR	-	State Level Eligibility Test SCImago Journal Rank
	-	•
SLQACC	-	State Level Quality Assurance Co-ordination Committee
SNIP	-	Source Normalized Impact per Paper
SSR	-	Self-Study Report
SWOC	-	Strengths, Weaknesses, Opportunities and
		Challenges
TEI	-	Teacher Education Institution
TOEFL	-	Test of English as a Foreign Language
UDID UGC	-	Unique Disability ID (Card) Institution Grants Commission
UNESCO	-	United Nations Educational, Scientific and
UTLECO		Cultural Organization
UNO	-	United Nation Organization
UNICEF	-	United Nations Children Educational
		Foundation

Manual of Health Sciences for Universities

UNDP	-	United Nation Development Programme
USIC	-	Institution Science Instrumentation Centre
Wi-fi	-	Wireless Fidelity
YRC	-	Youth Red Cross

Appendix - 3

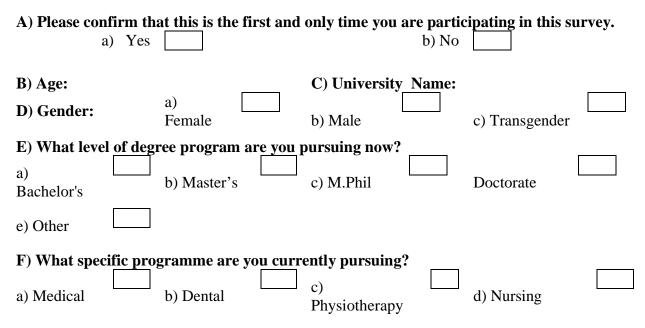
National Assessment and Accreditation Council (NAAC) Student Satisfaction Survey (SSS) Key Indicator - 2.7.1 Criterion II : Teaching-Learning and Evaluation (For Health Science Universities)

Guidelines for Students

NAAC (National Assessment and Accreditation Council) is conducting a Student Satisfaction Survey regarding Teaching – Learning and Evaluation of HEIs, which will help to assess the quality status of the institution. Kindly note that the identification of the respondent will not be revealed in the process.

- A student will have to respond with sincerity to all the questions given in the following format after careful thought.
- Each question has five responses, choose the most appropriate one.
- The response to the Qualitative question No. 23, is student's opportunity to give suggestions for improvements. (The responses are to be restricted only to teaching learning and evaluation process).
- It may be noted that the total time required for filling up this questionnaire may be less than **20 minutes**.

PERSONAL INFORMATION:



e): Nursing f) Others

Criterion II – Teaching–Learning and Evaluation

Online Student Satisfaction Survey on Teaching Learning and Evaluation Process

Following are the questions:

1. How much of the curricula and syllabi are covered in the Discipline in which you are studying?

- 4-90 to 100%
- 3-75 to 89%
- 2-55 to 74%
- 1-30 to 54%
- 0-Below 30%
- 2. What categories of teachers are involved in the theory and practical classes in your discipline?

4 – Professors, Associate-Professors, Assistant Professors and Others (Lecturers, Tutors, Demonstrators and Residents)

- 3 Associate Professors, Assistant Professors and others
- 2 Assistant Professors and others
- 1 Others
- 0 None of the above

3. What percentage of teachers is effectively communicating domain knowledge using IT skills and Soft Skills in the Teaching Learning Process?

- 4-90 to 100%
- 3-75 to 89%
- 2-55 to 74%
- 1---30 to 54%
- 0-Below 30%
- 4. Do the teachers build-up the expected curricular competencies, programme outcomes and course outcomes in you?
 - 4 90 to 100%
 - 3 75 to 89%
 - 2 55 to 74%
 - 1-30 to 54%
 - 0-Below 30%
- 5. Does your mentor follow up necessarily on the tasks assigned to you?
 - 4 Every time

- 3 Usually
- 2-Occasionally
- 1-Rarely
- 0 I don't have a mentor
- 6. To what extent is the mentoring process in your institution beneficial to you for cognitive, social and emotional growth?
 - 4 Highly Beneficial
 - 3-Beneficial
 - 2-Satisfactory
 - 1-Marginally
 - 0– Not at all

7. To what extent clinical and practical facilities related to your discipline have been made available to you?

- 4-90 to 100%
- 3-75 to 89%
- 2-55 to 74%
- 1-30 to 54%
- 0-Below 30%

8. What extent of training are you provided with, in the skill and simulation labs, clinical ward rounds / rotations and lab exercises related to your discipline?

- 4-90 to 100%
- 3-75 to 89%
- 2-55 to 74%
- 1-30 to 54%
- 0-Below 30%
- 9. What percentage of teachers use ICT-enabled methods like multimedia, web-based learning and national e-resources while teaching?
 - 4-90 to 100%
 - 3 75 to 89%
 - 2-55 to 74%
 - 1-30 to 54%
 - 0 –Below 30%

10. What is the extent of opportunities given to you for outdoor learning like internship, student exchanges, field visits and projects?

- 4 All of the above
- 3-Three of the above
- 2 Two of the above
- 1 One of the above

0– None of the above

11. Does the institution provide the opportunities for experiential learning, including problem-based learning, case-based learning, evidence-based learning, competency building, seminars, group discussions, to enhance knowledge and skills in your discipline?

- 4 All of the above
- 3 Any four of the above
- 2 Any three of the above
- 1 Any two of the above
- 0– Any one of the above

12. What is the level of opportunities provided by your institution to develop employability and entrepreneurship skills to prepare you for your career?

- 4 High Level
- 3 Appreciable Level
- 2 Satisfactory Level
- 1 Marginal Level
- 0– No opportunity given

13. What is the level of value-added courses / training given by your institution in the area of professional ethics and values, community service, preventive health care and rural health care?

- 4 High Level
- 3 Appreciable Level
- 2 Satisfactory Level
- 1 Marginal Level
- 0– No opportunity given

14. What level of monitoring and evaluation is available in your institution for assessing the effectiveness and interactivity of the classroom proceedings/learning sessions?

- 4 High Level
- 3 Appreciable Level
- 2 Satisfactory Level
- 1 Marginal Level
- 0- No opportunity given

15. To what extent the institutional ambience and class room teaching has improved your creative thinking and analytical skills?

- 4 High Level
- 3 Appreciable Level
- 2 Satisfactory Level
- 1 Marginal Level
- 0- No opportunity given

16. How frequently your performance in academic assignments are discussed and reviewed with you by the teachers?

- 4 Regularly
- 3 Often
- 2-Sometimes
- 1 Rarely
- 0–Never

17. What is your assessment about the fairness of the internal evaluation process adopted by the teachers?

- 4 Always fair
- 3 More often fair
- 2 Sometimes fair
- 1 Usually unfair
- 0 Always unfair

18. What is the average time taken by the institution for declaration of examination results?

- 4 Within 15 days
- 3-16 days to 30 days
- 2-31 days to 45 days
- $1-46 \ days$ to $60 \ days$
- 0 Beyond 60 days

19. What are the mechanisms (issue of photocopy of answer sheet, re-totaling, re-evaluation and provision for grace marks) available in your institution for redressal of grievances with reference to examinations?

- 4 All the above mechanisms
- 3 Only three of them
- 2 Only two of them
- 1 Only one of them
- 0 None

20. How do you rate the procedures involved in the pre examination, examination and post examination processes of the evaluation system adopted by your institution?

- 4 Excellent
- 3-Very Good
- 2-Good
- 1 Average
- 0 Poor
- 21. Do your teachers enable you to identify your strengths and weaknesses and help you to develop your strengths and overcome your weaknesses by providing timely counseling and care?
 - 4 Every time
 - 3 Usually
 - 2 Occasionally
 - $\begin{array}{c} 1-Rarely\\ 0-Never \end{array}$

22. If you were to award rating for your institution in terms of "stars" in relation to quality of teaching, learning and evaluation, what would be your rating?

- 4 ***** 3 - **** 2 - ***
- 1 **
- 0 *
- 23. Give three suggestions to improve the overall teaching, learning and evaluation process in your institution.
 - a)
 - b
 - c)